

Appendix 2

Public Hearings

Witnesses

30 August 2011, Sydney

Financial Ombudsman Service

Ms Alison Maynard, Ombudsman Investments, Life Insurance and Superannuation

SMSF Professionals' Association of Australia (SPAA)

Ms Andrea Slattery, CEO

Mr Peter Hogan, Director

Association of ARP Unitholders Inc

Mr Ron Thornton, President

Mr Barry Tomkinson, Member

Mr Michael Robinson, Treasurer

Association of Superannuation Funds of Australia (ASFA)

Mr David Graus, General Manager, Policy and Industry Practice

Ms Fiona Galbraith, Senior Policy Adviser

Financial Services Council (FSC/IFSA)

Mr Andrew Bragg, Senior Policy Manager

Mr Martin Codina, Director of Policy

Australian Prudential Regulation Authority (APRA)

Mr Ross Jones, Deputy Chair

Mr Keith Chapman, Executive General Manager, Supervisory Support Division

Mr Greg Brunner, General Manager Actuarial, Market and Insurance Risk Services, Supervisory Support Division

Mr Stephen Glenfield, General Manager South West Region, Specialised Institutions Division

The Trust Company

Mr John Atkin, CEO

Mr Rupert Smoker, Head of Responsible Entity Services

PPB Advisory - Liquidators

Mr Brett Manwaring, Director

Mr Mark Robinson, Liquidator

6 September 2011, Thirroul, NSW

Community Forum Witnesses

Ms Sharon Bent

Mr Shane Bonnie

Mrs Tracey Bonnie

Ms Jennifer Butler

Mr Rodney Denniss

Mr Ian Hogg

Ms Nicole McCann

Mr Nicholas McGowan

Mr Russell Smith

Mr John Telford

Mr Norman Upton

6 September 2011, Sydney

Australian Securities and Investments Commission

Mr Greg Medcraft, Chairman

Mr John Price, Senior Executive Leader

Mr David McGuinness, Senior Executive Leader

Mr Glen Unicomb, Senior Executive Leader

22 September 2011, Canberra

(By teleconference)

Association of Independently Owned Financial Planners

Mr Peter Johnston, Executive Director

23 September 2011, Sydney**The Treasury**

Mr Jim Murphy, Executive Director Markets

Mr Jonathan Rollings, Principal Advisor for Financial Systems

Ms Sue Vroombout, General Manger, Retail Investment Division

Mr Nigel Murray, Manager Personal Retirement Income Division

National Australia Bank

Mr Dick Morath, Chairman, GWM Advisor Services

Mr Stephen Tadjman, Head of MLC Legal

Mr Leigh Watson, Executive General Manager of Asset Servicing

Morningstar

Mr Anthony Serhan, CEO

Financial Planning Association

Dr Deen Sanders, Chief Professional Officer

Mr John Bacon, General Manager - Professional Standards

Mr Dante De Gori, General Manager - Policy and Government Relations

Australian Taxation Office

Mr Michael D'Ascenzo, Commissioner

Mr Brett Peterson, Acting Deputy Commissioner Superannuation

4 November 2011, Canberra**WHK Group Ltd**

Mr John Gavens, Principal, Audit and Assurance, Crowe Horwath

Mr John Lombard, Chief Executive Officer and Managing Director

4 April 2012, Sydney

Companies, Auditors and Liquidators Disciplinary Board (CALDB)

Mr Howard Insall, Chairman

Australian Prudential Regulation Authority

Mr Greg Brunner, General Manager, AMIRS

Mr Louis Serret, General Manager Enforcement

Australian Crime Commission

Mr John Lawler, Chief Executive officer

Mr Warren Gray, National Manger, Intervention

Australian Federal Police

Commander David Stewart

Commander Peter Sykora