From: SMHS - CEO On Behalf Of Crawshaw, John A

**Sent:** Friday, 11 June 2010 4:01 PM **To:** Community Affairs, Committee (SEN)

Subject: FW: Request for corrections to proof Hansard - Community Affairs public hearing 20 May 2010

Dear Ms Bleeser

During the hearing (p63) I agreed to provide the Committee with a link to a suite of documents that make up Tasmania's strategic framework *Building the Foundations for Mental Health and Wellbeing*. Hyperlinks to each these documents are provided below.

Building the Foundations: Policy Review

(http://www.dhhs.tas.gov.au/mentalhealth/publications/strategic\_documents/PPEI\_Review.pdf)

Building The Foundations: Strategic Framework

(http://www.dhhs.tas.gov.au/mentalhealth/publications/strategic\_documents/PPEI\_Strategic\_Framework.pdf)

**Building the Foundations: Summary Document** 

(<a href="http://www.dhhs.tas.gov.au/mentalhealth/publications/strategic\_documents/PPEI\_summary\_document.pdf">http://www.dhhs.tas.gov.au/mentalhealth/publications/strategic\_documents/PPEI\_summary\_document.pdf</a>)

Other strategic documents can be accessed at the following address: <a href="http://www.dhhs.tas.gov.au/mentalhealth/publications/strategic\_documents">http://www.dhhs.tas.gov.au/mentalhealth/publications/strategic\_documents</a>

The Committee requested that a copy of the Tasmania Police Report of Death for the Coroner form (p67) this document is attached.

The Committee also queried whether the title of an organisation may have a bearing on their success in a grants process (p67). Attached is a PDF copy of Treasurers Instruction 709 which provides the Tasmanian Government's framework for the management of grant payments.

\_\_\_\_\_

Dr John Crawshaw CEO Statewide & Mental Health Services Chief Forensic Psychiatrist

GPO Box 125, Hobart, TAS 7001, Australia

Phone: +61-3-6214-5716 Fax: +61-3-6230-7739 Mobile: (0400) 810-361

•

## **TASMANIA POLICE**

# POLICE REPORT OF DEATH FOR THE CORONER

Coroners Act 1995 Coroners Rules 2006 Rule 4

## **SECTION 1 – DECEASED'S DETAILS**

Deceased's Demog	raphics		Coroner's (	Case No:		
Family Name:			Given Name(s	s):		
Aliases (if known):			Sex:	Male [	Female	Unknown
Date of Death (between):			Date of Birth:		Age:	
Marital Status:	<ul><li>☐ Never Married</li><li>☐ Divorced</li></ul>		arried <i>(including d</i> parated	lefacto)	☐ Widowed☐ Unknown	
Usual Address:				Suburb/Tov	vn:	
Postcode:	State:		Country:			
Residency:	Permanent Interstate Visitor		erant verseas Visitor		☐ Homeless☐ Unknown	
Country of Birth:	Town, 0	City:				
Usual Occupation (Duri	ng working life):		Employment S	Status:		
Was deceased from no	n-English speaking backgrour	nd?	1			
☐ Unknown If 'YES' specify	□ No	☐ Ye	S			
	boriginal or Torres Strait Islan	der ori	gin?			
(if both tick 'yes' to both)	(NB. This question is worded to be					
∐ No	Yes, Aboriginal origin		s, Torres Strait	Islander Orig	in	
	s associated with this incident		- /	,		
☐ Unknown If 'YES' specify	∐ No	Ye	S (specify how ma	ny)		
Medical Information						
Did an ambulance atter			known	□ No	Yes	-
	ted by ambulance officers?		known	□ No	Yes	
_	ed by medic / paramedic prior	to dea	tn'?	Unknowr	n 🗌 No	☐ Yes
If 'YES' specify:						
Date last visited doctor:			doctor certify ca			Yes
Known medical history:	∐ Unknown	∐ No	known history	☐ Yes (spec	cify)	
If 'YES' specify						
Was deceased known t	o be on medication? ☐ No ☐ Yes	Docto	or's Name & Ad	dress:		
	If 'YES' specify					
Was deceased suspect	ted of having an infectious disc No  Yes	ease at	time of death?			
If 'YES' specify	□ NO □ Tes					
History	a known biotom of one of the	falloud	O			_
	a known history of any of the nelude medical record, police record,			riends).		
☐ Criminal Record		☐ In	tellectual Disab	ility		
☐ Time in Custody		□ De	epression	5	Source:	
☐ Time in a Mental He	alth Institution	☐ Ps	sychiatric Illnes:	s 5	Source:	
Release from an ins	titution within the last week	Type	ı•			

