

2016-17 Supplementary Budget Estimates – Treasury Portfolio – Index of Questions on Notice

QON No.	Batch	Senator	Subject	Full Question Text	Division or Agency	Proof Hansard Ref / Written	PDMS Ref
1	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	AASB/AUASB	Written	SQ16-001039
2	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	AASB/AUASB	Written	SQ16-001040
3	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	AASB/AUASB	Written	SQ16-001041
4	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	AASB/AUASB	Written	SQ16-001042
5	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	AASB/AUASB	Written	SQ16-001236
6	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	AASB/AUASB	Written	SQ16-001239
7	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	AASB/AUASB	Written	SQ16-001241
8	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	AASB/AUASB	Written	SQ16-001243
9	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	AASB/AUASB	Written	SQ16-001245

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10	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <ol style="list-style-type: none"> 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location. 	AASB/AUASB	Written	SQ16-001247
11	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <ol style="list-style-type: none"> 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years. 	AASB/AUASB	Written	SQ16-001249
12	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <ol style="list-style-type: none"> 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review. 	AASB/AUASB	Written	SQ16-001252
13	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff. 	AASB/AUASB	Written	SQ16-001255
14	1	McAllister	Staffing - Separations	<p>How many separations occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation. 	AASB/AUASB	Written	SQ16-001256

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15	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	AASB/AUASB	Written	SQ16-001258
16	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	AASB/AUASB	Written	SQ16-001264
17	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	AASB/AUASB	Written	SQ16-001268
18	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	AASB/AUASB	Written	SQ16-001269
19	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	AASB/AUASB	Written	SQ16-001270
20	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	AASB/AUASB	Written	SQ16-001271
21	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	AASB/AUASB	Written	SQ16-001272
22	4	Ketter	2016 Census - payment of collectors	1) Paper form collectors / field workers, employed under contracts, have contacted MPs regarding non-payment. Is the ABS having ongoing difficulties in verifying hours worked by contractors? 2) Is this a result of extra work, and verifying claims of extra work, performed by field workers due to the Census night failure? 3) Does the ABS have an estimate of how long it will take for field workers' hours to be verified and thus paid? 4) What was the extra cost of extra collectors?	ABS	Written	SQ16-001054
23	4	Ketter	2016 Census – additional resources request	1) Did the ABS ask the Treasurer or Minister McCormack for additional resources as a result of the Census online failure? 2) What was that request? 3) When was that request made? 4) What response did the ABS receive from the Government? When was that response received?	ABS	Written	SQ16-001063
24	2	Ketter	2016 Census – alternative plan	In 2015, ABS CIO Pat Hadley said “I’m very conscience that for the 2016 census, the more that we deploy and adopt the technology, the more and more that the risk shifts to the technology and the technologies deployed. We’re being very, very cautious about examining every link in the chain, and that we thoroughly load test, performance test, and we’ve got an alternative plan should we need it”. 1) Was this before the IBM contract was signed? 2) In 2015, what were the alternative plans?	ABS	Written	SQ16-001076
25	2	Ketter	2016 Census – ATO assistance	1) The ABS contracted the ATO to assist with the Census information service. This was on a fee for service / full recovery basis. Did the phone line require more resourcing than anticipated? 2) How much more?	ABS	Written	SQ16-001081
26	2	Ketter	2016 Census – Australian Signals Directorate	1) When was the Australian Signals Directorate first involved in the Census process? 2) What briefings did the ABS seek from the ASD prior to Census night about high demand issues such as denial of service attacks?	ABS	Written	SQ16-001090

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27	4	Ketter	2016 Census – capacity testing / Distributed Denial of Service attacks	<p>1) The Census is a high-profile, national endeavour that would make it a likely candidate for a Distributed Denial of Service attack. Why was such a huge amount of traffic not accounted for in capacity testing?</p> <p>2) We're informed that the Census was capable of receiving [225 submissions per second], and was receiving 150 submissions per second at its peak. Were submissions per second the only type of load capacity tested?</p> <p>3) What other scenarios of high demand – including denial of service attacks – were tested?</p> <p>4) Did another contractor such as NextGen offer services such as those required to fend off a Distributed denial of service attack?</p>	ABS	Written	SQ16-001103
28	3	Ketter	2016 Census – Confidentiality	<p>1) Does IBM have a confidentiality clause with the ABS?</p> <p>2) Does NextGen – the Internet Service Provider that is said to have failed in instigating the Island Australia defence – have a confidentiality agreement with IBM?</p> <p>3) Are the Treasurer, Minister responsible or in the case of subcontracting to NextGen, IBM, privy to the confidentiality provisions in the contracts?</p>	ABS	Written	SQ16-001129
29	2	Griff	2016 Census - eCensus Application IP Rights	<p>1. Can the ABS confirm that IBM have been granted Intellectual Property rights to use the eCensus application developed by IBM for the ABS?</p> <p>a. If so, are these rights granted to IBM royalty-free?</p> <p>b. If so, why was this decision made?</p> <p>2. It is understood from testimony provided to the Economics References Committee that such a right may enable the ABS to 'benefit' from future enhancements to the eCensus application made in response to other countries that may decide to use the eCensus application and fund enhancements to it.</p> <p>a. Is this 'benefit' a contracted right of the ABS?</p> <p>b. Is this 'benefit' a royalty/cost free right of the ABS?</p>	ABS	Written	SQ16-001123
30	1	Ketter	2016 Census – FOI	<p>1) Some responses to Freedom of Information requests to the ABS have returned estimates of 95 hours to find the web server logs for Census night, 9 August. Why did it take 95 hours to find the web server logs?</p>	ABS	Written	SQ16-001138
31	2	Ketter	2016 Census – IBM US team	<p>1) Was the Minister responsible, or the Treasurer, briefed – or sought briefings – from the ABS and IBM about the IBM US team that was flown over to assist?</p> <p>2) Did the IBM US team have a report to IBM, or any Government agency or Minister?</p>	ABS	Written	SQ16-001150
32	3	Ketter	2016 Census – independent review panel	<p>1) Has the Minister or Treasurer sought briefings about the ABS' attempts to establish an independent panel to review the Census?</p> <p>2) What is the progress of the independent panel?</p> <p>3) Who will sit on the independent panel?</p>	ABS	Written	SQ16-001161
33	1	Ketter	2016 Census – Island Australia back-up plan	<p>1) When the Island Australia back-up plan kicked-in – that is, geoblocking overseas web traffic – did this have an impact on the census.gov.au website appearing in search results, such as on Google?</p>	ABS	Written	SQ16-001167
34	1	Ketter	2016 Census – liability waivers	<p>1) Did IBM, or their subcontractors, have liability waivers in their contracts to operate the Census?</p>	ABS	Written	SQ16-001173
35	1	Ketter	2016 Census – limited tender	<p>1) Was IBM the only firm approached in the "limited tender"?</p>	ABS	Written	SQ16-001180
36	2	Ketter	2016 Census – log of faults	<p>1) Does the ABS have a log of other faults that occurred, such as the feedback forms on abs.gov.au failing?</p> <p>2) Please provide this log of faults.</p>	ABS	Written	SQ16-001190
37	1	Ketter	2016 Census – Ministers and capacity	<p>1) Did the Treasurer or Minister responsible raise questions about capacity at any time prior to the Census night?</p>	ABS	Written	SQ16-001198
38	2	Ketter	2016 Census – online advertising	<p>1) How much did the ABS spend on online advertising?</p> <p>2) How much did the ABS spend on Google ads in response to the Island Australia plan being activated?</p>	ABS	Written	SQ16-001203
39	4	Ketter	2016 Census – paper forms	<p>1) How many census forms were printed?</p> <p>2) How many were issued?</p> <p>3) How many were returned/used?</p> <p>4) What was the cost of printing and posting the forms?</p>	ABS	Written	SQ16-001273
40	1	Ketter	2016 Census – Prime Minister	<p>1) Was the ABS involved directly or indirectly in providing advice to the Prime Minister on Census night, prior to him tweeting how successful and easy it was to complete the Census online?</p>	ABS	Written	SQ16-001274
41	2	Ketter	2016 Census – quality of data	<p>1) Does the ABS have concerns about the quality of the data given the lower than trend completion rate?</p> <p>2) Have any other Commonwealth Government departments or agencies expressed a view of concern about the quality of data? If so, which departments or agencies, when, and in what form</p>	ABS	Written	SQ16-001275

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42	5	Ketter	2016 Census – security of data	<p>1) Can the ABS provide details on what legal arrangements have been entered into between the ABS and IBM/Softlayer to ensure the security of the Census data of Australians?</p> <p>2) Can ABS confirm whether Census-related data of Australians may be sent outside of Australia and/or may otherwise be processed offshore?</p> <p>3) Is IBM/Softlayer collecting any data relating to Australians who complete the Census online, including (but not limited to) the Internet Protocol (IP) addresses of Australians completing the Census online?</p> <p>4) Given IBM/Softlayer is a U.S. corporation subject to U.S. law, is there any possibility that Census-related data processed or stored by IBM or Softlayer may be lawfully accessed by U.S. government agencies, such as the National Security Agency, under relevant provisions of U.S. law, such as the USA PATRIOT Act?</p> <p>5) Has the javascript code used by IBM/Softlayer in its role in processing Census-related data been independently verified by Australian authorities in order to ensure that it complies with international best practice cybersecurity? If it has, who was responsible for verifying the code?</p>	ABS	Written	SQ16-001276
43	1	Ketter	2016 Census – Tendering for IT contract	<p>1) When the ABS was tendering the IT contract, were options like ‘infinitely scaled’ capacity – that is, capacity grows as demand grows, as per sites like Amazon Web Services – considered? If not, why not?</p>	ABS	Written	SQ16-001277
44	1	Rice	2016 Census - Travel to work by motorcycle	<p>Did the ABS include a motorcycle option as a method of travel to work in the 2016 Census and, if not, why was the decision made not to include it?</p>	ABS	Written	SQ16-001278
45	3	Ketter	2016 Census – Completion statistics	<p>1) Does the ABS have up-to-date or latest figures on the completion rate of the Census?</p> <p>2) When will final response rates be known?</p> <p>3) How does this compare to previous Census years?</p>	ABS	Written	SQ16-001279
46	4	Ketter	Advisory or working groups	<p>Please provide details of advisory or working groups within the agency.</p> <p>1) Who is on each advisory or working group</p> <p>2) What support is being provided by the agency</p> <p>3) What is the expenditure to date of the advisory or working group</p> <p>4) What reporting mechanisms to the agency executive or the Minister exists</p>	ABS	Written	SQ16-001280
47	1	Ketter	Company cars in salary packages	<p>How many staff have access to company cars within their salary packages?</p>	ABS	Written	SQ16-001282
48	4	Ketter	Consultancies	<p>Please provide all details of consultancies currently underway:</p> <p>1) Who has been contracted</p> <p>2) The length of the contract</p> <p>3) The cost of the contract</p> <p>4) What specific tasks each consultancy is responsible for</p>	ABS	Written	SQ16-001287
49	1	McAllister	Contractors and consultants - contract details	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The project or engagement;</p> <p>b) The value of the contract;</p> <p>c) The name of each firm or contractor engaged; and</p> <p>d) The purpose of the contract.</p>	ABS	Written	SQ16-001289
50	1	McAllister	Contractors and consultants - Labour hire arrangements	<p>For the 2015-16 financial year, please outline:</p> <p>a) How many staff were employed through labour hire arrangements;</p> <p>b) Total expenditure on labour hire staff;</p> <p>c) The contractors or labour hire firms engaged to supply these staff;</p> <p>d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and</p> <p>e) The nature of the work performed by labour hire staff.</p>	ABS	Written	SQ16-001291
51	1	McAllister	Contractors and consultants - Names of firms and payments made	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The names of each firm or contractor engaged; and</p> <p>b) Total payments made to each contractor or consultant.</p>	ABS	Written	SQ16-001293
52	1	McAllister	Contractors and consultants - total expenditure	<p>What was the total expenditure on contractors and consultants in the 2015-16 financial year.</p>	ABS	Written	SQ16-001296
53	3	Ketter	Credit Cards	<p>How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?</p>	ABS	Written	SQ16-001299

