

APPENDIX 2

WITNESSES WHO APPEARED BEFORE THE COMMITTEE AT PUBLIC HEARINGS

Monday, 15 May 2000, Sydney

Australian Mutual Provident Society Ltd

Mr Kevin Casey, Senior Strategy and Technical Adviser
Mr Gerald Naughton, Senior Investment Manager

Association of Superannuation Funds of Australia

Dr Michaela Anderson, Director, Policy and Research
Ms Philippa Smith, Chief Executive Director

Financial Services Consumer Policy Centre

Mr John Berrill, Partner Superannuation, Martin Blackburn Cashman
Mr Chris Connolly, Director
Mr Khaldoun Hajaj, Researcher

Australian, Food, Metals, Engineering Printing and Kindred Industries Union

Mr Barry Terzic, National Research Officer

Retail Employees Superannuation Trust

Mr Damian Hill, Administration Manager
Mr Elliott Sabbah, Operations Manager

Phillips Fox Actuaries and Consultants

Mr Michael Rice, Managing Director

Investment and Financial Services Association

Miss Lynn Ralph, Chief Executive Officer
Ms Annabelle Kline, Senior Policy Manager

Tuesday, 16 May 2000, Sydney

Australian Stock Exchange

Ms Rosemary Kennedy, National Manager, Interest Rates Markets
Mr Michael Roche, Executive General Manager, Strategic Planning and
Corporate Relations

Australian Centre for Global Finance, Treasury

Mr Leslie Hosking, Chief Executive Officer
Miss Maryanne Mrakovcic, General Manager

Australian Financial Markets Association

Mr Kenton Farrow, Chief Executive

Mr John Rappell, Director Research and Policy

Dr George Gilligan

Prof Gordon de Brouwer

Vanguard Investments Australia Ltd

Mr Jeremy Duffield, Managing Director

Skandia Assurance and Financial Services

Mr Johan Hofvander, Regional Manager, Asia-Pacific

Mr Ross Laidlaw, Country Manager

International Banks and Securities Association of Australia

Mr Robert Webster, Executive Director

Friday, 9 June 2000, Melbourne*Superannuation Complaints Tribunal*

Mr Graham McDonald, Chair

Ms Nicole Cullen, Deputy Chairperson

Mrs Margaret McDonald, Director

Osborne Associates

Mr Bradley Treadwell, Managing Director

Insurance Council of Australia Ltd

Mr Philip Maguire, Deputy Chief Executive

Ms Joan Fitzpatrick, Member International Committee for General Insurance

Mr Vincent McLenaghan, Chairman, International General Insurance Committee

Reserve Bank of Australia

Mr Ric Battellino, Assistant Governor, Financial Markets

Dr Robert Rankin, Head of International Department

Finance and Treasury Association

Mr Anthony Michell, Technical Manager, Policy, Research and Professional Development

Australian Banking Industry Ombudsman

Mr Colin Neave, Banking Ombudsman

J B Were & Son

Mr Terrence Campbell, Executive Chairman

Ms Priscilla Boreham, Corporate Counsel
Ms Lisa Gay, General Counsel

Australian Securities and Investment Commission

Mr Alan Cameron, Chairman
Ms Delia Rickard, Director, Office of Consumer Protection
Ms Jillian Segal, Commissioner
Mr Shane Tregillis, National Director Regulation

Australian Accounting Standards Board

Mr Keith Alfredson, Chairman

Thursday, 15 June 2000, Melbourne

Australian Council of Trade Unions

Ms Linda Rubinstein, Senior Industrial Officer

Industry Funds Forum

Ms Ann Byrne, Convenor
Ms Anne-Marie Darke, Executive Member

Corporate Super Association

Mr Mark Cerche, Chairman
Mr Nicholas Brookes, Secretary

Institute of Chartered Accountants of Australia

Mr David Coogan, Chairperson, Superannuation Taskforce
Mr Richard Rassi, Partner Deloitte Touche Tohmatsu

William M Mercer Pty Ltd

Mr Wayne Walker, Executive Director
Mr John Ward, Manager, Research and Information