SECTION 2 – LOCAT	ION OF DEATH (tick one	e box only)		
Home	School / Other Institution / Public Administration Area			
☐ Recreation Area	Correctional Facility (eg prison, youth training centre)			
Sports or Athletics Area	Residential Facility (eg ret	irement village)		
Farm (not incl farm house)	Street or Highway			
Hospital or other Health Service	☐ Trade or Service Area			
☐ Mine or Quarry ☐ Industrial or Construction Area	<ul><li>☐ Unknown</li><li>☐ Other (specify)</li></ul>			
Industrial of Construction Area	U Other (specify)			
SECTION 3 – ACTIVITY AT	T TIME OF INCIDENT	(tick one box only)		
Sports and Active Recreation	Leisure Activity	☐ Working for Income		
☐ Engaged in Formal Education (Student)	☐ Domestic Duties	☐ Volunteer Work		
Resting / Sleeping / Eating / Personal Activity	Unknown	☐ Nursed / Cared For		
☐ Other (specify)				
	SE OF DEATH DETA			
Apparent Case Type: Suspected Suicide  Natural	☐ Homicide ☐ Unknown	Accident		
Apparent Cause of Death:				
INCIDENT CIRCUMSTANCES: (tick one or more to	ooxes & ensure you also complete add	litional section specified)		
☐ Natural	☐ Drowning / Water-Related			
☐ Work Related Death (section 8)	Unexpected Infant / Child Death (section 16)			
☐ Consumer Product Related Death (section 9)	☐ Hospital Death			
☐ Death Involving a Weapon / Firearm (section 10)	☐ Death Involving an Anaesthetic			
Fire / Burn Related Death (section 11)	☐ Hanging Death			
☐ Transport Related Death (section 12)	☐ Homicide			
☐ Suspected Suicide (section 13)	Unknown			
☐ Drug/Alcohol/Poison Related Death (section 14)	Other (eg. electrocution, fall, s	porting) (specify)		
SECTION 5 – I	NCIDENT DETAILS			
Incident	Coroner's Case No:			
Police Event / Reference No.:	1			
Incident Date (dd/mm/yyyy):	Approximate Incident Time (2	24hr format):		
Location Address:				
Suburb/Town:	State:	Postcode:		
Found Dead / Dying				
Date (dd/mm/yyyy):	Approximate Time (24hr forma	t):		
Location Address:	-y	·		
Suburb/Town:	State:	Postcode:		
Found By:		Phone No:		
Address:		Postcode:		
Last Seen Alive				
Date (dd/mm/yyyy):	Approximate Time (24hr forma	t):		
Location Address:				
Suburb/Town:	State:	Postcode:		
Subulb/ Town.				
Last Seen By:	Relationship:	Phone No:		