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54	1	Rhiannon	Functions of the National Housing Supply Council	In the previous Senate Estimates hearings Senator Cormann answered questions on notice about the National Housing Supply Council and the functions it performed. Senator Cormann made clear that no other body is in charge of performing the functions of the National Housing Supply Council, which was abolished in the 2014-15 budget. a. How is the lack of affordable housing supply intended to be addressed without the figures that were produced in the National 'State of Supply' report, last released in 2012?	Treasury - Social Policy Division	Written	SQ16-001301
55	1	Rhiannon	Homelessness Statistics Reference Group	Given that funding for Indigenous homelessness programs and bodies have been systematically defunded over the past few years, it is a concern that numbers of the Indigenous homelessness may have risen since the last census. A recommendation that was put in place from the 2011 census was the Homelessness Statistics Reference Group to address and advise on the 'hidden' nature of homelessness ¹ . a. May I have a list of the work the Reference Group has done since 2011 to address the hidden nature of homelessness? ¹ The ABS Discussion Paper, Methodological Review of Counting the Homeless 2006, noted the 'hidden' homelessness is the context of homeless people reporting a usual address on Census night while visiting other private homes.	ABS	Written	SQ16-001304
56	1	Rhiannon	Measures to prevent undercounting of homeless people in the Northern Territory	The hard copy census in the territories is only conducted over one night, yet the Northern Territory spans more than 1 000 000 square km and has the highest number of remote communities in Australia has a historically high number of rough sleepers: what measures did the ABS put in place for the 2016 census to prevent undercounting of homeless people in the Northern Territory, given that without access to a home many homeless would not have had access to the online census?	ABS	Written	SQ16-001307
57	1	Ketter	Meetings with the Treasurer or ministers regarding the Census	Senator KETTER: How many meetings or briefings did you, Mr Kalisch, or your senior people have with the Treasurer or the minister with administrative responsibilities for the ABS regarding the census? Mr Kalisch: Over what period, Senator? Senator KETTER: Since 2014. Mr Kalisch: I will have to take that on notice, Senator. I do have some other information on other engagements with ministers, but I do not have a record of the meetings. [...] Senator KETTER: I would be interested in the dates on which those meetings occurred as well. Mr Kalisch: Okay. Senator KETTER: This is a fairly significant part of the exercise. I would have thought that you might have that information at your disposal here tonight. The position of Chief Statistician was left vacant for nearly a year before you took the role, Mr Kalisch. Did a temporary head have meetings or briefings with the Treasurer or minister with responsibility for the ABS? Mr Kalisch: Perhaps I can ask Mr Palmer. He was the acting statistician over that period. Mr Palmer: Yes, I had some meetings with Minister Ciobo. I would have to take on notice the dates and times. Senator KETTER: How many meetings? Mr Palmer: I would have to take that on notice, Senator.	ABS	Page 115 (19 October 2016)	SQ16-001310
58	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	ABS	Written	SQ16-001314

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59	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <ol style="list-style-type: none"> 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location. 	ABS	Written	SQ16-001317
60	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <ol style="list-style-type: none"> 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years. 	ABS	Written	SQ16-001325
61	1	Ketter	Recruitment of Head Statistician	<p>Senator KETTER: Okay. Are you able to tell us why the position of head statistician was left vacant for so long? Senator Ryan: Has that been covered in a previous estimates? Mr Kalisch: I think it was—before I came. Senator Ryan: I will take that on notice. I do not know that it is fair to ask the Statistician. I think it has been covered at the previous estimates.</p>	Treasury - Macroeconomic Modelling and Policy Division	Pages 118-119 (19 October 2016)	SQ16-001331
62	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <ol style="list-style-type: none"> 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review. 	ABS	Written	SQ16-001331
63	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff. 	ABS	Written	SQ16-001334
64	1	McAllister	Staffing - Separations	<p>How many separations occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation. 	ABS	Written	SQ16-001339

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65	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	ABS	Written	SQ16-001341
66	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	ABS	Written	SQ16-001342
67	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	ABS	Written	SQ16-001343
68	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	ABS	Written	SQ16-001344
69	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	ABS	Written	SQ16-001345
70	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	ABS	Written	SQ16-001346
71	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	ABS	Written	SQ16-001347
72	5	Ketter	ACCC 2016-17 Portfolio Budget Statements	1) Could you please explain the Special appropriations (e) on page 73 of the ACCC Budget statement? This has decreased, why? What impact will this have on the ACCC's work? 2) Has the ACCC been considering any cost recovery mechanisms for any activities? If so, which activities? Has the Minister requested any cost recovery mechanisms being used? Would consumers be expected to pay for any of these activities which the ACCC has previously provided? 3) Has the Minister or anyone in the Government discussed with the ACCC selling off any aspect of the ACCC, or any particular assets associated with the ACCC? 4) There is additional funding in this Budget for the Australian Energy Regulator – for 2016-17, but not for any other out years. Why is this? What is this additional funding specifically for? Does it relate in any way to the energy price comparator website? 5) Please detail what the capital measure (page 74) for International Trade Agreements – govt. procurement reforms is for, for 2016-17? How does this fit within the ACCC's purview? Has this \$300,000 been tendered and/or allocated to a particular entity to undertake the capital measure?	ACCC	Written	SQ16-001049
73	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	ACCC	Written	SQ16-001058
74	2	Whish-Wilson	ASIC Registry	1. Has the ACCC been consulted regarding the competitive tender to upgrade and operate the ASIC Registry and to develop and sell value added products and services? 2. What advice has the ACCC provided to government regarding oversight of the prices charged by the ASIC Registry, both currently and under any future commercialised arrangement?	ACCC	Written	SQ16-001074

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75	2	Ketter	Button Batteries	Referring to the recent announcement of an industry code of conduct for the packaging and sale of button batteries and products that contain them. 1) Has an assessment been made of the metrics? I understand around 20 kids are hospitalised a week by swallowing button batteries. Is one of the KPIs for the success of the new industry code hospitalised children per week? 2) Voluntary codes are often only acceded to by the companies that already do the right thing. What is the ACCC doing to improve outcomes around button battery suppliers of dubious reputation?	ACCC	Written	SQ16-001087
76	1	Ketter	Charging for paper bills	1) Telecommunication companies and banks are reportedly starting to now charge for paper bills. Has the ACCC received any reports on this? Has any action been taken on this? Has the Minister been briefed on this consumer issue? If not, why not? If so, on what date?	ACCC	Written	SQ16-001093
77	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	ACCC	Written	SQ16-001095
78	1	Ketter	Complaints regarding the finance and banking sector	Senator KETTER: How many complaints a year do you receive regarding the finance and banking sector? Mr Sims: We would have to take that on notice. Mr Bezzi: We would have to take that on notice. I am not sure that we keep it broken down in that way. Mr Sims: I do not think it is a lot. We get an infinite number on petrol and a whole range of other things, but we do not get that many on that that are really ours as distinct from ASIC's. Senator KETTER: Okay. So you would have figures as to how many you referred on to ASIC that you received. Mr Sims: I think we would have figures on how many complaints we get overall and how many are referred to ASIC. We could take that on notice.	ACCC	Page 124 (19 October 2016)	SQ16-001106
79	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	ACCC	Written	SQ16-001122
80	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	ACCC	Written	SQ16-001134
81	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	ACCC	Written	SQ16-001142
82	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	ACCC	Written	SQ16-001148
83	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	ACCC	Written	SQ16-001157
84	3	Ketter	Crayons	Asbestos Crayons were found in use in Australia last year. They belonged to popular brands like Disney. The ACCC advised retailers to cease the sale of the products. (http://www.productsafety.gov.au/news/accc-statement-on-asbestos-in-crayons#toc10) 1) Is the ACCC aware of this batch of 307,000 asbestos contaminated products? And if so have the ACCC been provided with the details of the manufacturer and type of product by Border Force? 2) Given this is the 2nd detection of Asbestos crayons entering the country in the past two years, what is the ACCC doing to test existing Crayons being sold in the Australian retail market? 3) Has the ACCC tested crayons currently sold in Australia that belong to the negligent manufacturer?	ACCC	Written	SQ16-001162
85	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	ACCC	Written	SQ16-001170

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86	6	Ketter	Customer rights and protections in retail energy markets	<p>1) Please provide an update on WA's integration into the National Electricity Market? What engagement has been undertaken with the WA Government on this? How will this process be affected by the WA Government's plans to privatise Western Power?</p> <p>2) Were any consumer issues raised or discussed at the COAG Energy Council in the last 2-3 years? Please provide these documents and briefing papers – including preparatory briefing papers.</p> <p>3) How is the ACCC and Australian Energy Regulator working together in terms of consumer rights and protections? Are there any cross organisational working groups, or reporting lines which ensure that this is a key focus?</p> <p>4) Please explain how the ACCC is providing information to consumers about their rights and protections in retail energy markets?</p> <p>5) Have these rights and protections been improved at all since 2013?</p> <p>6) What are the greatest challenges in terms of protecting consumers in the National Electricity Market?</p>	ACCC	Written	SQ16-001176
87	3	Ketter	Disruption of scams and deceptive relationships	<p>1) Page 70 of the Portfolio Budget Statement for 2016-17 notes that the ACCC is focusing on enforcement and compliance in relation to disrupting scams. The Statement notes that you work with other agencies and partners. Who are these partners? How do you coordinate efforts? What's the total budget between your agencies, broken down by agency on disrupting scams?</p> <p>2) Have you found scams to be increasing? Are there more scams online? How has your enforcement strategy shifted to accommodate these changes?</p> <p>3) When the Statement (page 70) notes "severe and widespread consumer or small business detriment" – what sorts of costs and harm are we talking about? What's the scale of the harm?</p>	ACCC	Written	SQ16-001179
88	5	Ketter	Energy Price Comparator Website Energy Made Easy	<p>1) Could you please update the Committee on the price comparator website "Energy Made Easy".</p> <p>2) Has there been an IT contract associated with this initiative? How much has that cost? How much has been spent? Who has the contract and when was it procured/put out for tender?</p> <p>3) What information must be obtained from industry for "Energy Made Easy" to work for consumers? Has this information been obtained?</p> <p>4) What are the timelines and timeframes for this project? How is the ACCC tracking on this?</p> <p>5) Have any consultancies been procured in relation to this initiative? If so, who has been selected, what was the value of the contract and what were/are they asked to do?</p>	ACCC	Written	SQ16-001182
89	4	Ketter	Enforcement/Compliance Priorities	<p>1) how did the ACCC prioritise their compliance and enforcement agenda for this budget year (it focuses on agriculture and small business to some degree)?</p> <p>2) Was the Minister involved in choosing these priorities? Did he sign off on them? On what date please? Did these priorities go to Cabinet or any higher Government body?</p> <p>3) Overall, how much has the ACCC spends on enforcement and compliance? Is this increasing or decreasing from the last few years? Provide a break down, year by year on ACCC spend on enforcement and compliance.</p> <p>4) Are you also able to break this down to show what proportion of these investigations/enforcement actions are for consumer issues, as opposed to competition issues, although I acknowledge this may be difficult to separate out?</p>	ACCC	Written	SQ16-001185

