SENATE STANDING COMMITTEE ON LEGAL AND CONSTITUTIONAL AFFAIRS ATTORNEY-GENERAL'S PORTFOLIO

Program: Administrative Appeals Tribunal

Question No. AE17/020

Senator Siewert asked the following question at the hearing on 28 February 2017:

Senator SIEWERT: I know that we are trying to make up some time, so I will try to be quick. I understand that you do not usually have legal representation in the process.

Ms Leathem: It really depends on the type of review that is being undertaken, but certainly many people represent themselves in proceedings.

Senator SIEWERT: Do you keep figures on that?

Mr Matthies: We do.

Ms Leathem: We do have some representation data. In the 2015-16 year, 59 per cent of applicants were self-represented. And if you look at the year to date—July to December 2016—that is slightly higher, at 60 per cent of applicants.

Senator SIEWERT: I may need to put some more questions on notice; I am aware of time. But in the provision of those figures, are you able to give me a breakdown state by state? When you are going back to the figures that I asked for, can you—on notice—give me a breakdown state by state?

Mr Matthies: We should be able to do that.

Senator SIEWERT: Could you also provide a state-by-state breakdown of where people are self-represented?

Ms Leathem: Effectively what we could do in the representation data is tell you the proportion of applicants who were self-represented.

Senator SIEWERT: Yes. That would be really appreciated. I am particularly interested in DSP, again, in terms of self-representation. I have been told that there is some concern about the increasing numbers of people with DSP who are applying and are needing help in order to navigate their way through the system. So, I am particularly interested in any information you can give me on the number of people on DSP who self-represent. Can you tell me how many of the applicants—and maybe take it on notice; I am sure you do not have all the information at your fingertips anyway—are on DSP, the proportion who are on DSP, particularly for the year to date and the last financial year, for which you have made a determination one way or the other for the applicant?

Ms Leathem: So, outcome.

Senator SIEWERT: Outcomes, yes, under the various determinations that you make, particularly DSP, but I would be interested in any other information you can give me on the other payment types. Thank you.

Mr Matthies: The figures that we just provided, the 59 and 60 per cent, are across all of the tribunal's jurisdictions, but you are particularly interested in the Centrelink jurisdiction—Senator SIEWERT: Yes.

Mr Matthies: In terms of representation and the outcome data?

Senator SIEWERT: Yes. Apologies: I was not clear. Yes, I am particularly interested in the Centrelink jurisdiction, and, if possible, broken down for the payment types that you review. Thank you.

The answer to the honourable senator's question is as follows:

On 1 July 2015, the Administrative Appeals Tribunal (AAT) merged with the Migration Review Tribunal, the Refugee Review Tribunal and the Social Security Appeals Tribunal (SSAT). Applications for first review of decisions made under the family assistance, social security and student assistance laws by Centrelink (Centrelink decisions) are dealt with in the AAT's Social Services and Child Support Division. An affected individual or the Secretary of a department administering the laws pursuant to which Centrelink decisions are made can apply for a second review of the SSCSD's decision. Applications for second review are dealt with in the AAT's General Division.

The following two tables set out for all applications finalised by the AAT in 2015–16 and in the 2016–17 financial year to 28 February 2017:

- the number and proportion of individuals who were parties to a review of a Centrelink decisions who were self-represented; and
- the number and proportion of individuals who were parties to a review relating to a decision about disability support pension (DSP) who were self-represented.

A person has been counted as self-represented if the AAT's case management system does not record a representative for that person at the time the application was finalised, whether the representative is a lawyer, accountant, other type of advocate, friend or relative.

| 2015–16 | 5 | Self-representati | on – First revie | W | Self-representation – Second review | | | | | | | | |
|---------|---------|-------------------|------------------|-----|-------------------------------------|----------|-----|-----|--|--|--|--|--|
| | All Cer | ntrelink | D | SP | All Cer | ntrelink | DSP | | | | | | |
| | No. | % | No. | % | No. | % | No. | % | | | | | |
| NSW | 3,096 | 84% | 1,451 | 81% | 580 | 77% | 313 | 79% | | | | | |
| VIC | 2,969 | 82% | 1,285 | 79% | 510 | 78% | 268 | 76% | | | | | |
| QLD | 2,467 | 83% | 1,081 | 79% | 361 | 87% | 195 | 88% | | | | | |
| SA | 1,074 | 72% | 482 | 60% | 150 | 68% | 90 | 69% | | | | | |
| WA | 1,030 | 85% | 399 | 79% | 132 | 79% | 76 | 77% | | | | | |
| TAS | 302 | 86% | 122 | 85% | 38 | 72% | 16 | 73% | | | | | |
| ACT | 114 | 81% | 44 | 80% | 74 | 78% | 31 | 82% | | | | | |
| NT | 32 | 80% | 12 | 67% | 4 | 40% | 2 | 67% | | | | | |
| TOTAL | 11,084 | 82% | 4,876 | 77% | 1,849 | 78% | 991 | 78% | | | | | |

