

## EDUCATION, SCIENCE AND TRAINING

### SENATE LEGISLATION COMMITTEE - QUESTIONS ON NOTICE 2006-2007 ADDITIONAL ESTIMATES HEARING

**Outcome:** 2  
**Output Group:** 2.4 - Funding for Higher Education

#### **DEST Question No. E796\_07**

Senator Carr provided in writing.

#### **Question:**

Higher Education Support Act 2003 - funding clusters, under-enrolments and re-allocations of student placements

1. Can the Department confirm that according to its previous advice, the Minister for Education waived penalties for universities who failed to meet their enrolment target? Was this done by regulation as was done for 2005?
2. Why was the decision to waive penalties in 2006 taken?
3. In November Estimates, the Department flagged that there were a significant number of universities requesting shifts in their student loads. How did the approval process go? What penalties were put in place?
4. What has occurred as a result of this process? Have universities been able to re-allocate student load?
5. Break down this data by university and cluster
6. How much did this cost in Commonwealth contribution by university/cluster? Was this an additional cost to the Commonwealth?
7. Have any universities over-enrolled in 2006 or 2007? If so, have penalties been applied to those universities?

#### **Answer:**

*Higher Education Support Act 2003 - funding clusters, under-enrolments and re-allocations of student placements*

1. For providers listed in Table A of the *Higher Education Support Act 2003*, the Minister determined under the Commonwealth Grant Scheme (CGS) Guidelines that no adjustment be made to providers' 2006 CGS grant in relation to under enrolments in 2005.
2. The Minister decided to make no adjustment to Table A providers' 2006 CGS grants in relation to under enrolments in 2005 in recognition that 2005 was the first year of the new funding arrangements.
- 3 & 4. Requests for cluster shifts were discussed with the relevant providers and the outcome was reflected in the Funding Agreements that they signed with the Commonwealth. There are no 'penalties' associated with this.

5. Attachment A provides details of the approved variations from the 2007 indicative allocations for each provider. The indicative allocations reflect the load for the previous year, new places and any variations approved previously.
6. See Attachment A.
7. Full year data for 2006 will not be available until mid 2007, and full year data for 2007 will not be available until mid 2008.