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90	5	Ketter	Indian families targeted and threatened with deportation	<p>There was some recent media in relation to newly arrived consumers being targeted for money, through a scam, which threatened to deport these consumers. It was reported that more than 300 reports have been received since March, 2016.</p> <p>(SOURCE: 7 October 2016 http://www.sbs.com.au/news/article/2016/10/08/indian-families-being-targeted-scam-threatening-deportation)</p> <p>1) Do you consider this to be a lot of reports? It's a significant problem is it not? When was the Minister informed of this problem? What was the date of the brief and/or meeting with the Minister? What was his response? Has he or the Department for Small Business taken any action? If not, why not? 2) Is the ACCC investigating this issue, perhaps in conjunction with the Federal or State police? 3) How are such sensitive personal details – such as passport numbers – being accessed? 4) What liaison has been occurring with the Department of Immigration? 5) Are any other ethnic groups or vulnerable groups being targeted currently?</p>	ACCC	Written	SQ16-001187
91	1	Whish-Wilson	LNG Exports - Analysis of east coast gas reservation policy	<p>Has the ACCC conducted or commissioned any quantitative analysis on how an east coast gas reservation policy would affect:</p> <p>a. Prices for domestic gas consumers b. Gas exploration and production If yes to any, please provide.</p>	ACCC	Written	SQ16-001192
92	1	Whish-Wilson	LNG Exports - Analysis of impact of price differential on investment	<p>Has the ACCC conducted or commissioned any analysis on how domestic and export gas price differences incentivise investment in further gas export capacity? If yes, please provide.</p>	ACCC	Written	SQ16-001196
93	1	Whish-Wilson	LNG Exports - Analysis of onshore gas extraction policies	<p>Has the ACCC conducted or commissioned any analysis, particularly quantitative analysis, of how policies limiting onshore gas extraction in Australia affect:</p> <p>a. World gas prices b. Domestic gas prices If yes to any, please provide.</p>	ACCC	Written	SQ16-001202
94	1	Whish-Wilson	LNG Exports - Analysis of price differential	<p>In a speech to the Australian Domestic Gas Outlook Conference, Sydney, 9 March 2016, ACCC Chairman Rod Sims outlined his view on gas supply options in Australia and said:</p> <p>Whether gas users in the southern states pay the lower more competitive price for gas or the higher near monopoly price for gas depends on the diversity of supply that is brought into the market over the next few years. New producers supplying relatively close to demand centres in the south increases competition which will put downward pressure on gas prices.</p> <p>Has the ACCC conducted or commissioned any quantitative analysis on this price differential? If yes, please provide.</p>	ACCC	Written	SQ16-001204
95	1	Whish-Wilson	LNG Exports - Cost Benefit Analysis	<p>Has the ACCC conducted or commissioned any cost benefit analysis of the decision to open the east coast market to LNG exports, either prior to or subsequent to the approval and commissioning of LNG export facilities? If yes, please provide.</p>	ACCC	Written	SQ16-001207
96	1	Whish-Wilson	LNG Exports - Impact of Export Facilities	<p>Prior to the approval and commissioning of LNG export facilities at Gladstone, Queensland, did the ACCC or other government agency conduct or commission any analysis of how LNG exports would affect:</p> <p>a. East coast gas prices? b. Industries that use gas as a major input? c. Household prices for gas? If yes to any, please provide.</p>	ACCC	Written	SQ16-001210
97	1	Whish-Wilson	LNG Exports - Impact of gas reservation policies	<p>Has the ACCC conducted or commissioned any empirical research on how gas reservation policies affect investment in gas exploration or production in Australia? If yes, please provide.</p>	ACCC	Written	SQ16-001212
98	4	Ketter	Market Studies	<p>1) In its submission to the Harper Review the ACCC argued that it should be given a market studies function. Can you outline the benefits that would flow from the ACCC having such a function? 2) What sort of areas would the ACCC look into through its market studies power? Petrol retailers? Supermarkets? Telecommunications? 3) You do not have completely independent market studies powers at the moment, correct? 4) So by refusing to give you these powers the Government is preventing you from delivering these benefits to Australian consumers, correct?</p>	ACCC	Written	SQ16-001214

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99	7	Ketter	Mobile phones	<p>1) How many mobile phones are there in use by employees?</p> <p>2) With which telecommunications service provider are the mobile phones linked to?</p> <p>3) What is the cost of the plan for each mobile phone?</p> <p>4) How much data is included in this plan?</p> <p>5) What is the cost if data usage goes beyond its quota?</p> <p>6) Have there been instances where data usage for individual mobile phone users has exceeded its quota?</p> <p>a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.</p>	ACCC	Written	SQ16-001215
100	4	Whish-Wilson	Newcastle Port	<p>1. The Australian Financial Review recently reported that ACCC Chairman Rod Sims: 'quietly encouraged Glencore to exercise the competition law to challenge the repricing of the Port of Newcastle'.</p> <p>a. Is this true?</p> <p>b. Does the ACCC have guidelines or criteria around which companies and industries to engage with in such recommendations? If not, how was the decision made to engage with Glencore?</p> <p>2. In coming to the conclusion that the 40-60 per cent increase in the price charged by the Port of Newcastle was problematic what research did the ACCC conduct? If any, please provide.</p> <p>3. Before the recent price increases of concern to the ACCC, how had Port Of Newcastle coal fees changed in real (inflation adjusted) terms in the last 19 years?</p> <p>4. How do the new prices charged by the Port of Newcastle compare to the prices charged at other Australian ports?</p>	ACCC	Written	SQ16-001217
101	4	Whish-Wilson	Payment terms for small business	<p>1. Have the ACCC undertaken any recent analysis of trends in payment terms in the supply chain for the retail and manufacturing sectors? What are the results of this analysis?</p> <p>2. Is the ACCC aware of any trends where suppliers are being asked to accept longer payment terms?</p> <p>3. Would the ACCC be concerned with any such trends?</p> <p>4. What powers does the ACCC have to address any such trends?</p>	ACCC	Written	SQ16-001218
102	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <p>1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15;</p> <p>2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding</p> <p>3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15;</p> <p>4) The total funding actually expended on each in 2015-16 and 2014-15;</p> <p>5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15;</p> <p>6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors.</p> <p>7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location;</p> <p>8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.</p>	ACCC	Written	SQ16-001219
103	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <p>1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years;</p> <p>2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.</p>	ACCC	Written	SQ16-001220

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104	1	Kakoschke-Moor	Promotion of processed foods including milk formula for infants and young children - False or misleading health and nutrition claims	<p>Nutritional and health claims are prohibited on infant formula under food regulations. Australian food standards (FSANZ Standard 2.9.1) prohibit health or nutrition claims on infant formula (Clause 3). There is no evidence that commercial milk formula products for children over 12 months of age are necessary or at all beneficial for healthy children, particularly compared to ongoing breastfeeding. Toddler formula/milk is being cross marketed with infant formula and contains implied claims for health and nutrition benefits despite there being no evidence of benefit, and possible harm from excessive nutrients (see WHO 2013 and WHA 2010). As shown in a recent publication in a leading maternal and child nutrition journal (Berry et al, 2016, Maternal and Child Nutrition), the milk formula industry is rampantly promoting their products in Australia by making allegedly false and misleading claims and misrepresentations about these products through implied nutrition and health claims in toddler milk formula product packaging and labelling. Despite this, FSANZ and ACCC have taken no action against such claims, and FSANZ, ACCC and the patents office cannot determine which of them has the responsibility to police this prohibition.</p> <p>Does ACCC view toddler formula/milk promotion which imply health or nutrition advantages such as in the product name, trademark or logo as breaching Australian food standard regulations banning health and nutrition claims, or consumer law prohibitions of misleading and deceptive conduct or false representations to consumers? Why has ACCC or FSANZ not taken action against the promotion of toddler formula/milks through false or misleading health and nutrition claims, when all such cross branded milk formula products are effectively promoting infant formula which is packaged and labelled to resemble toddler formula, and such claims are strictly prohibited for infant formula?</p>	ACCC	Written	SQ16-001221
105	1	Kakoschke-Moor	Promotion of processed foods including milk formula for infants and young children - Monitoring compliance	<p>ACCC has criteria for effective voluntary regulation. In its report (e.g. p21-22), the ACCC raise questions about the timeliness and transparency of decisions on complaints to the industry tribunal, noting the importance of decisions being published as soon as possible and indicating how processes for dealing with consumer complaints about formula industry marketing could be improved. Yet it did not require undertakings from industry to fix these important deficiencies, and the taxpayer is in effect helping staff the industry's complaints department.</p> <p>Why was an undertaking not required from industry to make such improvements a condition of ACCC approval? Does the ACCC consider it a good use of taxpayer resources for the Federal Department of Health to only to filter complaints to fit the narrow criteria set by industry before passing them on to the industry tribunal? What obstacles does the ACCC see to the Australian government taking a more independent and substantive monitoring or compliance role in supporting this industry agreement? Does this agreement meet all the ACCC's own published criteria for effective voluntary regulation by industry?</p>	ACCC	Written	SQ16-001222
106	1	Kakoschke-Moor	Promotion of processed foods including milk formula for infants and young children - Packaging and labelling	<p>The 2012 NOUS report commissioned by the Department of Health, recommended the government prevent toddler formula/milk from being packaged and labelled to resemble infant formula. The NHMRC Dietary guidelines state that from 12 months of age, toddlers 'should be consuming family foods' and that 'special complementary foods or milks are not required for healthy children'. Despite these and other expert health and regulatory bodies indicating the inappropriateness of allowing promotion of toddler formula milks to continue, particularly in the current form, the I am told the ACCC is choosing not to use its powers to prevent such promotion using Australian consumer law.</p> <p>As the government did not act on the NOUS recommendation, despite WHO guidance and WHA resolutions consistently also indicating such products are covered by the WHO Code, are unnecessary, and are potentially harmful to children, will the ACCC monitor industry practices and initiate its own action under Australian Consumer Law against toddler formula marketing which misleads consumers in this way?</p>	ACCC	Written	SQ16-001223

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107	1	Kakoschke-Moor	Promotion of processed foods including milk formula for infants and young children - Regulation of marketing activity	<p>In 1981 Australia voted in support of adoption of the WHO International Code of Marketing on Breastmilk Substitutes, and of subsequent relevant WHA resolutions, and apparently including the 2016 guidance on inappropriate marketing of foods for infants and young children. In 2010, WHO issued a statement that toddler formulas were being misused to promote infant formula and that these toddler formulas were unnecessary and possibly harmful to children. The WHO's most recent 2016 guidance on inappropriate promotion of food for infants and young children makes clear that inappropriate promotion of commercial processed baby foods including all milk formulas for children 0-36 months undermines breastfeeding and optimal child nutrition and health.</p> <p>ACCC recently approved a proposed agreement by Infant Nutrition Council (INC) members to restrain marketing of infant formula to the public (which doesn't prevent marketing via the health system) for 5 years, despite the vast majority of the 106 public submissions on its draft determination and several parliamentarians requesting it not be approved for longer than 2 years.</p> <p>Why did ACCC give its approval without requiring any undertakings by the industry to strengthen its effectiveness, when the ACCC clearly had the power to do so (ACCC determination A91506 and A 91507)? Given there are concerns the industry has shown that it is unwilling to regulate itself effectively and comprehensively in line with international standards and guidance including from the World Health Organisation, does the ACCC agree that Australian government legislation or regulation would be more effective to prevent inappropriate promotion of milk formula and protect breastfeeding? With strong evidence from Australia and many other countries of hundreds of millions of dollars of cost savings from higher breastfeeding, wouldn't the expected savings to the health system justify the small additional costs of effective regulation of inappropriate marketing?</p>	ACCC	Written	SQ16-001225
108	4	Ketter	Recall of products	<p>1) How many recalls have been issued by the ACCC this year? How many in the last three years? Has there been an increase or decrease?</p> <p>2) In terms of compliance and recall of unsafe consumer products – I assume the ACCC has a broad range of enforcement tools, which have been used most this year? Could you please describe this range of tools and how the organization chooses which tools to use at which times – I assume it's based on harm? Seriousness? Scale of the problem? Whether an entity has breached the law before?</p> <p>3) How many fines have been issued to suppliers in terms of recalls of unsafe consumer products?</p> <p>4) What is the value of these fines?</p>	ACCC	Written	SQ16-001226
109	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <p>1) When these reviews commenced;</p> <p>2) When the reviews are expected to be completed;</p> <p>3) Expenditure on these reviews to date;</p> <p>4) Budgeted expenditure for these reviews;</p> <p>5) How many staff are involved in each review;</p> <p>6) How many external contractors and consultants are involved in each review;</p> <p>7) What is the cost of the external contractors and consultants for each review.</p>	ACCC	Written	SQ16-001228
110	2	Ketter	Safety of Samsung Mobiles	<p>Referring to the recall of Samsung Galaxy Note 7 'phablets'.</p> <p>1) What involvement has the ACCC had in its recall?</p> <p>2) What percentage of the offending phones have been returned? How does this compare with the average rate of return for recalled products?</p>	ACCC	Written	SQ16-001229
111	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <p>a) Classification;</p> <p>b) State or territory;</p> <p>c) Ongoing staff; and</p> <p>d) Non-ongoing staff.</p>	ACCC	Written	SQ16-001231

