

BET 323: Appendix 1
ASIC's current proceedings as at 7 July 2010

Proceeding	Action	Status	Event	Date	State	Court
Proceeding 1	Criminal	Litigating	Mention	3/08/2010	NSW	Local
Proceeding 2*	Civil	Litigating	Directions Hearing	3/06/2010	VIC	Supreme
Proceeding 3	Criminal	Litigating	Trial	6/08/2010	NSW	District
Proceeding 4	Criminal	Litigating	Trial	1/03/2010	VIC	County Court
Proceeding 5	Criminal	Litigating	Plea	21/06/2010	VIC	Supreme
Proceeding 6	Criminal	Litigating	Sentence	Not Yet Set	VIC	Supreme
Proceeding 7	Criminal	Litigating	Mention	8/07/2010	VIC	Magistrates
Proceeding 8	Admin	Decision Under Appeal	Mention	24/03/2009	QLD	Federal
Proceeding 9	Criminal	Litigating	Trial	18/10/2010	VIC	Magistrates
Proceeding 10	Civil	Litigating	Final Orders	22/04/2010	NSW	Supreme
Proceeding 11	Civil	Litigating	Directions Hearing	3/09/2010	VIC	Federal
Proceeding 12	Criminal	Decision Under Appeal	Mention	18/09/2007	NSW	Local
Proceeding 13	Criminal	Litigating	Mention	3/08/2010	VIC	Magistrates
Proceeding 14	Criminal	Awaiting Possible Appeal	Trial	24/05/2010	QLD	District
Proceeding 15	Criminal	Litigating	Trial	16/11/2010	QLD	District
Proceeding 16	Criminal	Litigating	Committal	29/07/2010	NSW	Local
Proceeding 17	Civil	Litigating	Directions Hearing	21/07/2010	NSW	Supreme
Proceeding 18	Criminal	Litigating	Mention	13/10/2010	QLD	Magistrates
Proceeding 19	Civil	Awaiting Possible Appeal	Final Orders	11/08/2009	VIC	Federal
Proceeding 20	Admin	Decision Under Appeal	Decision	Not Yet Set	VIC	AAT
Proceeding 21	Criminal	Litigating	Mention	19/07/2010	TAS	Supreme
Proceeding 22	Civil	Litigating	Mention	24/04/2010	NSW	Supreme
Proceeding 23	Criminal	Litigating	First Appearance	Not Yet Set	NSW	Local
Proceeding 24	Criminal	Decision Under Appeal	Trial	22/10/2008	VIC	County Court
Proceeding 25	Criminal	Litigating	Committal	26/07/2010	NSW	Local
Proceeding 26*	Civil	Litigating	Hearing	10/08/2010	WA	Federal
Proceeding 27*	Civil	Litigating	Interim Orders	Not Yet Set	WA	Federal
Proceeding 28*	Civil	Decision Under Appeal	Hearing	1/11/2010	WA	Federal
Proceeding 29	Civil	Litigating	Directions Hearing	Not Yet Set	WA	Federal
Proceeding 30	Criminal	Litigating	Committal	26/07/2010	VIC	Magistrates
Proceeding 31	Admin	Decision Under Appeal	Hearing	4/05/2009	VIC	AAT
Proceeding 32	Criminal	Litigating	Mention	15/04/2010	VIC	County Court
Proceeding 33	Criminal	Litigating	First Appearance	Not Yet Set	VIC	Magistrates
Proceeding 34	Criminal	Litigating	First Appearance	23/07/2010	WA	District
Proceeding 35	Criminal	Litigating	Arraignment	6/08/2010	NSW	Supreme
Proceeding 36	Criminal	Litigating	Trial	23/08/2010	QLD	District
Proceeding 37	Civil	Litigating	Hearing	22/07/2010	VIC	Federal
Proceeding 38	Civil	Litigating	Hearing	22/07/2010	VIC	Federal
Proceeding 39*	Civil	Litigating	Directions Hearing	27/08/2010	NSW	Supreme
Proceeding 40*	Civil	Litigating	Directions Hearing	27/08/2010	NSW	Supreme
Proceeding 41*	Civil	Litigating	Directions Hearing	27/07/2010	NSW	Supreme
Proceeding 42*	Civil	Decision Under Appeal	Decision	Not Yet Set	NSW	Court of Appeal
Proceeding 43	Criminal	Decision Under Appeal	Trial	Not Yet Set	VIC	Supreme
Proceeding 44	Criminal	Litigating	Trial	Not Yet Set	QLD	District
Proceeding 45	Criminal	Litigating	First Appearance	Not Yet Set	WA	Magistrates
Proceeding 46	Criminal	Litigating	Directions Hearing	26/07/2010	VIC	Magistrates
Proceeding 47	Criminal	Decision Under Appeal	Hearing	Not Yet Set	QLD	Supreme
Proceeding 48	Criminal	Litigating	Sentence	22/07/2010	VIC	County Court
Proceeding 49	Criminal	Litigating	Decision	16/07/2010	NSW	Local
Proceeding 50	Civil	Litigating	Hearing	25/08/2010	VIC	Federal
Proceeding 51	Civil	Litigating	Hearing	31/05/2010	NSW	Federal
Proceeding 52	Criminal	Litigating	First Appearance	25/06/2010	WA	Magistrates
Proceeding 53	Admin	Decision Under Appeal	Hearing	Not Yet Set	NSW	AAT
Proceeding 54	Criminal	Decision Under Appeal	First Appearance	Not Yet Set	NSW	Court of Appeal
Proceeding 55	Civil	Litigating	Directions Hearing	Not Yet Set	QLD	Supreme