Ms Fiona Ogilvy-O'Donnell

Towers Perrin

Mr Kenneth Lockery, Principal

CPA Australia

Mr Bradley Pragnell, Superannuation Policy Adviser

Association of Independent Retirees Inc

Mr Alan Beaton, President, South Australian Division and National Councillor

Friday, 16 June, 2000, Brisbane*Puzzle Finance Advice Pty Ltd*

Mr Bruce Baker, Director

Mr Gary Lanham

Mr Mervyn Whimp

Hairdressers Association Superannuation Fund

Mrs Yvonne Bell, Consultant to Fund

Mr Jeffrey Osborne, Director (Trustee Company)

Mrs Carmel Reading

Law Employees Superannuation Fund

Mr Peter Short, Chairman

Mr Ray Rinaudo, Director

Voyager Resort Ltd

Mr Geoff Heaton, Company Secretary and Resort Manager

Mr Dan Scheiwe

Mr Paul Henderson

Friday, 14 July, 2000, Canberra*Australian National University*Prof Peter Drysdale, Head Australia-Japan Research Centre and Acting
Director Asia Pacific School of Economics and Management

Prof Anthony Milner, Dean, Faculty of Asian Studies

*The National Office for the Information Economy, Department of
Communications, Information, Technology and the Arts*Dr Rodney Badger, Deputy Chief Executive Officer NOIE and
Executive Director Information Technology

Mr Phillip Malone, Acting General Manager, E-Commerce

Mr Gregory Piko, Acting General Manager, Information and
Communications Industry DevelopmentMr Brenton Thomas, Acting General Manager, Consumer and
Competition Branch*Namoi Cotton Cooperative (teleconference)*

Mr Andrew Lennon, Risk Manager

The Broken Hill Proprietary Co Ltd (teleconference)

Mr Ian Edney, Vice President Taxes

Mr Alistair Mytton, Manager, Corporate Tax Advisory

Australian Principals' Centre

Mr Nicholas Thornton, Chief Executive Officer

Australian Greenhouse Office

Dr David Harrison, Special Adviser, Emission Trading

Dr Gary Richards, Manager, National Carbon Accounting System

Mr Stephen Moran, Director, Climate Section, Department of Foreign Affairs and Trade

Securities Institute of Australia

Ms Penelope Le Couteur, Managing Director

Mr Darren Davis, National Policy Adviser

Department of the Treasury

Mr Blair Comley, General Manager, Indirect Tax Division

Mr Bruce Paine, General Manager, Business Entities and International Tax Division

Mr Gary Potts, Executive Director, Markets Group

Mr Michael Willcock, General Manager, Financial Markets Division

Mr Leslie Hosking, Chief Executive Officer, Axiss Australia

Australian Taxation Office

Mr James Killaly, Deputy Commissioner, Large Business and International

Friday, 1 September 2000, Canberra*Australian National Audit Office*

Mr Peter White, Executive Director, Revenue Branch

Mr Norman Grimmond, Senior Auditor Performance Audit Services Group

Financial Planning Association of Australia

Mr Con Hristodoulidis, Senior Manager, Public Policy

Mr Maurice Pinto, Chairperson, Superannuation and Retirement Incomes Committee

Australian Consumers Association

Ms Louise Petschler, Senior Policy Officer, Financial Services

Australian Taxation Office

Mr David Diment, Assistant Commissioner, Superannuation

Institute of Actuaries of Australia

Dr David Knox, President

Ms Jane Ferguson, Director Public Affairs

Ms Christa Marjoribanks, Member, General Insurance Practice Committee

Australian Institute of Criminology

Dr Adam Graycar, Director

Dr Peter Grabosky, Director of Research

WA Shearing Contractors Association (teleconference)

Mr Neville Munns, Secretary

Monday, 16 October 2000, Canberra*Care Incorporated*

Mr David Tennant, Director

National Farmers Federation

Mr Richard Calver, Director, Industrial Relations

Australian Association of Permanent Building Societies

Mr Jim Freemantle, Chairman

Mr Jim Larkey, Executive Director

First Samuel Ltd

Mr Anthony Starkins, Managing Director

Association of Financial Advisers

Mr John Hibberd, President

Mr Dugald Mitchell, Consultant

Tuesday, 17 October 2000, Canberra*Australian Securities and Investments Commission*