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112	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	ACCC	Written	SQ16-001233
113	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	ACCC	Written	SQ16-001235
114	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	ACCC	Written	SQ16-001238
115	6	Ketter	Staffing Numbers	1) In your latest annual report the ACCC says “in the first half of 2014 we... reduced our staff numbers to match available funding”. How many jobs were cut at the ACCC? 2) In which sections of the ACCC did these cuts predominantly fall? 3) How does the ACCC decide which investigations it should prioritise? 4) Logically, then, the ACCC will be undertaking fewer investigations of anti-competitive and anti-consumer conduct because of its reduced staffing levels, correct? 5) What areas of anti-competitive and anti-consumer conduct are less likely to be investigated because of the staffing cuts at the ACCC? 6) How much money was saved from these staffing cuts?	ACCC	Written	SQ16-001242
116	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	ACCC	Written	SQ16-001246
117	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15 ,2015-16 and to date in 2016-17.	ACCC	Written	SQ16-001248
118	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	ACCC	Written	SQ16-001251
119	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	ACCC	Written	SQ16-001348
120	3	Ketter	Vulnerable and Disadvantaged Consumers – Including Older Consumers and Consumers who are Newly Arrived in Australia	1) How does the ACCC class or define vulnerable and disadvantaged consumers? Has this classification changed over the last few years? Do you do any market research, or gain any consultancy work to establish these categories? 2) What particular issues has the ACCC seen in relation to older consumers? Is there hard selling/deceptive practices going on in relation to mobiles and the internet? Or in terms of life insurance products? What statistics does the ACCC keep in relation to this issue? 3) What particular issues has the ACCC been enforcing/compliance in relation to newly arrived consumers in Australia? What statistics does the ACCC keep in relation to this issue?	ACCC	Written	SQ16-001254
121	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	ACCC	Written	SQ16-001259

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122	2	Ketter	Complaints	<p>1) Since the ACNC was established, what has been the trend regarding complaints from the sector? Can you characterise any themes that dominate those complaints?</p> <p>2) Since the ACNC was established, what has been the trend regarding complaints from the public? Can you characterise any themes that dominate those complaints?</p>	ACNC	Written	SQ16-001043
123	1	Bushby	Complaints about registered charities with patterns of unlawful behaviour	<p>Senator BUSHBY: [...] Since 2015, have you received any complaints about registered charities having a pattern of unlawful behaviour and, if so, how many?</p> <p>Mr Locke: I think we would have to take that on notice.</p>	ACNC	Page 89 (19 October 2016)	SQ16-001044
124	7	Ketter	Confidence in charities	<p>1) There has been recent attention on intimidating fundraising practices by some operators ('chuggers', UK scandal & do not call factor). What safeguards has the ACNC considered to ensure charities or their agents aren't making inappropriate contact?</p> <p>2) What's the best way to rein in bad collection behaviour?</p> <p>3) What consultation does the ACNC perform to gauge the level of public trust and confidence in Australian charities?</p> <p>4) Have organisations in the sector raised problem areas relating to public trust and confidence with the ACNC?</p> <p>5) How does the ACNC gauge public trust and confidence in charities and not-for-profits?</p> <p>6) Would there be any benefits to allowing the ACNC discretion to comment on specific investigations?</p> <p>7) The ACNC recently released information about a 'tick' logo that will soon be available to registered charities. How does this logo add to the assurance provided by an organisation's appearance on the register?</p>	ACNC	Written	SQ16-001045
125	1	Ketter	Harmonisation	<p>Senator KETTER: Finally, some questions on the program of harmonisation of regulation across the Commonwealth and the states and territories. Can you tell us, if any, what communication you have had in the last 12 months with state and territory governments about harmonisation?</p> <p>Ms Pascoe: I will make it brief, we could give you a more expansive response perhaps on notice.</p>	ACNC	Page 87 (19 October 2016)	SQ16-001046
126	1	Ketter	Harmonisation	<p>1) In February of this year, you noted that states and territories are willing to engage on the topic of harmonisation, but that only the South Australia parliament has shown an appetite to move formally into making an arrangement with the ACNC. What strategies does the ACNC have to encourage other states and territories to make similar harmonisation arrangements?</p>	ACNC	Written	SQ16-001051
127	5	Ketter	Harmonising Rules	<p>1) What, if any, communications has the ACNC had in the last 12 months with state and territory governments about harmonising or coordinating state-based charities rules to achieve a more seamless national approach?</p> <p>2) What, if any, communications has the ACNC had in the last 12 months with the federal government about harmonising or coordinating state-based charities rules to achieve a more seamless national approach?</p> <p>3) What work has the ACNC been doing to inform and engage the sector about the benefits of harmonising charities rules?</p> <p>4) Could you outline the regulatory process for a charity setting up an online donations platform?</p> <p>5) How close are we to harmonising fundraising law across States and Territories?</p>	ACNC	Written	SQ16-001053
128	1	Di Natale	Mechanism for review of decisions	<p>Senator DI NATALE: So that is different to the advice we have just got. You are saying an adverse decision is appealable but a decision in this case, which was not adverse, is not subject to review or appeal. Is that what I am hearing?</p> <p>Mr Baird: Yes.</p> <p>Senator DI NATALE: Am I getting conflicting advice? So there is no mechanism to appeal the judgement that has been made?</p> <p>Mr Baird: Not in the act.</p> <p>Senator DI NATALE: Can you perhaps take that on notice and provide us with some information as to whether in fact it is something that could be appealed.</p> <p>Mr Locke: Yes.</p> <p>[...]</p> <p>Senator DI NATALE: So can we, perhaps, put on the record that we will be requesting to be an official complainant in this specific instance, and we are waiting to hear from you on notice whether there is any capacity to review the decision either through a blind review, as you have offered, or potentially through the Administrative Appeals Tribunal.</p> <p>Mr Locke: We are happy to take that on notice.</p>	ACNC	Pages 84-85 (19 October 2016)	SQ16-001055
129	1	Ketter	Meeting with Ministers	<p>1) When was the last time senior executive from the ACNC met with the Treasurer, Minister for Revenue and Financial Services or the Minister for Social Services?</p>	ACNC	Written	SQ16-001059
130	1	Ketter	Reducing red-tape and strengthening the sector	<p>1) What has the ACNC achieved in the last 12 months with regard to its two other key objectives, reducing red tape and strengthening the sector?</p>	ACNC	Written	SQ16-001062

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131	1	Abetz	Rejected organisations seeking to register for charitable purposes	<p>Senator ABETZ: In the last couple of years have you rejected organisations seeking to be registered with you for charitable purposes?</p> <p>Ms Pascoe: We have, Senator. I will pass on to Assistant Commissioner David Locke, who has had oversight of that area.</p> <p>Senator ABETZ: Are you able to tell us, in rough terms, how many?</p> <p>Mr Locke: I would have to take on notice the specific numbers [...]</p>	ACNC	Page 80 (19 October 2016)	SQ16-001064
132	4	Ketter	Workforce	<p>1) Can you give a summary of your current staffing resources, ie staff numbers and APS levels?</p> <p>2) How does that compare to your resources twelve months ago?</p> <p>3) How long will it take to replace the experience and expertise that was lost while the government was seeking to disband the ACNC?</p> <p>4) Can you give an overview of trends in staff morale and retention rates over the last eight months?</p>	ACNC	Written	SQ16-001067
133	5	Ketter	30 year bonds	<p>1) What were the primary reasons for issuing 30 year bonds?</p> <p>2) Did the potential loss of Australia's AAA credit rating play a role in the decision to issue 30 year bonds now?</p> <p>3) Are there plans in place to issue more 30 year bonds?</p> <p>4) When will they be issued?</p> <p>5) In what quantities?</p>	AOFM	Written	SQ16-001068
134	4	Ketter	Advisory or working groups	<p>Please provide details of advisory or working groups within the agency.</p> <p>1) Who is on each advisory or working group</p> <p>2) What support is being provided by the agency</p> <p>3) What is the expenditure to date of the advisory or working group</p> <p>4) What reporting mechanisms to the agency executive or the Minister exists</p>	AOFM	Written	SQ16-001071
135	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	AOFM	Written	SQ16-001072
136	4	Ketter	Consultancies	<p>Please provide all details of consultancies currently underway:</p> <p>1) Who has been contracted</p> <p>2) The length of the contract</p> <p>3) The cost of the contract</p> <p>4) What specific tasks each consultancy is responsible for</p>	AOFM	Written	SQ16-001077
137	1	McAllister	Contractors and consultants - contract details	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The project or engagement;</p> <p>b) The value of the contract;</p> <p>c) The name of each firm or contractor engaged; and</p> <p>d) The purpose of the contract.</p>	AOFM	Written	SQ16-001096
138	1	McAllister	Contractors and consultants - Labour hire arrangements	<p>For the 2015-16 financial year, please outline:</p> <p>a) How many staff were employed through labour hire arrangements;</p> <p>b) Total expenditure on labour hire staff;</p> <p>c) The contractors or labour hire firms engaged to supply these staff;</p> <p>d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and</p> <p>e) The nature of the work performed by labour hire staff.</p>	AOFM	Written	SQ16-001099
139	1	McAllister	Contractors and consultants - Names of firms and payments made	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The names of each firm or contractor engaged; and</p> <p>b) Total payments made to each contractor or consultant.</p>	AOFM	Written	SQ16-001102
140	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	AOFM	Written	SQ16-001108
141	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	AOFM	Written	SQ16-001110

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142	7	Ketter	Mobile phones	<p>1) How many mobile phones are there in use by employees?</p> <p>2) With which telecommunications service provider are the mobile phones linked to?</p> <p>3) What is the cost of the plan for each mobile phone?</p> <p>4) How much data is included in this plan?</p> <p>5) What is the cost if data usage goes beyond its quota?</p> <p>6) Have there been instances where data usage for individual mobile phone users has exceeded its quota?</p> <p>a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.</p>	AOFM	Written	SQ16-001112
143	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <p>1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15;</p> <p>2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding</p> <p>3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15;</p> <p>4) The total funding actually expended on each in 2015-16 and 2014-15;</p> <p>5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15;</p> <p>6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors.</p> <p>7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location;</p> <p>8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.</p>	AOFM	Written	SQ16-001114
144	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <p>1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years;</p> <p>2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.</p>	AOFM	Written	SQ16-001117
145	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <p>1) When these reviews commenced;</p> <p>2) When the reviews are expected to be completed;</p> <p>3) Expenditure on these reviews to date;</p> <p>4) Budgeted expenditure for these reviews;</p> <p>5) How many staff are involved in each review;</p> <p>6) How many external contractors and consultants are involved in each review;</p> <p>7) What is the cost of the external contractors and consultants for each review.</p>	AOFM	Written	SQ16-001120
146	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <p>a) Classification;</p> <p>b) State or territory;</p> <p>c) Ongoing staff; and</p> <p>d) Non-ongoing staff.</p>	AOFM	Written	SQ16-001124