Senior Next of Kin:		Relationship	:
Phone Numbers:	Address:		Postcode:
Home:	Addices.		1 osteode.
Work:			
Mobile:			
Does the Senior Next of K	(in Object to Post Mortem:	□ No □ Yes (If y	es a written objection is required)
	n authorise disposal of clothing	` ` `	
		<u>,</u>	
dentification Details			
Has deceased been identi	fied: Yes No		
If 'YES', By Whom:			
Address:		Phone No:	
Post Code:	Relationship:		
If 'NO', have arrangement	s been made for Identification:	☐ No ☐ Yes	
If 'YES', By Whom:			
Address:		Phone No:	
Post Code:		Relationship	:
	dia valation to the death.	Unknown	☐ No ☐ Yes
Have or will charges be la If 'YES' specify	id in relation to the death:		
If 'YES' specify		□ Olikilowii	
		OHKHOWII	□ NO □ Tes
If 'YES' specify	es:	U OHKHOWII	□ NU □ res
If 'YES' specify Summary of Circumstance	es:	OHKHOWH	□ NU □ Tes
If 'YES' specify Summary of Circumstance	es:	U OHKHOWII	□ NU □ Tes
If 'YES' specify Summary of Circumstance	es: of:		
If 'YES' specify Summary of Circumstance Items Taken Possession of	es: of: Section 7 – REPOF		
If 'YES' specify Summary of Circumstance Items Taken Possession of	es: of: Section 7 – REPOF	RTING INFORM	<b>MATION</b>
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name:	es: of: Section 7 – REPOF	RTING INFORM	Time (24hr):
If 'YES' specify Summary of Circumstance Items Taken Possession of	es: of: Section 7 – REPOF	RTING INFORM	<b>MATION</b>
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:	es:  Section 7 – REPOF  olice By	RTING INFORM	Time (24hr):
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:	es:  Section 7 – REPOF  olice By	RTING INFORM	Time (24hr):
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:  Reporting Police Men	es:  Section 7 – REPOF  olice By	RTING INFORM	Time (24hr):
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:  Reporting Police Men Surname and Initials:	es:  Section 7 – REPOF  olice By	RTING INFORM	Time (24hr): Phone No:
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:  Reporting Police Men Surname and Initials: Rank and Number: Phone No:	Section 7 – REPOF  olice By  nber Details	Postcode:	Time (24hr): Phone No:
If 'YES' specify Summary of Circumstance Items Taken Possession of Death Reported To Police Name: Address: Reporting Police Ment Surname and Initials: Rank and Number: Phone No:  nvestigating Police C	Section 7 – REPOF  olice By  nber Details	Postcode:	Time (24hr): Phone No:
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:  Reporting Police Men Surname and Initials: Rank and Number: Phone No:  nvestigating Police Of Surname and Initials:	Section 7 – REPOF  olice By  nber Details	Postcode:	Time (24hr): Phone No:  Station:
If 'YES' specify Summary of Circumstance Items Taken Possession of Death Reported To Police Name: Address: Reporting Police Ment Surname and Initials: Rank and Number: Phone No: Investigating Police Of Surname and Initials: Rank and Number: Rank and Number:	Section 7 – REPOF colice By  The property of the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section is a section in the section	Postcode:	Time (24hr): Phone No:
If 'YES' specify Summary of Circumstance Items Taken Possession of  Death Reported To Po Name: Address:  Reporting Police Men Surname and Initials: Rank and Number: Phone No:  nvestigating Police Of Surname and Initials:	Section 7 – REPOF  olice By  nber Details	Postcode:	Time (24hr): Phone No:  Station:
If 'YES' specify Summary of Circumstance Items Taken Possession of Death Reported To Police Name: Address: Reporting Police Ment Surname and Initials: Rank and Number: Phone No:  nvestigating Police Of Surname and Initials: Rank and Number: Rank and Number:	Section 7 – REPOF colice By  The property of the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section is a section in the section	Date: Postcode: Signature:	Time (24hr): Phone No:  Station:
If 'YES' specify Summary of Circumstance Items Taken Possession of Death Reported To Police Name: Address: Reporting Police Ment Surname and Initials: Rank and Number: Phone No:  nvestigating Police Of Surname and Initials: Rank and Number: Rank and Number:	Section 7 – REPOF colice By  The property of the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section is a section in the section	Date: Postcode: Signature:	Time (24hr): Phone No:  Station:

**Hobart or Launceston** 

S	ection 8 – WO at work, travelling to / fro				
Did death occur while:	<u> </u>		<u> </u>	,	
working (incl. travelling for work)	☐ travelling to / fro	m work (com	muting)	not known if v	vorking or commuting
Occupation at time of death (if dif		· · · · · · · · · · · · · · · · · · ·	<u> </u>		0 0
Industry:					
Section 9	- CONSUMER	PRODU	JCT-REL	ATED DE	ATH
Did the death involve any of the f	ollowing in relation to	a product	or safety dev	rice?	
☐ Defect	☐ Malfunction			Misuse	
☐ Design Fault	☐ Inherent Safety	Problem		Other Probler	n, specify
Type and description of product:					
Make and model of product:					
Approx age of product:		Plac	ce of purchas	se:	
71 - 3- 7					
Continu 40	– DEATH INVO		A \ME A F	ON / FIDE	ADM
					AKIVI
Type of weapon:	Firearm (comple	ete 10.1)		eapon (specify):	
Who inflicted fatal wound?	Deceased		☐ Other pe	erson	Unknown
10.1 Firearm  Type of firearm (eg. make, mode	I. type, action, calibre	e. category)			
Was the firearm registered?	Unknown	□ No	Yes		
If 'YES', to whom?	Deceased		not deceased)	Other	Unknown
Was the user licensed to use tha	t category of firearm?	?	∐Unknowr	n ∐ No	∐ Yes
Secti	on 11 – FIRE /	BURN I	RELATE	D DEATH	
Setting of Incident					
☐ Private Building	☐ Public Building	Outdoo	or Area	Other (sp	pecify):
If building:					
Were smoke alarms present?	Unknown	☐ No	☐ Yes		
If 'YES' were they activated?	Unknown	☐ No	Yes		
Was a sprinkler system present?	Unknown	☐ No	Yes		
If 'YES' was it activated?	Unknown	No	Yes		
Were there barriers to escape?	Unknown	□ No	Yes		
If 'YES' specify:	Locked exits	Barred	windows	Other (s	pecify):
	on 12 – TRANs				cident)
Types of vehicles involved in inci	dent: (tick all relevant bo	es)			
☐ Motor Vehicle		☐ Tram /	light rail	☐ Train	☐ Aircraft
Bicycle	Other, specify:				
No. of vehicles involved:		Are	a Speed Lim	it:	
Role of the deceased at time of in	ncident?	i			
☐ Driver / Rider or Pilot	☐ Passenger	☐ Pedest	rian 🔲	Cyclist 🔲 (	Other (specify)
Is drug/alcohol involvement susp	ected? N	0	Yes	Unkn	own

Vehicle / Aircra	ft Descriptions:					
Vehicle	Type (eg. car, plane, motoro	cvcle) Make/Mod	el/	Year	Speed Ca	ategory
	, yp = (-g, -a, , p, -a, , , , -a, , , , -a, , , , -a, , , ,	Description	<u> </u>		•	
Deceased's Vehicle:					☐ within	
		## ## ## ## ## ## ## ## ## ## ## ## ##			☐ likely (	oly over
						ely over
					□ delilit	ely over
Vehicle 2:		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			□ within	limit
						oly over
					☐ likely o	•
					☐ definit	ely over
					□ N/A	
Vehicle 3:		# # # # # #			☐ within	
					1	oly over
					☐ likely o	
					☐ n/A	ely over
Vehicle 4:					□ IVA	limit
Vernoie 4.		## ## ## ## ## ## ## ## ## ## ## ## ##			<u> </u>	oly over
					☐ likely o	•
						ely over
					☐ N/A	
Was the decea	sed wearing a seat belt?	□ N/A	Unknown		] No ☐ Yes	
Were airbags ir	nstalled and activated?	□ N/A	Unknown		No Yes (s	specify below)
Airbags	Driver	Front passenger	Right s	ide	Left side	Other (specify)
Installed						
Activated						
If cycle rider, w	as helmet being worn?	☐ Unknow	n 🗌	No	☐ Yes	-£
	Secti	on 13 – SUSP	ECTED S	SUICII	DE	
What evidence	is there to indicate that t	he deceased intende	ed suicide? (i	tick the rel	evant box(es))	
☐ Statement to	o Family/Friends		Statement	to Healt	h Professional	
☐ Note / Lette	r		Other (spec	cify):		
Has the deceas	sed previously attempted	suicide?		No	☐ Yes	Unknown
If 'YES', appro	x number of times:					
Has the deceas	sed previously been hosp	oitalised for self harm	n? 🗌	No	☐ Yes	Unknown
If 'YES', approx number of times:						
Is there any pos	ssible motive / trigger for	the suicide? (tick the	e relevant bo	x(es))		
l		Sexual Abuse			Problems	
Loss of a Lo		Iness			of Criminal Sanction	
☐ Alcohol / Dr	ug Dependency 🔲 L	Jnknown		ther (spe	ecify)	
Was deceased	being treated / seen by	any of the following p	orofessionals	? (tick re	elevant box(es))	
☐ General Pra		Psychiatrist		sycholog		nager
	accompanied by the mu				☐ No	Yes
If 'YES' , what v	was the relationship betw	veen the deceased a	ind the perso	n(s)?		

