

APPENDIX 2

WITNESSES AT HEARINGS

Thursday, 11 July 2002-Sydney

Trust Company of Australia Limited

Mr Jonathan Sweeney, Managing Director

Mr Michael Britton, National Manager, Fiduciary Services

Mr Russell Stewart

Partner, Minter Ellison Lawyers (appearing in a private capacity)

Friday, 12 July 2002-Sydney

Investment & Financial Services Association Ltd

Ms Lynn Ralph, Chief Executive Officer

Mr Philip French, Senior Policy Manager

Mr Geoffrey Lloyd, Member, Regulatory Affairs Committee

Trustee Corporations Association of Australia

Mr Michael Shreeve, National Director

Ms Gai McGrath, General Counsel & Company Secretary, Perpetual Trustees
Australia Limited

Mr Donald Christie, Managing Director, Equity Trustees Ltd

Mr Paul Dortkamp

Co-founder, Independent Compliance Committee Members Forum

Association of Independent Retirees, Inc

Mr Stanley Goodacre, Chair, Taxation and Investment Review Group

Mr Roger Valentine OAM LLB FCIS, Consultant Legal to National Council

Wednesday, 7 August 2002

Department of the Treasury

Mr Nigel Ray, Acting Executive Director

Mr Michael Rosser, Manager, Consumer Protection Unit, Financial System Division

Mr Dave Maher, Analyst, Financial System Division

Mr Brett Wilesmith, Analyst, Financial System Division

Australian Securities and Investment Commission

Mr Ian Johnston, Executive Director, Financial Services Regulation

Ms Pauline Vamos, Director, Licensing and Business Operations

Mr Sean Hughes, Director, Financial Services Regulatory Operations

Mr Michael Wall, Assistant Director, Legal and Technical Operations