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147	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	AOFM	Written	SQ16-001126
148	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	AOFM	Written	SQ16-001130
149	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	AOFM	Written	SQ16-001133
150	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	AOFM	Written	SQ16-001137
151	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	AOFM	Written	SQ16-001140
152	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	AOFM	Written	SQ16-001144
153	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	AOFM	Written	SQ16-001146
154	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	AOFM	Written	SQ16-001149
155	1	Ketter	Accrued Default Amounts - Amount of affected savings	• During the hearings Mrs Rowell claimed the amounts retained in ADA's are small; June Q 2016 APRA Data confirms that \$41.3 billion of member savings are affected – does the Minister agree this is a small amount?	APRA	Written	SQ16-001153
156	2	Ketter	Accrued Default Amounts - Prioritisation of members' interests	• What specific information has APRA sought from RSE's which have delayed transition to assess whether trustees are prioritising member's interests? - If none, how would it be possible for APRA to form a view about whether trustees are prioritising member's interests if basic information about the relative cost and performance of ADA's is not collected?	APRA	Written	SQ16-001155
157	3	Ketter	Accrued Default Amounts - Provisions of SIS Act	• What provisions of the SIS Act would allow trustees to self-assess whether they are prioritising members' interests in delaying transfer? Are the existing provisions inadequate? How could they be improved?	APRA	Written	SQ16-001159
158	1	Ketter	Accrued Default Amounts - Research into bank-owned RSE's	• Has APRA undertaken any research, analysis, or inquiries to determine why bank-owned RSE's are much less likely to have transferred ADA's to newer MySuper products than other retail RSE's?	APRA	Written	SQ16-001160

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159	13	Ketter	Accrued Default Amounts 01	<p>1. What is the total value of accrued default amounts (ADAs),</p> <p>2. What is the number of member accounts that include ADAs, and</p> <p>3. What is the variation of rate of transition of ADAs to MySuper products between fund segment types?</p> <p>4. How does APRA monitor the transition of ADAs?</p> <p>5. How is ADA transition data collected?</p> <p>6. How frequently is ADA transition data collected?</p> <p>7. How is ADA transition data verified?</p> <p>8. What factors could reasonably affect the accuracy and how up-to-date the ADA transition data is?</p> <p>9. Why is there a variation of rate of transition of ADAs to MySuper products between non-profit funds and retail funds?</p> <p>During estimates on 20 October, with respect to variation of rate of transition of ADAs to MySuper products between fund segment types the APRA noted that: “because of the particular terms of trustees and other legal constraints that have meant they have had to go through perhaps some more extended processes than they thought they might need to be able to legally move members from one product to another”:</p> <p>10. Can Trust Deeds, and other constraints, be changed to expedite the transition of ADAs to MySuper compliant products?</p> <p>11. Can APRA confirm or clarify whether or not there is an expectations that transition of ADAs to MySuper compliant products occur at the earliest opportunity where it is in the best interests of beneficiaries to do so? If not, why not?</p> <p>12. In APRA’s view, where a fund is earning higher fees as a result of delaying the transition of ADAs to MySuper compliant products, is this a breach of the fund trustee’s duties to act in the best interests of members and manage conflicts?</p> <p>- If this is a breach, what are the consequences? For the Trustees? For the fund?</p> <p>13. What activity is APRA undertaking on this issue?</p>	APRA	Written	SQ16-001165
159	10	Ketter	Accrued Default Amounts 02	<p>A substantial proportion of assets in the superannuation system are legacy products; does APRA hold data on:</p> <p>14. The value of funds in legacy choice products?</p> <p>15. The number of member accounts in legacy choice products?</p> <p>16. The net returns of legacy choice products?</p> <p>17. The average fees for legacy products?</p> <p>18. The range of fees for legacy products?</p> <p>19. Why do funds leave members in legacy choice products?</p> <p>20. Where a fund earns higher fees because a member is in a choice legacy products, does this conflict with the trustee’s duties? If yes, what are the consequences of this?</p> <p>21. What powers, if any, does APRA have to address such a conflict with a trustees duties?</p> <p>22. Does current legislation allow APRA to intervene where there is a conflict with a trustees duties?</p> <p>23. Do other powers or instruments allow APRA to intervene where there is a conflict with a trustees duties?</p>	APRA	Written	SQ16-001169
160	4	Ketter	Advisory or working groups	<p>Please provide details of advisory or working groups within the agency.</p> <p>1) Who is on each advisory or working group</p> <p>2) What support is being provided by the agency</p> <p>3) What is the expenditure to date of the advisory or working group</p> <p>4) What reporting mechanisms to the agency executive or the Minister exists</p>	APRA	Written	SQ16-001172
161	1	Bushby	APRA responsibility for FSI recommendations	<p>Senator BUSHBY: [...] The tightening of the lending standards is part of the overall approach that the government is taking to implement the FSI recommendations. It links in to some degree. The responsibilities that APRA has in terms of the FSI recommendations—</p> <p>Mr Byres: We have an interest in many of them, but many, for example, in the superannuation space actually require legislation to enact. So we have an interest in those, but ultimately Treasury has to work up the legislation and then parliament has to decide whether to pass it. The ones which we have direct responsibility for and which the government has said APRA needs to implement are the first few recommendations about bank capital, risk rates, loss-absorbing capacity, leverage ratio, transparency about bank capital and things that related to our own prudential standards.</p> <p>Senator BUSHBY: Are they all in place?</p> <p>Mr Byres: Some are in place. For example, we did raise the risk weight on mortgages. We announced it last year and it came into effect this year. That was recommendation 2. I am just trying to think of all the recommendations. We did some work on transparency.</p> <p>[...]</p> <p>Senator BUSHBY: If you could take that on notice, please, and let us know.</p> <p>Mr Byres: Will do.</p>	APRA	Pages 16-17 (20 October 2016)	SQ16-001177

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162	3	Ketter	Average annual fund level net returns	<p>• Can APRA provide a full list of ranked average annual fund level net returns over the 10 year period to June 2015, indicating fund name, sector, profit orientation, public offer status, assets at June 2015, and quartile boundaries of average annual net returns over the 10 year period?</p> <p>- Could the table also flag the public offer funds owned by CBA, ANZ, WBC, NAB and their wealth management subsidiaries?</p> <p>- Could the table flag the non-public offer 'retail funds' that have previously been categorised as corporate funds and not open to the general public?</p>	APRA	Written	SQ16-001281
163	2	Ketter	Bank account portability	<p>1) Does APRA see any issues of concern with the proposal for bank account portability?</p> <p>2) Does APRA see any competition benefits from implementing bank account portability?</p>	APRA	Written	SQ16-001283
164	3	Ketter	Bank manager accountability	<p>1) APRA has indicated its support for greater accountability of bank managers along the lines of monitoring conducted in the UK. Media reports indicate the banking sector has resisted this idea. Has APRA consulted the banks?</p> <p>a. If so: what were their objections?</p> <p>b. What is APRA's view of those objections?</p>	APRA	Written	SQ16-001284
165	2	Ketter	Banking tribunal	<p>1) Has APRA been consulted or its views sought by the Prime Minister's office, or any other Minister's office or the Treasury on the establishment of a banking tribunal?</p> <p>2) If so, when did that consultation occur?</p>	APRA	Written	SQ16-001285
166	1	Ketter	Basel III	<p>1) Can you provide an update on the Australian banking system's implementation of and compliance with the Basel III Accord?</p>	APRA	Written	SQ16-001286
167	1	Whish-Wilson	Climate change risk	<p>Senator WHISH-WILSON: I could probably have a very long session with you on decarbonising the economy and climate change risks, New Zealand's sovereign wealth fund recently announced that they are promising to reduce investments in fossil fuels and target investments in clean energy. I know the Future Fund has taken some steps in this direction in the last couple of weeks. Are you doing any work on the risks associated decarbonising and carbon accounting into the future?</p> <p>Mr Byres: I would not say there is a lot of work going on. We have certainly been talking about the issue; we have an awareness of the issue; we are continuing to be briefed by others about the risks.</p> <p>Senator WHISH-WILSON: Do you see this as being a risk?</p> <p>Mr Byres: It is certainly a possible risk, yes. Part of our task is to make sure that the regulating institutions which actually have the risk are conscious of it and are thinking about it. It is not for us to mandate what their strategy should be in response to that risk.</p> <p>Senator WHISH-WILSON: I would like to get from you on notice whatever you are doing in this area.</p> <p>Mr Byres: We can send you a short note on that.</p>	APRA	Page 7 (20 October 2016)	SQ16-001288
168	1	Ketter	Company cars in salary packages	<p>How many staff have access to company cars within their salary packages?</p>	APRA	Written	SQ16-001290
169	4	Ketter	Consultancies	<p>Please provide all details of consultancies currently underway:</p> <p>1) Who has been contracted</p> <p>2) The length of the contract</p> <p>3) The cost of the contract</p> <p>4) What specific tasks each consultancy is responsible for</p>	APRA	Written	SQ16-001292
170	1	McAllister	Contractors and consultants - contract details	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The project or engagement;</p> <p>b) The value of the contract;</p> <p>c) The name of each firm or contractor engaged; and</p> <p>d) The purpose of the contract.</p>	APRA	Written	SQ16-001295
171	1	McAllister	Contractors and consultants - Labour hire arrangements	<p>For the 2015-16 financial year, please outline:</p> <p>a) How many staff were employed through labour hire arrangements;</p> <p>b) Total expenditure on labour hire staff;</p> <p>c) The contractors or labour hire firms engaged to supply these staff;</p> <p>d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and</p> <p>e) The nature of the work performed by labour hire staff.</p>	APRA	Written	SQ16-001298

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172	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	APRA	Written	SQ16-001300
173	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	APRA	Written	SQ16-001302
174	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	APRA	Written	SQ16-001303
175	3	Ketter	Cyber security	1) What is APRA's role vis-à-vis cyber-attacks on financial institutions? 2) APRA has made public concerns regarding the cyber security with regards to superannuation funds. What risks do attacks of this nature pose? 3) APRA has indicated that it "intends to lift the supervisory and regulatory expectations for regulated entities to not only secure themselves against cyber-attacks, but to implement improved mechanisms to quickly identify and remediate successful attacks when they occur". What actions are APRA considering in this space?	APRA	Written	SQ16-001305
176	3	Ketter	Inner city apartment market	1) The RBA's October 2016 Financial Stability Report contains a section devoted to the banks' exposure to the inner-city apartment markets. What is APRA's view of the current conditions in the inner city apartment market? 2) Is APRA concerned with the banks' level of exposure to this market? 3) Routine regulatory data disclosures do not require banks to report their exposures by geographic region. Does APRA have a view on whether in the interests of appropriately assessing risk that the banks should be obliged to?	APRA	Written	SQ16-001306
177	2	Ketter	International banking system	1) The IMF has indicated it views Deutsche Bank as the most significant contributor to systemic risk. What is APRA's view of the systemic risk of a bank failure of that magnitude for the global financial system? 2) Is APRA aware of Australian banks or financial actors having any direct exposure to Deutsche Bank or any of its affiliates?	APRA	Written	SQ16-001308
178	2	Ketter	Investigation into potential market failure	• Has APRA undertaken any inquiry or investigation as to why the assets of funds owned by CBA, ANZ, WBC and NAB and their subsidiaries are concentrated in bottom quartile of returns? - How has APRA satisfied itself that this performance is not a sign of a market failure that is potentially contrary to the public interest?	APRA	Written	SQ16-001309
179	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	APRA	Written	SQ16-001312