Section 14 – SUSP	ECTED DRUG / A	ALCOHOL / POISON R	ELATED DEATH	
Was there evidence of drug / al	cohol / substance use?	☐ Yes ☐ No		
If 'YES', tick the relevant box(e	es):			
Alcohol or empty containe	rs	Prescription or over-the-c	ounter drugs	
Describe:		Describe:		
☐ Illicit / prohibited drugs		Poisons or gases (including	g carbon monoxide)	
Describe:		Describe:		
Injecting or other drug par		☐ Statement by deceased p	rior to death or by witness	
(eg. needle, syringe, tourniquet, Describe:	bong, straw)	Describe:		
Items related to volatile su	ıbstance abuse	☐ Other (specify)		
(eg. petrol, paint, glue)				
Describe:				
Suspected Drug / Substa	nce Abuse (Excludina Al	cohol)		
Apparent substance(s) used (if		,		
Date of last use:		Time of last use:		
Administered By:	] Unknown	Other		
Location of last use:				
Symptoms of drug use:				
When symptoms first appeared	:			
Was there evidence of drug/sul	ostance administration on	the body of the deceased?		
	] Unknown	☐ Yes		
If 'YES', what? (eg injection marks	, powder on nose)			
Route of administration (tick one	or more) Unkno	own	☐ Inhalation	
Other:				
History				
Did the deceased have a histor	y of any of the following?	(tick the relevant boxes)		
Source of information may inclu	ide medical records, polic	ce records, other official records,	family/friends	
Abuse of alcohol	Source:	Abuse of other drugs:	Source:	
Abuse of prescription of	Source:	heroin or other opiate	es	
over-the-counter drugs		amphetamines		
Abuse of volatile substances (eg. petrol, glue, paint)	Source:	☐ cocaine		
Exposure to poisons or gase	es: Source:	☐ marijuana		
Type:		type unknown		
☐ Drug treatment program(s):  Type:  Source:		other (specify)		
	;	:	ŧ	
Prescription Medication		□ Halmanın □ Na		
Was the deceased recently pre If 'YES' date:	,	☐ Unknown ☐ No	Yes	
Address:	b) Prescribing doctor:	Suburb: Phone: Postcode:		
	<u> </u>		i usicoue.	
List the drug(s) and quantities p	prescribed and amount re	maining:		

## **Section 15 - DROWNING / WATER-RELATED DEATH**

Type Of Aquatic En	vironment						
Place	] Public	☐ Private					
Location							
☐ Bathtub ☐	Beach (non-surf)	☐ Beach (surf)		☐ Bucket /	Container		
☐ Dam ☐	Lake	☐ Harbour / Bay		☐ Pond / O	rnamental Lake		
☐ Ocean ☐	Spa (external)	Spa (internal)		Swimmin	g Pool (in ground)		
Swimming Pool (abo	ve ground)	☐ Irrigation Chann	el	River			
Other (specify)							
Activity at Time of	f Incident (tick the rel	evant box(es))					
Fishing (including spea	r fishing)	Skin Diving / Sn	orkelling				
☐ Attempting a Rescue	е	Diving (eg. Scuba	/ SSBA / Platfor	rm)			
Swimming, Paddling	g or Wading		g Near Wate	r			
☐ Incident Involving a	Water Vessel	☐ Board Riding (eg	Board Riding (eg. Surfing, Body Boarding)				
☐ Water-skiing		☐ Bathing					
Unknown, no witnes	S	Other (specify)					
Did the activity involve a	any of the following? (tick t	the relevant box(es))					
Fell / Wandered / Ju	mped into water	☐ Injury / accident	•				
☐ Swept away by water	er (eg. off rocks, by rip)	☐ Hypothermia					
Deceased's Swim	ming Ability						
☐ Strong	Competent	☐ Weak	☐ Non-Sw	/immer	Unknown		
Death Involving a	Water Vessel						
Did the death involve a		Yes	□ No (go to	·supervisio	on')		
If 'YES', was the vesse	el:			-			
Motorised Personal	Watervessel(PWV) (eg. Je	et Ski)	☐ Motoris	ed Water Ves	sel		
☐ Non-Motorised Wate	, , , ,	,	_				
Type of Vessel:	Commercial	Recreational	Unknow	/n			
Number of people on bo	<u> </u>			VIII			
· · ·	onal flotation devices ava	ilable on the vessel?		Yes No	Unknown		
	et / personal flotation dev		∟ Sod2 □	Yes No	Unknown		
-	et / personal notation dev	nce worn by the decea	seu: _	] 163   NO	OTKHOWN		
Supervision							
Was the deceased und	er supervision?	Unknown	☐ Yes (go	to <b>(a)</b> )	☐ No (go to <b>(b)</b> )		
If 'YES', by whom?							
(a) Describe the extent	and level of the supervisi	on:					
(b) Was the area being	patrolled by life guards a	t the time? \( \square\) N/A	Unknow	vn 🗌 No	Yes		
Conditions at Tin	ne of the Incident						
What were the prevailing	ng environmental conditio	ns where the death oc	curred?				
Weather:	Unknown	☐ Clear	☐ Hazy		Cloudy		
[	Rain	Flood	☐ Fog				
Wind: [	Unknown	None	Light				
	 Moderate	 ☐ Strong	Gale				
Tide: [	Unknown		Out				
Waves:	Unknown	< 1metre	1 − 2 me	etres [	☐ > 2 metres		