Proceeding	Action	Status	Event	Date	State	Court
Proceeding 56*	Criminal	Litigating	Committal	28/02/2011	VIC	Magistrates
Proceeding 57*	Civil	Litigating	Interim Orders	23/02/2010	VIC	Federal
Proceeding 58*	Admin	Decision Under Appeal	Hearing	2/08/2010	VIC	AAT
Proceeding 59	Criminal	Litigating	Sentence	29/07/2010	NSW	District
Proceeding 60	Civil	Decision Under Appeal	Mention	4/03/2010	NSW	Supreme
Proceeding 61	Criminal	Litigating	Mention	23/07/2010	NSW	District
Proceeding 62	Criminal	Litigating	Trial	21/02/2011	VIC	County Court
Proceeding 63	Civil	Litigating	Interim Orders	14/09/2009	QLD	Supreme
Proceeding 64	Civil	Litigating	First Appearance	18/06/2010	NSW	Federal
Proceeding 65	Criminal	Litigating	Case Conference	Not Yet Set	WA	District
Proceeding 66	Admin	Decision Under Appeal	Mention	Not Yet Set	NSW	AAT
Proceeding 67	Criminal	Litigating	First Appearance	Not Yet Set	VIC	Magistrates
Proceeding 68	Admin	Decision Under Appeal	Hearing	12/05/2010	NSW	AAT
Proceeding 69	Civil	Litigating	Hearing	9/07/2010	VIC	Federal
Proceeding 70	Criminal	Litigating	Sentence	16/07/2010	NSW	Supreme
Proceeding 71	Criminal	Litigating	First Appearance	10/08/2010	NSW	Local
Proceeding 72	Criminal	Litigating	Trial	26/07/2010	VIC	County Court
Proceeding 73	Criminal	Litigating	Trial	15/11/2010	QLD	District
Proceeding 74	Criminal	Decision Under Appeal	Decision	11/08/2010	WA	Supreme
Proceeding 75	Criminal	Litigating	First Appearance	1/06/2010	QLD	Magistrates
Proceeding 76	Civil	Litigating	Directions Hearing	24/05/2010	NSW	Supreme
Proceeding 77	Civil	Litigating	Hearing	20/07/2010	NSW	Supreme
Proceeding 78	Civil	Litigating	Hearing	Not Yet Set	VIC	Federal
Proceeding 79	Criminal	Litigating	Mention	26/08/2010	VIC	Magistrates
Proceeding 80	Criminal	Litigating	Hearing	1/07/2010	VIC	County Court
Proceeding 81	Civil	Decision Under Appeal	Decision	Not Yet Set	WA	Court of Appeal
Proceeding 82	Civil	Litigating	Directions Hearing	6/12/2010	NSW	Supreme
Proceeding 83	Civil	Litigating	Directions Hearing	6/12/2010	NSW	Supreme
Proceeding 84	Criminal	Litigating	Hearing	Not Yet Set	VIC	Magistrates
Proceeding 85*	Civil	Litigating	Directions Hearing	20/05/2010	VIC	Federal
Proceeding 86*	Civil	Litigating	Directions Hearing	Not Yet Set	VIC	Federal
Proceeding 87*	Civil	Litigating	Directions Hearing	3/08/2010	WA	Federal
Proceeding 88*	Civil	Litigating	Decision	1/09/2009	VIC	Federal
Proceeding 89*	Civil	Litigating	Mediation	1/09/2009	VIC	Federal
Proceeding 90*	Civil	Litigating	Mediation	1/09/2009	VIC	Federal
Proceeding 91*	Civil	Litigating	Directions Hearing	Not Yet Set	VIC	Federal
Proceeding 92*	Criminal	Litigating	Committal	9/08/2010	NSW	Local
Proceeding 93*	Civil	Litigating	Decision	24/06/2010	VIC	Supreme
Proceeding 94*	Criminal	Litigating	Trial	6/09/2010	SA	Magistrates
Proceeding 95*	Civil	Litigating	Return of subpoena	Not Yet Set	VIC	Federal
Proceeding 96*	Civil	Litigating	Directions Hearing	Not Yet Set	QLD	Federal
Proceeding 97*	Civil	Decision Under Appeal	Decision	24/05/2010	WA	Federal
Proceeding 98*	Civil	Litigating	Final Orders	15/06/2009	WA	Federal
Proceeding 99	Criminal	Litigating	Hearing	3/08/2010	NSW	Magistrates
Proceeding 100	Civil	Litigating	Directions Hearing	Not Yet Set	QLD	Supreme
Proceeding 101	Civil	Litigating	Hearing	24/06/2010	VIC	Federal
Proceeding 102	Civil	Litigating	Decision	Not Yet Set	QLD	Federal

*Enforcement special account funded