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180	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <ol style="list-style-type: none"> 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location. 	APRA	Written	SQ16-001313
181	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <ol style="list-style-type: none"> 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years. 	APRA	Written	SQ16-001315
182	2	Ketter	Prudential enforcement	<ol style="list-style-type: none"> 1) In Can APRA update the committee on the number of ‘enforceable undertakings’ in 2016? 2) Can it also provide the number of enforceable undertaking for the calendar years 2015, 2014, and 2013? 	APRA	Written	SQ16-001316
183	3	Ketter	RBA’s New Payments Platform	<ol style="list-style-type: none"> 1) The RBA has indicated it is working with the banks to provide real-time bank transfers called the ‘new payment’s platform’ to commence in 2017. Does APRA have a role in this process? 2) Does it pose any new regulatory risks? 3) Does it offer any advantages? 	APRA	Written	SQ16-001318
184	1	Gallagher	Retail fund performance - Top quartile	<p>Senator GALLAGHER: [...] I am particularly interested in whether there is any more information you can provide me on the reason there is such variation between sectors in the transition to MySuper and the timetable for that. Just reflecting on the discussion last week before the House economics committee on super switching and fund performance, I think at the time in Hansard there were concerns raised that banks were directly advising customers to switch their super into bank owned funds, which were potentially worse in terms of performance. I think at the time you said that these claims were too general and that your own analysis of 10-year APRA fund level data revealed a reasonable number of retail funds in the top quartile performers. Is that correct?</p> <p>Mrs Rowell: That is correct.</p> <p>Senator GALLAGHER: I do not know whether you are in a position today to provide information to the committee on those retail funds that are in the top quartile of performers—if not, can you do it on notice?</p> <p>Mrs Rowell: We can provide that on notice.</p>	APRA	Page 9 (20 October 2016)	SQ16-001320
185	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <ol style="list-style-type: none"> 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review. 	APRA	Written	SQ16-001321

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186	2	Whish-Wilson	SMSF investment in housing - APRA	1. Does ASIC have any data on recent growth in investment—either directly or indirectly—by self-managed superannuation funds (SMSFs) in property? 2. What powers does APRA have to regulate SMSFs providing 'shadow banking' for investment in property?	APRA	Written	SQ16-001322
187	1	McAllister	Staffing - Engagements	How many engagements occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff.	APRA	Written	SQ16-001324
188	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	APRA	Written	SQ16-001326
189	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	APRA	Written	SQ16-001327
190	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	APRA	Written	SQ16-001329
191	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	APRA	Written	SQ16-001330
192	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	APRA	Written	SQ16-001332
193	2	Ketter	Tracker home loans	1) During the recent House of Representatives banking hearings the banks in their evidence expressed a range of opinions on tracker loans – including some that implicate tracker loans (?) in the 2007 financial crisis. Does APRA have a view on the provision of tracker loans? 2) Would there be risks to Australia's banking and financial system if banks were to provide tracker loans?	APRA	Written	SQ16-001333
194	1	Gallagher	Transition arrangements to My Super	Senator GALLAGHER: Will you look at this issue—the one that I have raised about how that might work in the transitioning to the My Super products? Mrs Rowell: That is not specifically within this particular piece of work. It is more looking at the nature of administration, insurance investment and other arrangements that might be in place: marketing arrangements and the like—those sorts of arrangements. Senator GALLAGHER: Perhaps on notice, could you provide me with an answer about whether you think this is an issue? From your team's dealings—whoever is looking at the transition arrangements to My Super—is this an issue that has cropped up? And what is APRA's view on it and how it is being managed?	APRA	Page 18 (20 October 2016)	SQ16-001335
195	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	APRA	Written	SQ16-001336
196	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	APRA	Written	SQ16-001337
197	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	APRA	Written	SQ16-001338
198	1	Gallagher	ASBFEO recommendations to the Ramsey Review	Senator GALLAGHER: I do not mind if this is on notice, in the interests of time: just who is on that expert group that is providing expert advice? Ms Carnell: That is fine. I will take that on notice.	ASBFEO	Page 31 (20 October 2016)	SQ16-001048

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199	1	Bilyk	Executive office upgrades	Has the furniture, fixtures or fittings of the Ombudsman's office been upgraded since Ms Carnell commenced in the position? If so, can an itemised list of costs please be provided?	ASBFEO	Written	SQ16-001052
200	8	Bilyk	Ombudsman functions	In relation to any functions or official receptions hosted by Kate Carnell since taking office as Ombudsman, can the following please be provided: <ul style="list-style-type: none"> • List of functions; • List of attendees; • Function venue; • Itemised list of costs; • Details of any food served; • Details of any wines or champagnes served including brand and vintage; • Details of any floral arrangements or other decorations; and • Details of any entertainment provided. 	ASBFEO	Written	SQ16-001056
201	16	Bilyk	Ombudsman travel	What is the total cost of flights for the Ombudsman's domestic travel since she took office? What is the total cost of flights for the Ombudsman's international travel since she took office? Is Ms Carnell permitted to travel in business class when flying domestically? What class is Ms Carnell permitted to travel in when flying internationally? What is the total cost of hotel accommodation in relation to Ms Carnell's domestic travel since she took office as Ombudsman? What hotels has she stayed at? What is the total cost of hotel accommodation in relation to Ms Carnell's international travel since she took office as Ombudsman? What hotels has she stayed at? What is the total amount of Travelling Allowance paid to Ms Carnell since she took office as Ombudsman? What is the total cost of ground transport in relation to Ms Carnell's travel since she took office? Is Ms Carnell entitled to use Comcar? Is Ms Carnell entitled to a privately-plated vehicle at Commonwealth expense? What is the total cost of meals and other incidentals for Ms Carnell since she took office as Ombudsman? Is Ms Carnell provided with a mobile telephone at Commonwealth expense? If so, what is the total cost of Ms Carnell's mobile telephone bills since she took office? What type of mobile telephone has Ms Carnell been provided with? Has Ms Carnell been provided with a laptop, ipad or other similar device? Please provide an account of the staffing profile of the Office of the Australian Small Business and Family Enterprise Ombudsman including: <ul style="list-style-type: none"> • Number of staff; • Position title for each staff member; • APS level at which they are employed (eg APS5, EL1); • Organisation chart. 	ASBFEO	Written	SQ16-001060
202	1	Gallagher	Ombudsman media release	Senator GALLAGHER: On the 31st you issued a media release that says 'Ombudsman to use royal commission powers to probe banks'. Ms Carnell: Yes. Senator GALLAGHER: Was that media release generated in your office? Ms Carnell: Yes. Senator GALLAGHER: Did you consult with the minister's office over this media release? Ms Carnell: No. Senator GALLAGHER: Did you need to send it to him for approval? Ms Carnell: No, we are an independent office. We do not run things past the minister's office for approval. We certainly make sure the minister gets copies of the stuff we are going to send out. We have a bit of a view of no surprises— Senator GALLAGHER: Ahead of time? Ms Carnell: I am not sure. We possibly did in this case—we would have in this case because it was his request to do the inquiry. For normal media releases, that is not the case. We would send them to the minister's office at the same time as we would send them to the media. Senator GALLAGHER: Can you recall whether there was any discussion about the content of the media release or any changes to the media release as a result of sending it to the minister's office? Ms Carnell: Not that I remember, but I am happy to take that on notice and ask my media person whether there was any change. I do not believe there was.	ASBFEO	Pages 29-30 (20 October 2016)	SQ16-001065

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203	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	ASIC	Written	SQ16-001069
204	1	Gallacher	Application of S306B of the Australian Electoral Act	Senator GALLACHER: Last night the Australian Electoral Commission gave evidence in the Finance and Public Administration Committee that a political party may be required to repay gifts where that company becomes insolvent. Is anyone at ASIC aware of section 306B of the Australian Electoral Act? Mr Tanzer: No. Senator GALLACHER: Are you not aware of the act? Mr Tanzer: We are aware of the act but not of this particular provision or its operation with respect to ASIC's responsibilities. Senator GALLACHER: You are not aware of it ever being used previously. Is that correct? Mr Medcraft: We are happy to take it on notice and come back to you.	ASIC	Pages 36-37 (19 October 2016)	SQ16-001075
205	2	Macdonald	ASIC review of add-on Insurance	Senator IAN MACDONALD: Have you invited submissions from the motor dealers? Mr Saadat: We have. We have consulted for most of this year with the car industry, those peak lobby groups for the car industry, as well as other interested stakeholders. We have had two rounds of consultation with the car industry on some of the proposals that we are putting forward. Senator IAN MACDONALD: How recent were these? And who did you deal with—not by name but by description? Mr Saadat: We will take it on notice. [...] Senator IAN MACDONALD: Could you put on notice details of when your consultation started. Mr Saadat: We can provide you with that information.	ASIC	Page 49 (19 October 2016)	SQ16-001080
206	1	Whish-Wilson	ASIC Service Fees	Senator WHISH-WILSON: [...] I understand from Senator Cormann's answers yesterday that 95 per cent of services are free and five per cent are charged out. Mr Tanzer: Yes. Senator WHISH-WILSON: Have they risen over time, especially in the last five years? Mr Tanzer: I will take that on notice and check. I am not aware that there has been anything inordinate, but a number of the fees are indexed to the CPI.	ASIC	Page 34 (19 October 2016)	SQ16-001085
207	3	Ketter	ASX Event – 19 September	1) What steps does ASIC believe need to be taken to prevent events like the ASX failure on 19 September from happening again? 2) What is ASIC's opinion of the legacy CHESSE system that the ASX uses? 3) How have you satisfied yourself that the ASX will manage the replacement of the CHESSE system?	ASIC	Written	SQ16-001089
208	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	ASIC	Written	SQ16-001094
209	1	Gallacher	Complaints about Home Australia Pty Ltd	Senator GALLACHER: Has the commission received any complaints regarding Home Australia Pty Ltd? Mr Day: My understanding is that we may have but I can take that on notice. Senator GALLACHER: Can you provide the detail of it? Mr Day: Not off the top of my head. I can take that on notice and get back to you, if you like. Mind you, the nature of any complaints that ASIC receives are confidential. Senator GALLACHER: You could give us the number of complaints. Mr Day: Yes. If there are, I believe it would be a very small handful.	ASIC	Page 37 (19 October 2016)	SQ16-001098
210	1	Gallacher	Complaints about Subsidiaries of Home Australia Pty Ltd	Senator GALLACHER: Has ASIC received any complaints regarding any of Home Australia's subsidiaries, which would include: Homestead Homes Pty Ltd, Smart Road Property Rentals Pty Ltd, Newstart Homes (SE Qld) Pty Ltd, Collier Homes Pty Ltd, Ashford Homes Pty Ltd and Huxley Homes Pty Ltd. Mr Day: I would take that on notice in the same vein as the previous question.	ASIC	Page 37 (19 October 2016)	SQ16-001104
211	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	ASIC	Written	SQ16-001109
212	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	ASIC	Written	SQ16-001113