Mr Sean Hughes, Director, Deposits, Investment, Superannuation and Consumers

Mr Peter Kell, Coordinator, Office of Consumer Protection

Ms Angela Longo, Senior Lawyer

Ms Delia Rickard, Office of Consumer Protection

Mr Malcolm Rodgers, Director, Regulatory Policy

Australian Prudential Regulation Authority

Mr Roger Brown, Senior Manager, Rehabilitation and Enforcement

Mr Keith Chapman, General Manager, Specialised Institutions Division

Mr Leslie Phelps, Executive General Manager, Specialised Institutions Division

Mr Darryl Roberts, General Manager, Policy Development and Statistics

Department of the Treasury

Mr Roger Brake, General Manager, Retirement and Personal Income Division

Mr Raphael Cicchini, Manager, Superannuation Unit, Retirement and Personal Income Division

Ms Jan Harris, General Manager, Consumer Affairs Division
Mr William Keown, Acting General Manager, Financial Institutions
Division
Mr David Maher, Analyst, Financial Institutions Division
Mr Michael Rosser, Manager, Investor Protection Unit, Financial
Markets Division
Ms Karen Witham, Manager, Superannuation and Insurance Unit,
Financial Institutions Division
Mr Michael Willcock, General Manager, Financial Markets Division

Australian Taxation Office

Mr Leo Bator, Deputy Commissioner of Taxation
Mr David Diment, Assistant Commissioner of Taxation

Australian Competition and Consumer Commission

Mr Allan Asher, Deputy Chairman
Mr Carl Buik, Director, Consumer Protection

Friday, 30 March 2001, Canberra

Mr Spencer Bell (private capacity)

Mr John Crosby (private capacity)

Saxby Bridge Financial Planning Pty Ltd

Mr Phillip Dally, General Manager

Mrs Marianne Gregg (private capacity)

Mr Richard Kaan (private capacity)

Mrs Jennifer Kaan (private capacity)

Mrs Valda Marshall (private capacity)

Mr Eric Watts (private capacity)

Friday, 18 May 2001, Hobart*Department of Justice and Industrial Relations*

Mr Peter Maloney, Director, Legislation of Policy

Mr Leon Morrell (private capacity)

Mr Allan Oldham (private capacity)

Mrs Mary Oldham (private capacity)

The Hon Ray Groom, Shadow Minister for Justice (Tas)

Law Society of Tasmania

Mr Timothy Bugg, Past President

Ms Christine Harvey, Deputy Secretary-General, Law Council of Australia

Mr Philip Jackson, President

Mrs Jan Martin, Executive Director

Toomey Maning and Company

Mr Patrick Toomey

Ms Cherylyn Harris (private capacity)

Ms June Schott (private capacity)

Mr Arnold Sierink (private capacity)

Mrs Maureen Sierink (private capacity)

Mr John Meyer (private capacity)

Mr John Woods, Official Liquidator of D W & I M Tapping Pty Ltd

Mr John Fyle (private capacity)

Mr David Newitt (private capacity)

Mrs Melba Truchanas (private capacity)