| 2016–17 Self-representation – First review | Self-representation – Second review |
|--|-------------------------------------|
|--|-------------------------------------|

| to 28 Feb 2017 | All Cer | ntrelink | D | SP | All Cer | ntrelink | DSP | | | |
|----------------|---------|----------|-------|-----|---------|----------|-----|-----|--|--|
| | No. | % | No. | % | No. | % | No. | % | | |
| NSW | 2,038 | 85% | 971 | 82% | 353 | 78% | 181 | 74% | | |
| VIC | 1,969 | 82% | 863 | 78% | 304 | 74% | 195 | 70% | | |
| QLD | 1,680 | 83% | 776 | 78% | 264 | 82% | 169 | 80% | | |
| SA | 769 | 72% | 321 | 59% | 109 | 69% | 62 | 68% | | |
| WA | 709 | 87% | 276 | 82% | 117 | 80% | 58 | 74% | | |
| TAS | 224 | 90% | 99 | 85% | 37 | 90% | 21 | 91% | | |
| ACT | 87 | 81% | 35 | 74% | 57 | 77% | 35 | 74% | | |
| NT | 21 | 72% | 6 | 50% | 4 | 80% | 0 | 0% | | |
| TOTAL | 7,497 | 82% | 3,347 | 77% | 1,245 | 77% | 721 | 74% | | |

The following tables set out the outcomes of applications for review of Centrelink decisions finalised by the AAT in 2015–16 and in the 2016–17 financial year to 28 February 2017 for the following most common payment types and all other decision/payment types:

- disability support pension (DSP)
- family tax benefit (FTB)
- newstart allowance (NSA)
- age pension (AP), and
- youth allowance (YA).

In relation to second review, the AAT does not record whether the outcome of an application is or is not favourable to the individual concerned, only whether the decision of the SSCSD on first review has been affirmed, varied or set aside or the application has been otherwise finalised. The tables below provide information separately in relation to the outcomes of applications for second review lodged by individuals and applications lodged by departments.

| 2015–16 | | | First re | view ^a | | | Second review – Applications lodged by individuals | | | | | | | Second review – Applications lodged by departments | | | | | | |
|---|-------|-------|----------|-------------------|-----|-------|---|-----|-----|-----|----|-------|-----|---|-----|----|----|-------|--|--|
| Outcome type | DSP | FTB | NSA | AP | YA | Other | DSP | FTB | NSA | AP | YA | Other | DSP | FTB | NSA | AP | YA | Other | | |
| By decision ^b | | | | | | | | | | | | | | | | | | | | |
| Decision affirmed | 4,322 | 1,028 | 581 | 454 | 274 | 1,257 | 326 | 32 | 9 | 36 | 1 | 94 | 2 | 1 | 0 | 0 | 0 | 6 | | |
| Decision varied or set aside | 1,095 | 597 | 305 | 247 | 202 | 692 | 25 | 15 | 5 | 3 | 0 | 34 | 20 | 10 | 0 | 2 | 1 | 13 | | |
| Subtotal | 5,417 | 1,625 | 886 | 701 | 476 | 1,949 | 351 | 47 | 14 | 39 | 1 | 128 | 22 | 11 | 0 | 2 | 1 | 19 | | |
| By consent | | | | | | | | | | | | | | | | | | | | |
| Decision affirmed ^c | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Decision varied or set aside ^c | 0 | 0 | 0 | 0 | 0 | 0 | 189 | 12 | 10 | 21 | 2 | 95 | 6 | 0 | 0 | 0 | 0 | 3 | | |
| Dismissed by consent ^d | 3 | 1 | 2 | 0 | 0 | 1 | 7 | 0 | 1 | 2 | 1 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Dismissed by operation of law ^e | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 | 0 | 0 | 0 | 292 | 0 | 0 | 0 | 0 | 0 | 1 | | |
| Subtotal | 3 | 1 | 2 | 0 | 0 | 1 | 202 | 13 | 12 | 24 | 3 | 399 | 6 | 0 | 0 | 0 | 0 | 4 | | |
| Other | | | | | | | | | | | | | | | | | | | | |
| Withdrawn by applicant | 296 | 150 | 80 | 77 | 44 | 154 | 467 | 25 | 15 | 31 | 1 | 103 | 18 | 21 | 0 | 0 | 1 | 8 | | |
| Dismissed by $\operatorname{Tribunal}^{\mathrm{f}}$ | 81 | 78 | 76 | 3 | 18 | 61 | 68 | 9 | 10 | 11 | 3 | 35 | 0 | 1 | 0 | 0 | 0 | 0 | | |
| No jurisdiction to review ^g | 585 | 277 | 312 | 135 | 355 | 513 | 129 | 9 | 8 | 14 | 3 | 47 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Subtotal | 962 | 505 | 468 | 215 | 417 | 728 | 664 | 43 | 33 | 56 | 7 | 185 | 18 | 22 | 0 | 0 | 1 | 8 | | |
| TOTAL | 6,382 | 2,131 | 1,356 | 916 | 893 | 2,678 | 1,217 | 103 | 59 | 119 | 11 | 712 | 46 | 33 | 0 | 2 | 2 | 31 | | |