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213	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	ASIC	Written	SQ16-001116
214	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	ASIC	Written	SQ16-001119
215	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	ASIC	Written	SQ16-001127
216	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	ASIC	Written	SQ16-001131
217	5	Ketter	Debt Management Firms	1) Subsequent to ASIC's Review ((#265, released in January this year), has ASIC provided advice to Treasury or the Minister regarding regulatory or enforcement measures in relation to debt management firms? 2) Following ASIC's report (#265, released in January this year), how many Debt Management Firms has ASIC taken action against? What is the nature of each action that ASIC has taken against individual debt management firms? 3) What action does ASIC have the power to take to protect consumers in relation to debt management or credit repair firms? 4) Can ASIC compel debt management firms into External Dispute Resolution Schemes (EDRs) or into promoting ASICs own free alternatives to financial advice? 5) What action does ASIC take to ensure that industries (such as credit, telephone providers, water, energy providers) that are legally obliged to provide support or concessions to customers facing financial hardship are doing so?	ASIC	Written	SQ16-001136
218	1	Leyonhjelm	Effectiveness of penalties	Senator LEYONHJELM: Mr Medcraft, if I could just follow up, in the first instance, the matter that Senator Williams raised on penalties, and your comments in relation to penalties not being sufficient. I have been in business for 40-odd years, and I have never known a businessperson to engage or not engage in conduct contrary to the company law on the basis of penalties. Criminologists would argue that penalties are pretty much irrelevant when it comes to more traditional criminal activity as well—that it is the prospect of being caught that is more powerful. What do you think about that? [...] Mr Medcraft: I am happy to come back to you with a bit more information on notice. From talking globally with law-enforcement agencies, the probability of getting caught and what happens when you get caught is actually often a big driver. But I am happy to come back to you on the issue.	ASIC	Page 45 (19 October 2016)	SQ16-001141
219	1	Gallagher	Enforcement Review Taskforce	Senator GALLAGHER: How many people will be on this piece of work? Mr Kell: I might have to take that on notice as to exactly how many people will be working on it. We will take that on notice.	ASIC	Page 42 (19 October 2016)	SQ16-001145
220	1	Xenophon	Fast Fix Steelfixing Services	I want to put on record the details about a small South Australian company, Fast Fix Steelfixing Services, that got caught up in a saga involving a company called Delnote Constructions. They were always paid late by Delnote Constructions, but paid nonetheless. They continued to work with the company and even sought an arrangement to cover the cost of finance for those late payments. Their story is very familiar in the building industry. When Delnote went under and went into liquidation, Fast Fix were owed \$42,000. Nonetheless, they were pursued by the liquidators, backed by litigation funders, for \$315,000 because they were somehow said to have known that Delnote was trading insolvent. That \$315,000 is three years profit for this company. The action would have sent them under. They are adamant they did nothing wrong, but, in the end, they felt they had no choice but to reach a settlement with the liquidator, backed by a litigation funder. Can you, on notice, provide me with ASIC's view on the ethics of organisations like litigation funders in such circumstances?	ASIC	Page 33 (19 October 2016)	SQ16-001151

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221	4	Ketter	Fintech - June 2016 consultation paper	<p>ASIC released a consultative paper in June 2016 that sought feedback from stakeholders on “Adjusting ASIC’s policies to allow some small-scale, heavily automated businesses to rely, in part, on sign-off from an appropriately experienced third party in order to meet their organisational competence obligation” and “a conditional, industry-wide exemption to allow new Australian businesses to test certain financial services for six months without holding an AFS licence.”</p> <p>1) Whilst ASIC looks at a range of measure to facilitate innovation in financial services, how is it making sure that consumers are protected when measures are developed? 2) If new financial advice businesses can operate for up to six months without a licence, will ASIC pay compensation for victims of companies who operate outside of the rules? 3) What stage is ASIC at in formulating regulation around blockchain technology and other ‘digital disruption’? 4) What steps has ASIC taken to ensure that it has sufficient in-house expertise to keep up with developments in ‘digital disruption’?</p>	ASIC	Written	SQ16-001156
222	3	Ketter	IOOF	<p>1) What oversight does ASIC have of the “broader and more comprehensive review” of IOOF’s compliance arrangements referred to in ASIC’s media release of 8 July 2016 (16-221MR)? What are the terms of reference for this review? At what stage is the review at? Who is the external compliance consultant that has been engaged to conduct the review? What involvement did ASIC and IOOF each have in choosing the external consultant and setting the terms of reference, scope and processes for the review? What scope did IOOF have to choose the consultant? If the review has been completed, what has the review found? What steps has ASIC taken and will ASIC take to be satisfied about the robustness of the review? 2) To what extent is it correct that the terms of reference of the PWC report commissioned by IOOF meant no emails, interviews or computer files could be reviewed as part of the PWC investigation? Will the ‘broader and more comprehensive’ review be able to access emails, interviews and computer files? 3) On 24 August 2016 ASIC issued a media release regarding an enforceable undertaking with My Adviser, an IOOF brand. Why was this not included in ASIC’s media release of 8 July 2016? Why was IOOF not mentioned as the parent of My Adviser in the release of 24 August 2016?</p>	ASIC	Written	SQ16-001163
223	2	Ketter	Life Insurance	<p>1) What mechanisms does ASIC have to enforce compliance with the Life Insurance Industry Code of Practice if it is not approved by ASIC? What mechanisms do consumers have to enforce compliance with the code if it is not approved by ASIC? What mechanisms to enforce the code would ASIC have if it was approved? 2) Was there any consultation with or direction from the Treasury, the Minister or the Minister’s office on the timing of the release of the report Life Insurance Claims: An Industry Review? If so what was the nature of the consultation and/or the direction?</p>	ASIC	Written	SQ16-001168
224	7	Ketter	Mobile phones	<p>1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.</p>	ASIC	Written	SQ16-001174

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225	13	Ketter	Mr Pullen Pillay 01	<p>1) Has ASIC come across Mr Pullen Pillay previously and in what circumstances?</p> <p>2) With the substantial number of complaints against Mr Pullen Pillay, is ASIC concerned about the compensation process for impacted clients?</p> <p>3) Has a referral been made to ASIC regarding Mr Pullen Pillay as a systemic issue from the Financial Ombudsman Service (FOS)?</p> <p>4) If a referral has been made by FOS what does ASIC propose to do and are there any actions that ASIC may undertake to help clients get compensated for poor advice? If the 300-odd complainants concerning Mr Pullen Pillay are not enough for ASIC to take action, what level of complaint would be?</p> <p>5) ASIC has banned advisers for writing 5 or 10 pieces of advice without a Statement of Advice (SOA). Mr Pullen Pillay has sold hundreds of agri-investments without an SOA being issued. Why is Mr Pillay being left alone?</p> <p>6) Mr Pillay is still a financial planner operating from an office in The Entrance (www.pullenpillay.com). Does ASIC have any concerns about this in light of the complaints made against him?</p> <p>7) Have any of the financial services firms that licensed Mr Pillay reported Mr Pillay to ASIC?</p> <p>8) If Mr Pillay was not reported to ASIC by these bodies that licensed him, does ASIC propose to investigate? If not, why not?</p> <p>9) ASIC Deputy Chair Mr Peter Kell has spoken about the financial advice industry cleaning itself up. What is ASIC's view of the current state 'self-clean' of the financial advice industry?</p> <p>10) The Fairfax media article by Adele Ferguson on 21 May 2016 titled "MISTrust: 300 clients are down about \$70 million" notes that Perth-based Arafura Pearls investments were purportedly pushed on to Mr Pillay's clients from 2009. Arafura Pearls later collapsed in 2011. Did ASIC contact Mr Pillay in 2012 about his involvement with Arafura Pearls?</p> <p>11) Why did ASIC fail to pursue an investigation into Mr Pillay after 2012?</p> <p>12) Did ASIC conduct checks into Mr Pillay's conflict as a financial planner and his role as an agent for Arafura Pearls?</p> <p>13) Did the interest of current Prime Minister Malcolm Turnbull in Arafura Pearls prevent ASIC from investigating the collapse?</p>	ASIC	Written	SQ16-001178
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225	9	Ketter	Mr Pullen Pillay 02	<p>14) Mr Pillay provided advice 550 times over six years and earned millions of dollars of commissions. None of the advice was provided in his own name. 94 clients have said they did not meet with 'Angela Keys', the purported adviser listed on the Statement of Advice (SOA) documents. Another 20 or so clients of Mr Pillay have advice from 'Daniel McSweeney', the purported adviser listed on the Statement of Advice (SOA) documents, whom they did not meet. The Commonwealth Bank (CBA), as the licence holder, is arguing in both cases that McSweeney and Keys gave advice, not Mr Pillay.</p> <p>a. Daniel McSweeney and his company 'Wealth Achievers' was also implicated in 'ripping off customers' in recent media - does Mr Pillay's connection with Mr McSweeney in these SOA documents sufficient to raise alarms with in ASIC?</p> <p>b. What does ASIC believe the licence holder should do in situations such as Mr Pillay's per their new guideline RG 256 issued on 15 September 2016?</p> <p>c. What is ASIC's response if the licence holders do not take action to compensate under this guideline?</p> <p>15) The CBA contends that Mr Pillay had no interest in the advice he provided, although Mr Pillay's former clients allege that millions were paid to a company where Mr Pillay's wife was the sole director. Mr Pillay's former clients allege that the CBA defends the relationship it had with Mr Pillay since Mr Pillay's former clients cannot prove that the CBA paid into Mr Pillay's companies.</p> <p>a. Is this a case where ASIC can investigate on behalf of Mr Pillay's former clients?</p> <p>b. Based on ASIC's new guideline (RG 256), should the CBA attempt to determine if Mr Pillay was the major financial beneficiary of CBA commissions? Has the CBA informed ASIC whether it is investigating the issue?</p> <p>c. Mr Pillay's former clients allege there are documents in the possession of the CBA and other licence holders. These have not been produced for ongoing compensation disputes at the Financial Ombudsman Service (FOS). Where documents are not provided to FOS, is ASIC's able to investigate the licence holders' compliance with their obligations to act "fairly, honestly and efficiently" when dealing with complainants?</p> <p>d. In situations, such as with Mr Pillay's former clients, where there are hundreds of complainants, what does ASIC see as the realistic remedy? What does ASIC consider the best avenue for compensation? What options are open to victims where licence holders of the AFSL do not cooperate with the complaints process?</p> <p>e. How does ASIC address the potential for conflict by firms appointed by customer advocates chosen by the licence holder (CBA in this case)?</p> <p>f. Is ASIC concerned that lawyers, appointed as customer advocates in programs such as the OARP, do not have any financial planning experience? Why are financial planners excluded from OARP and similar processes?</p>	ASIC	Written	SQ16-001178
226	12	Ketter	National Australia Bank investigation	<p>1) After 20 months from your investigation into NAB, why is there still no update on your investigation?</p> <p>2) You will be releasing a report later in the year on the big financial advice institutions, including NAB. Will this include the red flags in terms of poor systems?</p> <p>3) Documents leaked to Fairfax Media last year said NAB had recurring "red" levels of risk on a number of critical issues on a traffic-light rating system:</p> <p>4) "The overall NAB Wealth risk profile is ... high, requiring significant management attention," a February 2014 risk and compliance report states. By March 2014, NAB's breach notifications to ASIC and APRA had surged from 3 in the first half of 2012-13, to 29 in the first half of 2013-14.</p> <p>5) "While some are in the nature of technical and formal ... there is evidence that long-standing events are being discovered," a May report to NAB Wealth's risk management committee explained.</p> <p>6) Has your investigation looked into this, particularly in light of the outages NAB keeps experiencing?</p> <p>7) NAB sacked 37 financial planners and only breached 5 of them. All those planners went to work at other operations.</p> <p>8) Have you tracked these planners down?</p> <p>9) How many have you breached?</p> <p>10) NAB quietly paid compensation to a few victims.</p> <p>11) What does ASIC think of NAB's remediation process?</p> <p>12) Why does it lack transparency?</p>	ASIC	Written	SQ16-001184

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227	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <ol style="list-style-type: none"> 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location. 	ASIC	Written	SQ16-001188
228	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <ol style="list-style-type: none"> 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years. 	ASIC	Written	SQ16-001194
229	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <ol style="list-style-type: none"> 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review. 	ASIC	Written	SQ16-001200
230	2	Whish-Wilson	SMSF investment in housing - ASIC	<ol style="list-style-type: none"> 1. Does ASIC have any data on recent growth in investment—either directly or indirectly—by self-managed superannuation funds (SMSFs) in property? 2. Has the ASIC assessed the risk of SMSFs exposure to property? 	ASIC	Written	SQ16-001206
231	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff. 	ASIC	Written	SQ16-001209
232	1	McAllister	Staffing - Separations	<p>How many separations occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation. 	ASIC	Written	SQ16-001213