Tasmanian Ombudsman

Mr Anthony Allingham, Senior Investigation Officer

Garrisons Pty Ltd

Mr Philip Creswell, National Audit and Compliance Manager, and Company Secretary

Mr John Sikkema, Managing Director

Mr Michael Spinks, Executive Director

Mr Peter Worrall, Manager, Lewis Driscoll and Bull

Mr Peter Joyce, Manager, McCulloch and McCulloch

Mr John McCausland, Editor *The Mercury* and *The Sunday Tasmanian*

Tuesday, 12 June 2001, Sydney

PricewaterhouseCoopers

Mr Peter Hedge, Partner

Australian Stock Exchange

Ms Luise Elsing, Manager, Companies, Sydney

Mrs Karen Hamilton, General Counsel and Company Secretary

Australian Securities and Investments Commission

Mr Simon Dwyer, Regional Commissioner

Mr Michael Gething, Western Australian Regional Commissioner

Mr Ian Johnston, Executive Director, Financial Services Regulation

Mr David Knott, Chairman

Mr Ian Mackintosh, Chief Accountant

Mr Darren McShane, Director, Managed Investments

Ms Jan Redfern, Regional General Counsel

Ms Jillian Segal, Deputy Chair

Mr Shane Tregillis, Executive Director, Policy and Markets Regulation

Mr Peter Wood, Executive Director, Enforcement

Australian Prudential Regulation Authority

Mr Earl Burgess, Senior Manager, Rehabilitation and Enforcement

Mr Bill Gole, General Manager, Coordination, Rehabilitation and Enforcement

Mr Thomas Karp, Executive General Manager, Diversified Institutions

Mr Leslie Phelps, Executive General Manager, Specialised Institutions Division

Mr William Stow, Manager (Legal), Rehabilitation and Enforcement, Specialised Institutions Division

Mr Craig Thorburn, General Manager, Diversified Institutions Division

Friday, 15 June 2001, Hobart

Mr Cyril Clark (private capacity)

Mr Sydney Dwyer (private capacity)

Law Society of Tasmania

Mr Timothy Bugg, Past President and Council Member

Mr Philip Jackson, President

Mrs Jan Martin, Executive Director

Ms Christine Harvey, Deputy Secretary-General

Australian Property Institute

Mr Louis Rae, Chairman, Valuers Registration Board

Mr Raymond Westwood, Chairman, Complaints Commission; Board Member, Australian Valuation and Property Standards Board; and Tasmanian Divisional Chairman, Australian Property Institute

Mr Paul Wilson, Tasmanian Divisional President, Australian Property Institute

Ms Judith Paxton, Tasmanian Legal Ombudsman

Mr Bernard Harrington, Retirement Manager, Centrelink

Monday, 25 June 2001, Canberra

Australian Prudential Regulation Authority

Mr Earl Burgess, Senior Manager, Specialised Institutions Division
Mr Les Phelps, Executive General Manager, Specialised Institutions
Mr William Stow, Manager, Specialised Institutions Division
Mr Graeme Thompson, Chief Executive Officer

Thursday, 23 August 2001, Canberra

National Institute of Accountants

Mr Reece Agland, General Counsel
Mr Paul Bartley, Deputy State Chairman SA

Australian Prudential Regulation Authority

Mr Roger Brown, Senior Manager, Specialised Institutions Division
Mr Les Phelps, Executive General Manager, Specialised Institutions
Division
Mr Senthamangalam Venkatramani, General Manager, Diversified
Institutions Division

Australian Taxation Office

Mrs Lesley East, Assistant Commissioner
Mr Trevor Thomas, Assistant Commissioner

Auditing and Assurance Standards Board

Mr William Edge, Board Member
Mr Richard Mifsud, Executive Director

Australian National Audit Office

Mr David McKean, Executive Director, Assurance Audit Services
Group

Australian Securities and Investments Commission

Mr Ian Mackintosh, Chief Accountant
Mr Douglas Niven, Deputy Chief Accountant

CPA Australia

Mr Christopher Malkin, Vice President Victorian Division; Member,
Superannuation Centre of Excellence

Institute of Actuaries of Australia

Ms Helen Martin, Senior Vice President

Institute of Chartered Accountants in Australia

Mrs Susan Orchard, Superannuation Technical Consultant

Mr Richard Rassi, Chairman, National Superannuation Taskforce

Mr Keith Reilly, Technical Adviser

Professor Anthony Harris (private capacity)

Mr John Shanahan (private capacity)

(Hansard transcripts of the nine hearings held in 2000 were tabled on 22 March 2001 when the Committee presented its report on term of reference (b) - *The opportunities and constraints for Australia to become a centre for the provision of global financial services*. Hansard transcripts of the five hearings held by the end of June 2001 were tabled when the Committee presented its First Report on term of reference (a) - *Prudential Supervision and Consumer Protection for Superannuation, Banking and Financial Services*.)