## Approved 2007 funding agreement variations by cluster

Higher education provider		Cluster 1	Cluster 2	Cluster 3	Cluster 4	Cluster 5	Cluster 6	Cluster 7	Cluster 8	Cluster 9	Cluster 10	Cluster 11	Cluster 12	Total
Avondale College	EFTSL	0.3	0.4	-20.0	-8.3	-29.4	9.7	-0.4	-14.5	0.0	0.0	52.0	10.7	0.5
	Funding	\$411	\$1,046	-\$90,900	-\$44,539	-\$212,427	\$78,318	-\$4,323	-\$194,649	\$0	\$0	\$413,428	\$117,060	\$63,425
Charles Sturt University	EFTSL	0.4	-180.8	111.2	-0.1	0.8	16.1	0.0	-5.9	59.2	-58.9	0.4	0.3	-57.4
	Funding	\$616	-\$488,736	\$506,570	-\$336	\$5,425	\$129,919	\$0	-\$78,790	\$994,942	-\$1,053,213	\$3,478	\$2,738	\$22,613
Macquarie University	EFTSL	0.0	0.0	-82.0	0.0	72.0	0.0	2.0	-150.0	0.0	110.0	12.0	0.0	-36.0
	Funding	\$0	\$0	-\$373,592	\$0	\$520,776	\$0	\$19,816	-\$2,011,650	\$0	\$1,965,700	\$95,400	\$0	\$216,450
Southern Cross University	EFTSL	60.0	-234.1	-136.7	0.0	21.3	-3.4	42.6	-22.5	0.0	0.0	13.1	-66.5	-326.3
	Funding	\$98,520	-\$632,840	-\$622,748	\$0	\$153,701	-\$27,192	\$422,329	-\$301,748	\$0	\$0	\$103,847	-\$728,546	-\$1,534,677
The University of New England	EFTSL	0.0	0.0	-110.0	0.0	-140.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	-250.0
	Funding	\$0	\$0	-\$501,160	\$0	-\$1,012,620	\$0	\$0	\$0	\$0	\$0	\$0	\$0	-\$1,513,780
The University of New South Wales	EFTSL	1.8	206.6	-33.5	-18.3	-63.7	-238.6	27.1	5.3	116.4	-19.6	43.6	0.0	27.0
	Funding	\$2,956	\$558,372	-\$152,626	-\$98,472	-\$461,013	-\$1,922,400	\$268,507	\$71,246	\$1,956,054	-\$350,252	\$346,521	\$0	\$218,891
The University of Newcastle	EFTSL	-0.0	-99.0	140.2	51.4	-62.6	252.2	-43.1	-19.4	-0.4	-23.6	-100.9	4.7	99.5
	Funding	-\$21	-\$267,626	\$638,653	\$276,596	-\$452,961	\$2,032,254	-\$427,220	-\$259,782	-\$6,687	-\$421,094	-\$802,243	\$51,243	\$361,113
The University of Sydney	EFTSL	-17.3	29.3	35.9	6.1	-76.1	-36.1	100.9	-111.6	57.5	-24.8	102.0	1.0	66.9
	Funding	-\$28,427	\$79,063	\$163,731	\$32,959	-\$550,160	-\$291,059	\$1,000,089	-\$1,497,003	\$966,575	-\$442,283	\$810,900	\$10,953	\$255,338
University of Technology, Sydney	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
University of Western Sydney	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
University of Wollongong	EFTSL	0.0	0.0	0.0	0.0	30.0	0.0	0.0	0.0	0.0	0.0	47.0	0.0	77.0
	Funding	\$0	\$0	\$0	\$0	\$216,990	\$0	\$0	\$0	\$0	\$0	\$373,650	\$0	\$590,640
Deakin University	EFTSL	-9.0	-86.0	0.0	0.4	-2.4	62.7	0.1	11.3	0.0	0.0	-48.4	-0.1	-71.5
	Funding	-\$14,778	-\$232,458	\$0	\$2,018	-\$17,178	\$505,073	\$619	\$150,874	\$0	\$0	-\$385,078	-\$856	\$8,236
La Trobe University	EFTSL	0.0	-45.1	-257.5	-7.0	168.2	-61.4	22.3	115.0	0.5	-70.0	91.0	-57.1	-101.1
	Funding	\$0	-\$121,838	-\$1,173,170	-\$37,667	\$1,216,500	-\$494,458	\$220,866	\$1,542,291	\$8,405	-\$1,250,900	\$723,369	-\$625,690	\$7,708