Rescue & Resu	scitation	
Was any attempt ma	ade to rescue the d	eceased?
Unknown	□ No	Yes (by whom)
What equipment wa	s used to assist in	this rescue?
Was any attempt ma	ade to resuscitate t	he deceased?
Unknown	□ No	Yes (by whom)
Was the person trai	ned in resuscitation	?
Unknown	☐ Yes	□ No
Signage		
Were there warning	signs in the area w	here the death occurred?
□ N/A	□ No	Yes (specify)
Marine Animals	<b>S</b>	
Was the death caus	ed by a water anim	nal? (eg. shark, crocodile, box jelly fish)
□ N/A	Unknown	☐ No ☐ Yes (specify)
Swimming Poo	ls / Spas / Dar	n / Pond
Was the pool/spa/da	am/pond fenced?	☐ No (go next question) ☐ Yes (specify and go to diagram)
If 'NO', were there a	any other barriers b	etween the pool/spa/dam/pond and other structures? (eg. Door and window locks)
Unknown	☐ No	Yes (specify)
If 'YES', which diag	ram best represents	s fence installation? (S = Structure eg. house)
□ 1	□ 2	$\square$ 3 $\square$ 4 $\square$ 5 $\square$ 6 $\square$ 7 (other)
water	water	water water water water
If (athor) along the fo		
if other, describe to	ence or other barrie	r separating pool/spa/dam/pond from structure.
Describe type of fer	ice (eg. height, mat	erial, horizontal bars)
Using the diagram,	indicate the position	n of gate in reference to the pool/spa/dam/pond.
□ Тор	☐ Bottom	☐ Left ☐ Right
Was the fence or ga	ate defective?	
Unknown	☐ No	Yes (describe defect)
Was gate self-latchi	ng?	Was gate open or closed at the time of the incident?
Unknown	☐ No	☐ Yes ☐ Unknown ☐ Open ☐ Closed

# **Section 16 – UNEXPECTED INFANT / CHILD DEATH**

Scene					
Where was the infant found?				<u> </u>	
☐ Infant's Bedroom	Parent's Be	droom	Other (specify)		
Was the infant sleeping alone?		☐ Yes	☐ No (explain)	□ U	nknown
If No, with whom?					
Position of infant when found (tid	,		_		
On Back	On Stomac		Side	_	
Head to right side	Head to left		☐ Head face dov	vn 🔲 U	nknown
Were any items covering the inf					
Unknown	☐ No	Yes (specify)			
Were there signs of mechanical		<u> </u>	cation?		
Unknown	No	Yes (specify)			
Was there debris/object in the in					
Unknown	∐ No	Yes (specify)			
Medical Information					
Was the infant born prematurely	·2	Yes	□ No □	Unknown	
Did the infant have any of the fo	_	weeks prior to de			
Cold	Fever		Recent injury	or other illness	
Sniffles	Wheezing		Diarrhoea	-	
Cough	Vomiting		Recent Inocula	ation	
Was the child known to have an	•	•			
Abnormal Development		osure to Contagi	ious Disease		Equipment in Use
☐ Known Allergies	☐ Recent Hos	pital visit(s)		☐ Any know	n medical
problems  Has there been any other child	dia in the immer	diate family?	Unknown	□No	☐ Yes
		nate fairily!			□ 162
If 'YES', what was the cause of	death?				
Other Information (observ					
Were there any signs of habitua		household?			
Unknown	☐ No	Yes (describe	<i>'</i>		
Was there any evidence of alco	•				
Unknown	☐ No	Yes (describe	e)		
Is there any history of family vio	lence?				
Unknown	☐ No	Yes (describe	e)		
PLEASE SAVE THIS I		DDIOD TO SE		AN 'E Mail'	BV 7am TO:
PLEASE SAVE THIS I				AIN E-IVIAII	Di Talli IU.
	Co	oroner's Ass	ociate		
	Но	bart or Laune	ceston		

