

APPENDIX 2

WITNESSES AT HEARINGS

Wednesday, 23 May 2002 – Melbourne

Derivatives.com.au Pty Ltd

Mr Michael Board, Director

The Association of Superannuation Funds of Australia Limited

Dr Bradley Pragnell, Principal Policy Adviser

Dr Michaela Anderson, Director of Policy & Research

Freehills

Professor Don Harding, Partner

Ms Pamela McAlister, Partner

Mr Ewan MacDonald, Senior Associate

Credit Union Services Corporation (Australia) Limited

Mr Adrian Lovney, General Manager, Public Affairs and Compliance

Mr Luke Lawler, Senior Adviser, Policy & Public Affairs

Association of Financial Advisers

Mr Joe Nowak, National President

Mr Dugald Mitchell, Consultant

Mr Michael Murphy (private capacity)

Rainmaker Information Pty Ltd

Mr Alex Dunnin, Director of Research

Australian Consumers' Association

Ms Catherine Wolthuizen, Senior Policy Officer, Financial Services

Australian Securities and Investments Commission

Mr Ian Johnston, Executive Director, Financial Services Regulation

Mr Malcolm Rodgers, Executive Director, Policy and Markets Regulation

Ms Pauline Vamos, Director, FSR Licensing and Business Operations

Mr Sean Hughes, Director, FSR Regulatory Operations

Mr Mark Adams, Director, Regulatory Policy

Thursday, 11 July 2002

Australian Bankers' Association

Mr Ian Gilbert, Director

Financial Planning Association of Australia Limited

Mr Ken Breakspear, Chief Executive

Mr Con Hristodoulidis, National Manager Policy and Government Relations

Australian Association of Permanent Building Societies

Mr Jim Larkey, Executive Officer

Mr Raj Venga, Director, Policy and Regulatory Affairs

Mr Derek Sams, Insurance Manager/FSR Committee, Heritage Building Society Ltd

Corporate Superannuation Association Inc

Mr Mark Cerce, Chairman

Mr Nicholas Brookes, Chief Executive Officer

National Institute of Accountants

Mr Reece Agland, General Counsel

Mr Gavan Ord, Technical Policy Manager

Taxation Institute of Australia

Mr Gil Levy, Senior Vice-President and Treasurer

The Institute of Chartered Accountants in Australia and CPA Australia

Mr Keith Reilly, Technical Adviser (ICAA)

Ms Kathy Bowler, Manager, Financial Planning (CPAA)

Peter Davis Taxation & Accounting Services

Mr Peter Davis, Principal

International Banks and Securities Association of Australia

Dr David Lynch, Director of Policy

Friday, 12 July 2002

Australian Finance Conference

Mr David Thorpe, Associate Director

Perpetual Trustees Australia Limited

Ms Gai McGrath, General Counsel & Company Secretary

Mr Michael Shreeve, National Director and Chief Executive Officer,
Trustee Corporations Association of Australia

National Insurance Brokers Association of Australia

Mr Noel Pettersen, Chief Executive Officer

Mr John Hanks, Consultant

Boutique Financial Planning Principals Group Inc

Mr Bruce Baker, President

Wednesday, 7 August 2002

Investment and Financial Services Association

Mr Richard Gilbert, Chief Executive Officer

Mr Philip French, Senior Policy Manager

Department of the Treasury

Mr Nigel Ray, Acting Executive Director

Mr Michael Rosser, Manager, Consumer Protection Unit

Ms Susan Vroombout, Manager, Market Integrity & Payments Unit

Mr Brett Wilesmith, Analyst, Consumer Protection Unit

Australian Securities and Investments Commission

Mr Ian Johnston, Executive Director, Financial Services Regulation

Mr Malcolm Rodgers, Executive Director, Policy and Markets Regulation

Ms Pauline Vamos, Director, FSR Licensing and Business Operations

Mr Sean Hughes, Director, FSR Regulatory Operations