Monash University	EFTSL	-66.8	-303.0	11.3	8.5	71.0	-386.6	51.9	48.5	23.2	-0.1	156.0	60.0	-326.1
	Funding	-\$109,686	-\$818,975	\$51,483	\$45,739	\$513,272	-\$3,114,836	\$514,225	\$650,434	\$389,992	-\$1,787	\$1,240,200	\$657,180	\$17,240
Royal Melbourne Institute of Technology	EFTSL	0.0	125.4	-28.5	-36.0	-14.6	-111.5	-63.1	87.2	49.6	-7.8	0.9	-24.4	-22.7
	Funding	\$0	\$339,018	-\$129,634	-\$193,601	-\$105,679	-\$898,075	-\$624,793	\$1,169,637	\$833,298	-\$140,027	\$7,514	-\$267,777	-\$10,118
Swinburne University of Technology	EFTSL	0.0	5.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	5.0
	Funding	\$0	\$13,515	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$13,515
University of Ballarat	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
The University of Melbourne	EFTSL	34.0	68.1	-64.2	0.5	-113.4	-41.6	-0.3	99.7	-21.5	-0.0	0.0	39.3	0.4
	Funding	\$55,828	\$184,037	-\$292,451	\$2,661	-\$820,286	-\$335,477	-\$3,392	\$1,337,404	-\$361,415	-\$765	\$0	\$429,958	\$196,101
Victoria University	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Central Queensland University	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Christian Heritage College	EFTSL	0.0	0.9	2.3	0.0	-0.1	0.0	3.9	0.0	0.0	0.0	-7.0	0.0	-0.0
	Funding	\$0	\$2,343	\$10,631	\$0	-\$482	\$0	\$38,311	\$0	\$0	\$0	-\$55,650	\$0	-\$4,848
Griffith University	EFTSL	48.1	153.8	101.9	-7.8	-249.1	-265.1	-220.1	356.5	45.4	-34.3	183.1	-92.1	20.4
	Funding	\$78,919	\$415,823	\$464,143	-\$41,972	-\$1,801,469	-\$2,135,609	-\$2,180,379	\$4,781,357	\$762,754	-\$612,048	\$1,455,844	-\$1,009,045	\$178,317
James Cook University	EFTSL	82.8	-96.9	-139.3	-36.2	-95.5	-197.3	-50.0	22.4	-12.0	-23.1	-169.6	-44.2	-758.9
	Funding	\$135,971	-\$261,787	-\$634,637	-\$194,961	-\$690,679	-\$1,589,697	-\$495,078	\$300,964	-\$202,382	-\$412,457	-\$1,348,309	-\$484,569	-\$5,877,622
Queensland University of Technology	EFTSL	6.0	0.0	0.0	0.3	0.0	10.0	0.0	4.0	0.0	12.8	-25.0	0.0	8.0
	Funding	\$9,852	\$0	\$0	\$1,345	\$0	\$80,570	\$0	\$53,644	\$0	\$227,843	-\$198,750	\$0	\$174,504
The University of Queensland	EFTSL	26.0	-120.6	100.0	50.0	-75.3	-110.6	22.2	287.1	-80.0	-97.1	-1.1	-0.3	0.3
	Funding	\$42,692	-\$326,049	\$455,600	\$269,050	-\$544,735	-\$891,306	\$219,834	\$3,850,633	-\$1,344,800	-\$1,735,624	-\$8,447	-\$2,738	-\$15,890
University of Southern Queensland	EFTSL	0.0	0.0	0.0	0.0	0.0	-49.0	40.0	0.0	0.0	0.0	30.0	0.0	21.0
	Funding	\$0	\$0	\$0	\$0	\$0	-\$394,793	\$396,320	\$0	\$0	\$0	\$238,500	\$0	\$240,027
University of the Sunshine Coast	EFTSL	10.0	-27.6	12.9	-49.4	19.8	-11.8	26.3	-45.3	0.0	32.2	51.9	-0.0	19.0
	Funding	\$16,450	-\$74,488	\$58,585	-\$266,052	\$143,078	-\$94,840	\$260,293	-\$606,995	\$0	\$575,017	\$412,804	-\$1	\$423,851