Life Extinct Report etc are to be forwarded as per normal

Treasurer's Instruction No	709
Title	Grant Management Framework
Effective date	1 August 2008
Objective and Background	To provide a framework for the management of grant payments
Last Reviewed Date	30 June 2008

This Instruction supersedes Treasurer's Instructions 709 and 710

Black letter (or bold) items within these Instructions are mandatory and other plain font items are instructional or for the purpose of providing guidance only.

### **Background**

This Instruction sets out the minimum conditions which agencies need to meet when offering a one off grant or an ongoing grant program. When designing a grant scheme, legal advice should be obtained from the Crown Solicitor on how best to protect the interests of the Agency. The Funding Agreement will determine the legal remedies that are available. Useful references in relation to the Best Practice Administration of Grants are located on web sites of the Department of Treasury and Finance and the Australian National Audit Office.

#### **Definitions**

## (1) For the purposes of this Treasurer's Instruction (TI):

"Grant" means any assistance by way of a sum of money or other resource provided to an organisation or individual by the Government on the condition that the assistance is used for a specified purpose, and where the grantor receives no direct economic benefits in return for the assistance provided.

A grant does not include a loan, whether repayable or not, nor payments by the Agency to a third party. The term "grant" also does not include funding of activities relating primarily to the provision of goods and services directly to a government agency.

A grant is assistance, which may or may not have conditions imposed, other than the condition that the grant be used for a specified purpose, by the agency and agreed to by the grantee. These conditions are set out in the Funding Agreement. If the conditions of a grant are breached, the only remedial action may be that the grant money is repaid or future payments withheld.

"Grant Program" determines the processes by which individual grants are allocated. It allocates responsibility to plan and manage grants, establishes and manages individual funding agreements and provides for effective review or evaluation of the allocation. The grant program will ensure that all grants are administered and expended in accordance with approved Government objectives and outcomes.

"Funding Agreement" is a legally enforceable Agreement in writing, setting out the terms and conditions regarding the establishment and administration of grants. These terms and conditions are determined by the grantor.

The form of agreement will vary depending on the intent of the grant and the degree of control required. It can be a deed, contract or exchange of letters.

"Grant Resources" is an interchangeable term along with, "grant funds", "grant monies" and "grant money", indicating the sum of money or other resources allocated by the agency as part of a grant or grant program.

#### Administration

- (2) The Head of Agency must ensure that grants are allocated in accordance with the purpose for which the grant funding was provided by the Government.
- (3) The Head of Agency must develop and maintain appropriate documentation for each grant program and its administration in accordance with current Agency processes.

The documentation and relevant records should be maintained in the same manner as other financial records. The Agency must comply with the Government's anticipated outcomes and objectives from the grant or grant program. Grant allocation, where possible, should be linked to an Agency's core business and goals. The outcomes and objectives should be clear and non-conflicting, based on meeting government priorities.

(4) The Head of Agency is to ensure that an appropriate risk assessment and management plan is completed for each grant or grant program before its commencement.

By identifying the risks associated with each grant program or significant one off grants, an Agency can take precautionary measures to mitigate the risks, and avoid adverse outcomes. In addition to minimising the financial risk of non-performance by the grantee, a robust risk assessment will assist to reduce negative non-financial impacts, such as adverse publicity or a loss of public confidence in the agency's financial management. Some risks associated with grant management include funding inappropriate or lower priority community projects, inappropriate use of grant funds, projects not being completed or completed after agreed target dates and loss of Agency credibility.

Risk assessment will identify uncertainties that may impact on grant funds being utilised as intended. Once identified, each potential risk should be able to be addressed by a specific course of action to minimise the potential for damage. Varying degrees of risk require varying levels of required action.

- (5) The Head of Agency must ensure that:
  - (a) grants are properly differentiated from the acquisition of goods and services;
  - (b) all applications for grants are assessed in an open, transparent and consistent manner;
  - (c) the Agency meets all taxation obligations, including any Goods and Services Tax obligations, in accordance with TI 1001 Taxation Management Framework:
  - (d) grant funds are allocated in accordance with publicly available eligibility and selection criteria, which align with relevant Government policies;
  - (e) grantees are reasonably capable of meeting the objectives and outcomes of the grant program.

