



**Parliamentary Joint  
Committee on  
Corporations and  
Financial Services**

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## **Inquiry into the collapse of Trio Capital**

**Date:** 23 September 2011

**Start:** 11.30 am

**Venue:** Clifton's Conference Rooms, Sydney

**Address:** Level 6, 190 George St, Sydney

<b>11.30 am – 12.15 pm</b>	<b>The Treasury</b> <i>Mr Jim Murphy</i> , Executive Director Markets <i>Mr Jonathan Rollings</i> , Principal Advisor for Financial Systems <i>Ms Sue Vroombout</i> , General Manger, Retail Investment Division <i>Mr Nigel Murray</i> , Manager Personal Retirement Income Division
<i>12.15 pm – 1.15 pm</i>	<i>Lunch break</i>
<b>1.15 pm – 2.00 pm</b>	<b>National Australia Bank</b> <i>Mr Dick Morath</i> , Chairman, GWM Advisor Services <i>Mr Stephen Tadjman</i> , Head of MLC Legal <i>Mr Leigh Watson</i> , Executive General Manager of Asset Servicing
<b>2.00 pm – 2.45 pm</b>	<b>Morningstar</b> <i>Mr Anthony Serhan</i> , CEO
<i>2.45 pm – 3.00 pm</i>	<i>Afternoon tea</i>
<b>3.00 pm – 3.45 pm</b>	<b>Financial Planning Association</b> <i>Dr Deen Sanders</i> , Chief Professional Officer <i>Mr John Bacon</i> , General Manager - Professional Standards <i>Mr Dante De Gori</i> , General Manager - Policy and Government Relations
<b>3.45 pm – 4.30 pm</b>	<b>Australian Taxation Office</b> <i>Mr Michael D'Ascenzo</i> , Commissioner <i>Mr Brett Peterson</i> , Acting Deputy Commissioner Superannuation  - via videoconference -
<i>4.30 pm</i>	<i>Adjournment</i>