Curtin University of Technology	EFTSL	0.0	0.0	0.0	16.0	0.0	5.0	0.0	49.0	-10.0	0.0	0.0	15.0	75.0
	Funding	\$0	\$0	\$0	\$86,096	\$0	\$40,285	\$0	\$657,139	-\$168,100	\$0	\$0	\$164,295	\$779,715
Edith Cowan University	EFTSL	-248.0	-405.0	-46.0	-25.0	31.0	-253.0	-373.0	36.0	14.0	-154.0	-35.0	46.0	-1,412.0
	Funding	-\$407,216	-\$1,094,715	-\$209,576	-\$134,525	\$224,223	-\$2,038,421	-\$3,695,684	\$482,796	\$235,340	-\$2,751,980	-\$278,250	\$503,838	-\$9,164,170
Murdoch University	EFTSL	-10.6	-75.6	-36.5	37.8	-127.4	-42.0	-21.6	122.7	33.6	-3.8	50.5	-22.4	-95.5
	Funding	-\$17,446	-\$204,381	-\$166,294	\$203,402	-\$921,647	-\$338,676	-\$214,261	\$1,645,094	\$564,816	-\$68,799	\$401,475	-\$245,149	\$638,134
The University of Notre Dame Australia	EFTSL	-4.8	-2.2	29.5	0.2	4.0	-21.5	1.0	-4.6	1.0	0.2	11.2	21.7	35.8
	Funding	-\$7,943	-\$6,005	\$134,439	\$1,124	\$29,244	-\$173,605	\$10,289	-\$61,307	\$16,810	\$4,187	\$89,105	\$238,206	\$274,542
The University of Western Australia	EFTSL	-25.0	-19.0	0.0	18.0	-100.0	-30.0	35.0	70.0	36.0	0.0	-30.0	0.0	-45.0
	Funding	-\$41,050	-\$51,357	\$0	\$96,858	-\$723,300	-\$241,710	\$346,780	\$938,770	\$605,160	\$0	-\$238,500	\$0	\$691,651
Tabor College	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
The Flinders University of South Australia	EFTSL	0.0	1.2	-33.3	0.0	32.0	-0.7	-17.9	-8.6	0.0	-1.0	33.0	-0.4	4.3
	Funding	\$0	\$3,210	-\$151,772	\$0	\$231,456	-\$5,539	-\$177,725	-\$114,832	\$0	-\$17,870	\$262,350	-\$4,450	\$24,829
The University of Adelaide	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
University of South Australia	EFTSL	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Australian Maritime College	EFTSL	-6.8	-15.5	0.0	-5.8	-1.0	3.0	-5.8	19.3	0.0	-13.5	0.0	0.0	-26.0
	Funding	-\$11,084	-\$41,897	\$0	-\$30,941	-\$7,233	\$24,171	-\$56,971	\$258,162	\$0	-\$241,245	\$0	\$0	-\$107,037
University of Tasmania	EFTSL	-20.9	-63.8	-52.6	-9.8	-45.5	-2.2	-46.1	-35.9	40.7	21.6	20.6	50.3	-143.5
	Funding	-\$34,379	-\$172,418	-\$239,760	-\$52,489	-\$328,862	-\$17,335	-\$456,511	-\$481,856	\$683,957	\$386,042	\$163,969	\$550,731	\$1,088
Batchelor Institute of Indigenous Tertiary Education	EFTSL	0.0	-7.0	3.0	0.0	4.0	-21.0	-3.0	-6.0	0.0	-3.0	7.0	26.0	0.0
	Funding	\$0	-\$18,921	\$13,668	\$0	\$28,932	-\$169,197	-\$29,724	-\$80,466	\$0	-\$53,610	\$55,650	\$284,778	\$31,110
Charles Darwin University	EFTSL	46.5	-12.3	-16.5	-37.8	-47.3	35.3	-46.5	-83.5	0.0	-4.5	59.8	108.0	1.3
	Funding	\$76,353	-\$33,112	-\$75,174	-\$203,133	-\$341,759	\$284,009	-\$460,722	-\$1,119,819	\$0	-\$80,415	\$475,013	\$1,182,924	-\$295,835
The Australian National University	EFTSL	0.0	0.0	0.0	0.0	-14.5	0.0	-10.9	-29.8	0.0	0.0	0.0	0.0	-55.2
	Funding	\$0	\$0	\$0	\$0	-\$104,879	\$0	-\$107,997	-\$399,815	\$0	\$0	\$0	\$0	-\$612,691
University of Canberra	EFTSL	0.0	-100.0	0.0	0.0	0.0	-50.0	0.0	-50.0	0.0	0.0	0.0	0.0	-200.0
	Funding	\$0	-\$270,300	\$0	\$0	\$0	-\$402,850	\$0	-\$670,550	\$0	\$0	\$0	\$0	-\$1,343,700
Australian Catholic University	EFTSL	0.0	4.0	19.0	0.0	10.0	0.0	4.0	3.0	0.0	0.0	5.0	0.0	45.0
	Funding	\$0	\$10,812	\$86,564	\$0	\$72,330	\$0	\$39,632	\$40,233	\$0	\$0	\$39,750	\$0	\$289,321
<b>Total</b>	<b>EFTSL</b>	<b>-93.5</b>	<b>-1,298.8</b>	<b>-489.3</b>	<b>-52.2</b>	<b>-793.8</b>	<b>-1,539.3</b>	<b>-522.5</b>	<b>749.5</b>	<b>353.0</b>	<b>-362.4</b>	<b>553.1</b>	<b>75.3</b>	<b>-3,420.8</b>
	<b>Funding</b>	<b>-\$153,463</b>	<b>-\$3,510,664</b>	<b>-\$2,229,426</b>	<b>-\$280,841</b>	<b>-\$5,741,443</b>	<b>-\$12,402,476</b>	<b>-\$5,176,871</b>	<b>\$10,051,414</b>	<b>\$5,934,718</b>	<b>-\$6,475,579</b>	<b>\$4,397,539</b>	<b>\$825,083</b>	<b>-\$14,762,010</b>

Note: The above variations include the allocation of additional places to providers that resulted from the reallocation of places from the Australian National University, Edith Cowan University, James Cook University and the University of New England.