| 2016–17 to 28 Feb 2017 | | | First 1 | review ^a | | | Second review – Applications lodged by individuals | | | | | | | Second review – Applications lodged by departments | | | | | | |
|--|-------|-------|---------|---------------------|-----|-------|---|-----|-----|----|----|-------|-----|---|-----|----|----|-------|--|--|
| Outcome type | DSP | FTB | NSA | AP | YA | Other | DSP | FTB | NSA | AP | YA | Other | DSP | FTB | NSA | AP | YA | Other | | |
| By decision ^b | | | | | | | | | | | | | | | | | | | | |
| Decision affirmed | 2,823 | 623 | 385 | 335 | 119 | 667 | 234 | 25 | 12 | 13 | 2 | 42 | 2 | 1 | 0 | 0 | 0 | 2 | | |
| Decision varied or set aside | 788 | 302 | 172 | 154 | 74 | 394 | 29 | 8 | 2 | 8 | 0 | 21 | 18 | 5 | 1 | 2 | 0 | 2 | | |
| Subtotal | 3,611 | 925 | 557 | 489 | 193 | 1,061 | 263 | 33 | 14 | 21 | 2 | 63 | 20 | 6 | 1 | 2 | 0 | 4 | | |
| By consent | | | | | • | | | | | | | | | | | | | | | |
| Decision affirmed ^c | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 0 | 2 | 4 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Decision varied or set aside ^c | 0 | 0 | 0 | 0 | 0 | 0 | 155 | 13 | 13 | 19 | 4 | 32 | 7 | 0 | 0 | 0 | 2 | 2 | | |
| Dismissed by consent ^d | 6 | 9 | 1 | 1 | 0 | 1 | 16 | 3 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Dismissed by operation of law ^e | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 34 | 23 | 25 | 10 | 92 | 0 | 0 | 0 | 0 | 0 | 2 | | |
| Subtotal | 6 | 9 | 1 | 1 | 0 | 1 | 195 | 50 | 39 | 48 | 14 | 127 | 7 | 0 | 0 | 0 | 2 | 4 | | |
| Other | | | | | | | | | | | | | | | | | | | | |
| Withdrawn by applicant | 207 | 78 | 76 | 71 | 13 | 99 | 332 | 20 | 28 | 25 | 1 | 35 | 12 | 10 | 2 | 0 | 0 | 3 | | |
| Dismissed by Tribunal ^f | 98 | 65 | 61 | 1 | 29 | 73 | 69 | 3 | 6 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| No jurisdiction to review ^g | 455 | 326 | 426 | 141 | 219 | 454 | 72 | 8 | 12 | 2 | 1 | 18 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Subtotal | 760 | 469 | 563 | 213 | 261 | 626 | 473 | 31 | 46 | 27 | 2 | 61 | 12 | 10 | 2 | 0 | 0 | 3 | | |
| TOTAL | 4,377 | 1,403 | 1,121 | 703 | 454 | 1,688 | 931 | 114 | 99 | 96 | 18 | 251 | 39 | 16 | 3 | 2 | 2 | 11 | | |

^a A single application may relate to more than one reviewable decision. These figures include outcomes for all decisions that have been reviewed.

^b Applications finalised by a decision of the AAT under section 43 of the *Administrative Appeals Tribunal Act 1975* ^c Applications finalised by a decision of the AAT made under section 34D or 42C in accordance with terms of agreement reached by the parties

^d Applications dismissed by the AAT by consent under section 42A(1)

^e If an application for a review of a Centrelink decision relates to the recovery of a debt, the parties may agree in writing to settle the proceedings. On receipt of the agreement, the application is taken to have been dismissed.

 $^{\rm f}$ Applications dismissed by the AAT under section 42A(2) (non-appearance at a case event), section 42A(5) (failure to proceed with an application or to comply with a direction of the AAT) and section 42B(1) (application is frivolous, vexatious, misconceived, lacking in substance, has no reasonable prospect of success or is an abuse of the process of the AAT)

^g Applications finalised on the basis that the decision is not subject to review by the AAT, the applicant has not applied for a review by an Authorised Review Officer, the application has not been made within a prescribed time limit or the AAT has refused to extend the time for applying for a review