Goods and services are defined in the Glossary at the Resources section of Buying for Government on the Procurement website www.purchasing.tas.gov.au.

(6) The Head of Agency must ensure that the terms and conditions of each grant provided by the Agency are set out in a legally enforceable written Funding Agreement.

The Funding Agreement must be between the grantee and the Agency and it must clearly state that grant funds are not to be paid to a third party. That is, a contractual arrangement should always be established between the grantor and grantee.

- (7) The Head of Agency must ensure that the Funding Agreement includes conditions that address:
  - (a) the Agency's risk management and monitoring requirements;
  - (b) the repayment or withholding of grant money in cases where the grantee fails to meet the terms and conditions of the contract; and
  - (c) the repayment of any unspent grant funds.

Terms and conditions should utilise performance measures to indicate appropriateness, efficiency, effectiveness and economy of the grant. They can be both qualitative and quantitative.

The Funding Agreement agreed upon by all parties, must set out arrangements under which grants are provided, received, managed and acquitted. Accordingly, the contract should contain appropriate controls and accountability mechanisms to ensure that the grant funds are utilised for the intended purpose. Actions that will be taken for breaches of the grant conditions should be clearly stated in the Funding Agreement.

### Monitoring

(8) The Head of Agency must ensure that an appropriate monitoring strategy is established for each grant or grant program to ensure compliance with grant conditions.

Monitoring is the process of collecting, recording and analysing information on a regular basis to ensure that funds are being spent correctly and measured against identified outcomes and objectives. It is important that an appropriate level of monitoring is established. The following should be taken into account:

- the amount of the funding, nature of the funding and the nature of the funded organisation (or activity/individual);
- the administrative costs of monitoring, reporting and data collection for both the Agency and funded organisation; and
- the Agency's risk management policy.

The performance of the grantee in meeting the Funding Agreement conditions can be monitored in a number of ways, through the application of a combination of:

- written updates;
- site visits;
- performance information reports regarding the achievement of specified outcomes;
- the review of the grantee's audited financial statements; and/or

• other monitoring tools, as identified in the grant program's risk management plan and approved by the Head of Agency.

The amount and type of the grant, and the risk assessment completed at commencement of the grant, will determine the level of monitoring. For example, a small project may only require a report at the end of a Project, while a more complex project would have a more regular and rigorous review completed to measure performance against agreed measures.

For grants of more than \$50 000, or considered of high risk according to the agency's risk management assessment, audited financial statements should be obtained. Audited financial statements showing the receipt and manner of disbursement of each grant, together with an audit certificate, will provide the best assurance to an agency on the adherence to grant conditions. Where audited financial statements are obtained, and the grantee has received a qualified audit opinion, the Head of Agency shall, except where that opinion has been provided by the Auditor-General, advise the Auditor-General of the qualification as soon as possible.

- (9) The Head of Agency must ensure that any significant breaches in grant terms and conditions are appropriately and promptly dealt with to maximise the recovery of public monies. Any losses are to be dealt with in accordance with TI 302 Recording of Losses. If the grant is partially completed, no further payments are to be made to the grantee where significant breaches have been identified.
- (10) In accordance with TI 206 Presentation of Annual Financial Statements, an Agency must provide details, including purpose and intended outcomes, of each significant grant or grant program in its Annual Report. All other grant or grant program details must be recorded and reported in accordance with prudent accounting practice and in accordance with the requirements of the initial risk management assessment.

### **Grant Program Review**

(11) The Head of Agency must ensure that each grant program is reviewed at least annually to ensure that intended outcomes are being realised. The review findings must be reported in the Agency's Annual Report.

Reviews are to be conducted to assess the success of grant programs as well as the efficiency and effectiveness of grant programs and processes. A review of a grants process is important to determine many factors, such as whether the grant program:

- should continue;
- is still achieving desired outcomes;
- being managed in the most efficient and effective manner;
- can be changed or improvements made; and
- the plan to implement these identified improvements.

Reviews should be completed by an objective and, where possible, an independent party from the allocation and decisions making regarding grant applicants. Performance measures should be utilised to monitor the grant throughout its lifecycle and to evaluate the grant program process as a whole. These measures should be clear and based on the identified outcomes of the grant. They should be comprehensive and tested from time to time.