

Senate Standing Committee on Economics
ANSWERS TO QUESTIONS ON NOTICE
 Innovation, Industry, Science and Research Portfolio
 Additional Estimates Hearing 2010-11
 23 February 2011

AGENCY/DEPARTMENT: INNOVATION, INDUSTRY, SCIENCE AND RESEARCH

TOPIC: Audit Committee

REFERENCE: Written Question – Senator Colbeck

QUESTION No.: AI-85

How many people are on the Department’s audit committee? How many of these are independent members?

When was the last change (or changes) made to the membership of that committee?

Are you able to provide a list of each of the internal audit reports that has been written since the creation of the Department of Innovation, Industry, Science and Research on 3 December 2007? For each of the reports that are listed, could you please indicate how many of the findings were noted respectively as having a CR1 and CR2 status?

ANSWER

The Audit Committee comprises six members. The Audit Committee membership includes two external members.

The last change (or changes) made to the membership of the Committee were in December 2010.

Audit Topic	CR1	CR2
Personnel Security	2	4
Commercial Ready	–	4
Contract Management (follow up on procurement audit)	9 Recommendations – no ratings	
Memoranda of Understanding	–	3
Low Emission Technology Development Fund	–	1
Insurance Contract Review	1	1
Audit of the Fraud Unit	2	8
Debt Management	–	–
Legislative Compliance	3	–
Physical Security	1	6

Audit Topic	CR1	CR2
ACSI 33 Compliance Update (Phase 1)	–	–
Transfer of Questacon Service History Records	–	–
Corporate Taxation	–	–
Credit Card and Collector of Public Monies	–	–
Technology One	–	–
Components of Certificate of Compliance 2008–09	–	–
Asset Management	–	–
Review of PC Refresh Strategy (Phase 1)	–	–
Quality Assurance audit of Internal Audit	–	6
Science and Research Division Program Delivery Framework Against Better Practice Principles	–	3
Aurion Application Controls	–	6
Program Retirement	–	–
Payment of Grants under HESA	–	–
Review of Policy Development and Implementation	–	1
Quality Assurance Review of April Hard Close Pack	–	–
Review of PC Refresh Strategy (Phase 2)	–	–
Website Management	7	21
Disaster Recovery Arrangements	2	10
ACSI 33 Compliance Update (Phase 2)	–	–
NMI Capital Projects and Purchases	–	10
Tradex	–	–
Occupational Health and Safety	1	1
Conflict of Interest	–	4
Website Security	1	6
Australian Building Codes Board Revenue Review	–	–
Treasury Function	–	–
Structural Adjustment Funds	–	1
Slipstream	–	3
Program Reconciliations	–	1
Components of Certificate of Compliance 2009–10	–	1
Unrecorded Leave	6 Recommendations – no ratings	
Compliance with Commonwealth Grant Guidelines	–	–

Audit Topic	CR1	CR2
Voice over internet protocol (VOIP) Security	–	–
Quarterly Performance Report and Traffic Light Reporting System	–	5
Website Redevelopment	–	–
Accounts Payable	–	–
AusIndustry Compliance Framework	–	–
Green Building Fund	–	–
Flex Sheet Administration	–	1
Technology One Contracts Module	–	3
Australian Space Research Program	–	–
Components of Certificate of Compliance 2010–11	–	–
Management of Panel Arrangements	–	–
Education Investment Fund (EIF) Grant Payments made under EIF Round 2 and the Super Science Initiative	–	1
Special Accounts	–	–
Risk Management	–	4
Enterprise Connect	–	–
Payroll	–	–
AAO/NTM Staff Transition	–	–