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233	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	ASIC	Written	SQ16-001216
234	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	ASIC	Written	SQ16-001224
235	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	ASIC	Written	SQ16-001227
236	1	Xenophon	Statistics on company collapses	[...] can you take on notice to provide, the number of collapses per annum over the past couple of years in relation to building and construction companies; the total money lost by creditors broken out into the ATO, banks and others; and the number of secondary collapses.	ASIC	Page 32 (19 October 2016)	SQ16-001230
237	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15 ,2015-16 and to date in 2016-17.	ASIC	Written	SQ16-001232
238	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	ASIC	Written	SQ16-001234
239	1	Xenophon	Trust arrangements in the event of company collapses	[...] can you also reflect [...] on whether, in terms of insolvency in the construction industry [...] we ought to be considering the approach of some of the states in the US where there is money held in trust, in effect, or in escrow, so that people such as subbies are not left in the lurch.	ASIC	Page 33 (19 October 2016)	SQ16-001237
240	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	ASIC	Written	SQ16-001240
241	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	ASIC	Written	SQ16-001244
242	1	Ketter	2016 Census – ATO assistance	1) What was the extra cost of extra ATO resourcing through assisting with the Census information service?	ATO	Written	SQ16-001047
243	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	ATO	Written	SQ16-001050
244	1	Ketter	ANAO Report	Senator KETTER: Can I ask: have you been involved in briefings with ministers about the findings of the audit office report? Mr Jordan: I have not. Mr Olesen: We would routinely provide a brief to our minister about a pending ANAO report. My memory escapes me, but I would be confident we would have given them a written briefing of the audit in advance of it being released. Senator KETTER: Would they have sought feedback from you about the report? Mr Olesen: The ministers office, do you mean? Senator KETTER: Yes. Mr Olesen: Not that I can recall, but I am happy to check that and take it on notice.	ATO	Page 54 (19 October 2016)	SQ16-001057

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245	2	Ketter	ATO contractors / Staff movements for the Tax Practitioners Board	<p>Senator KETTER: Are you able to provide information about the number of people working at the ATO who are outsourced workers or the employees of firms contracted by the ATO?</p> <p>[...]</p> <p>I am particularly interested in employees of third parties—contractors.</p> <p>Ms Curtis: I do not have the actual numbers of outsourced staff we have. I do have the breakdown the commissioner just talked about. I can tell you that 93.4 per cent of our staff are ongoing employees, three per cent are non-ongoing employees and the remainder are what we call 'casual' or 'intermittent' staff. But they are people who are actually on our books. The outsourced number I do not have with me. I would have to take that on notice.</p> <p>Senator KETTER: That is the number I am looking for. Can you tell me how many staff have left the Tax Practitioners Board in the last three years?</p> <p>Ms Curtis: I think we will have to take that on notice. I do not have that detailed breakdown with me today.</p>	ATO	Page 56 (19 October 2016)	SQ16-001061
246	4	Ketter	Automated Imaging	<p>1) Was Fuji Xerox engaged to deploy automated imaging for the ATO? Did this operate as intended? What performance standards were set in the contract?</p> <p>2) What was the budget for the project?</p> <p>3) What was the total cost of the project?</p> <p>4) What were the total costs of bringing staff back to complete the backlog?</p>	ATO	Written	SQ16-001066
247	1	Ketter	Better Protection for Whistleblowers - ATO consultation	<p>Senator KETTER: Turning to the issue of the program in the budget for better protection for whistleblowers, was the ATO consulted about that?</p> <p>Mr Olesen: Yes.</p> <p>[...]</p> <p>Senator KETTER: Can you give us the details about the consultation.</p> <p>Mr Olesen: I think I would have to take that on notice.</p>	ATO	Page 90 (19 October 2016)	SQ16-001070
248	8	Ketter	Blocking websites that do not collect Australian taxes	<p>1) What preparations has the ATO made to comply with new GST requirements that are being introduced next year?</p> <p>2) Specifically, how will the ATO respond to overseas websites that do not comply with GST requirements?</p> <p>3) Does the ATO have an estimate on how many overseas websites would be non-compliant with incoming GST rules?</p> <p>4) Has the ATO developed proposals on how it may use powers under the Telecommunications Act to force Internet Service Providers to block non-compliant overseas websites?</p> <p>5) Has the ATO briefed Treasury or Government Ministers on powers under the Telecommunications Act to force ISPs to block non-compliant websites?</p> <p>6) Who did the ATO brief?</p> <p>7) Have Ministers and/or Treasury sought feedback from the ATO about forcing ISPs to block non-compliant overseas websites?</p> <p>8) Who sought feedback from the ATO?</p>	ATO	Written	SQ16-001073
249	1	Ketter	Cayman Islands	<p>Senator KETTER: Where does the Cayman Islands fit within your structure these days, Mr Konza?</p> <p>Mr Konza: Off the top of my head, I could not give a reliable answer. I would need to take it on notice. I will be able to tell you upon resumption.</p> <p>Senator KETTER: Will that be later tonight?</p> <p>Mr Konza: Yes.</p>	ATO	Page 71 (19 October 2016)	SQ16-001078
250	1	Whish-Wilson	Claiming of exploration expenses and eligibility to claim the carry-forward tax credits	<p>Senator WHISH-WILSON: [...] In terms of exploration expenses and eligibility to claim the carry-forward tax credits and the upticks, if there is a problem with an exploration well, like we saw for example in the Gulf of Mexico—like a spill—and there is remediation necessary et cetera, is that classified as part of exploration expenditure or would that be different? That one was worth tens of billions of dollars in costs and I am interested in knowing whether that has been considered.</p> <p>Ms Mrakovcic: I would either have to defer to the ATO on that one or at least take it on notice.</p> <p>Senator WHISH-WILSON: Great. If you could also take on notice to let me know how the well-head value is calculated?</p> <p>Ms Mrakovcic: We will take that on notice.</p> <p>Senator WHISH-WILSON: Who decides that value? Is that the company or the ATO?</p> <p>Ms Mrakovcic: We will take that on notice.</p>	ATO	Page 78 (19 October 2016)	SQ16-001079
251	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	ATO	Written	SQ16-001082

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252	9	Ketter	Complaints/Workforce	<p>1) The ATO's 2014-15 annual report says complaints have risen 3 per cent since 2013-14. What is the latest figure for 2015-16 and what are the reasons behind the increase?</p> <p>2) What are the issues or areas that are the primary sources of these complaints?</p> <p>3) What would you attribute the uptick in complaints to?</p> <p>4) Do ATO commissioners personally intervene in some tax cases? Is this commonplace?</p> <p>5) How common would intervention in a case by a commissioner be?</p> <p>6) What justification is there for intervening in a case?</p> <p>7) Is there pressure to resolve cases quickly?</p> <p>8) Does under-resourcing create pressure to resolve cases in a sub-optimal fashion?</p> <p>9) Can the ATO provide a figure of net job losses since 2014?</p>	ATO	Written	SQ16-001083
253	4	Ketter	Consultancies	<p>Please provide all details of consultancies currently underway:</p> <p>1) Who has been contracted</p> <p>2) The length of the contract</p> <p>3) The cost of the contract</p> <p>4) What specific tasks each consultancy is responsible for</p>	ATO	Written	SQ16-001084
254	6	Bilyk	Contract Notice ID CN3360712	<p>With reference to Contract Notice ID CN3360712 published on the Austender website ("MouseMat/Custom Sticker for w'shop"):</p> <p>Precisely what goods were procured under this contract?</p> <p>Can an itemised list of goods together with relevant costs please be provided?</p> <p>Can any photographs or illustrations of the goods please be provided?</p> <p>Can samples be provided?</p> <p>Who approved this expenditure?</p> <p>Was the Minister's office briefed in relation to the goods procured under this contract? If so, which Minister(s) and on what date(s)?</p>	ATO	Written	SQ16-001086
255	6	Bilyk	Contract Notice ID CN3382019	<p>With reference to Contract Notice ID CN3382019 published on the Austender website ("Promotion of social channels campaign"):</p> <p>Precisely what services were procured under this contract?</p> <p>Did these services relate to an advertising or information campaign?</p> <p>If so, what campaign? Can a list of each and every Contract Notice ID related to contracts entered into in relation to this campaign please be provided?</p> <p>Can a copy of all promotional materials in relation to this campaign please be provided?</p> <p>Was any merchandise procured in relation to this campaign? Can samples be provided? Can photographs or illustrations please be provided?</p> <p>Was the Minister briefed in relation to this information or advertising campaign? If so, which Minister(s) and on what date(s)?</p>	ATO	Written	SQ16-001088
256	1	McAllister	Contractors and consultants - contract details	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The project or engagement;</p> <p>b) The value of the contract;</p> <p>c) The name of each firm or contractor engaged; and</p> <p>d) The purpose of the contract.</p>	ATO	Written	SQ16-001091
257	1	McAllister	Contractors and consultants - Labour hire arrangements	<p>For the 2015-16 financial year, please outline:</p> <p>a) How many staff were employed through labour hire arrangements;</p> <p>b) Total expenditure on labour hire staff;</p> <p>c) The contractors or labour hire firms engaged to supply these staff;</p> <p>d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and</p> <p>e) The nature of the work performed by labour hire staff.</p>	ATO	Written	SQ16-001092
258	1	McAllister	Contractors and consultants - Names of firms and payments made	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The names of each firm or contractor engaged; and</p> <p>b) Total payments made to each contractor or consultant.</p>	ATO	Written	SQ16-001097
259	1	McAllister	Contractors and consultants - total expenditure	<p>What was the total expenditure on contractors and consultants in the 2015-16 financial year.</p>	ATO	Written	SQ16-001100

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260	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	ATO	Written	SQ16-001101
261	3	Ketter	Curtis Island	1) Can the ATO update the Committee on how many tax agents incorrectly advised Curtis Island employees on deductions? 2) Why is it that so many taxation agents have made the same mistake at the same time? 3) On what basis is the ATO stating its position?	ATO	Written	SQ16-001105
262	3	Ketter	Customer Service Chief	1) The ATO recently appointed Melinda Smith, formerly of Masters Hardware, as its customer service chief. Can you outline the reasoning for her appointment? 2) How was the job advertised? 3) How many other candidates were interviewed?	ATO	Written	SQ16-001107
263	1	Ketter	Deductions claimed by Curtis Island LNG workers	Senator KETTER: [...] I think we have raised with some of your people the fact that Curtis Island LNG workers employed by Bechtel are being pursued by the ATO. Can you update us on how many tax agents incorrectly advised Curtis Island employees on their work deductions? Are you familiar with that issue? Mr Jordan: We would have to take that on notice.	ATO	Page 56 (19 October 2016)	SQ16-001111
264	9	Xenophon	Delnote Constructions	I refer to the collapse of Delnote Constructions on 24 November 2014. It was a NSW registered company, but it is understood that the predominant work base for the company when it went into liquidation was South Australian and most of the creditors were South Australian. 1. Is it correct that the ATO was the largest creditor to Delnote? 2. What input did the ATO have with respect to decisions of the Liquidator: a. What directions were made to Jersche Sutherland and why. b. What votes did the ATO participate in and in what manner did the ATO vote and why? 3. What are the ATO rules with respect to directions to liquidators? Fast Fix Steel Fixing Services, a small SA Company were got caught up this collapse. They are a small company that conducted business with Delnote Constructions. They were always paid late ... but none the less paid. They continued to work with the company and even sought an arrangement to cover the cost of finance for those late payments. This behaviour is one that many construction industry participant would be familiar with. When Delnote went under Fast Fix Steel Fixing Services were owed circa \$42K. None the less, they were pursued by the liquidators backed by litigation funders, for \$315K because they were said to have understood that the Delnote was trading insolvent. \$315K is 3 years profit for the company – the action was going to send them under. The company directors are adamant they did nothing wrong but have settled under pressure of the personal and financial cost that a protracted legal case might bring. They would likely win the litigation, but at too high a price. It is noted that Litigation funders are not motivated by righting a wrong – they are motivated purely by profit. In this instance the litigation funder was engaged by the liquidator under terms that would see the funder receive 50% of all money recovered. 1. Noting the ATO is often a large creditor in circumstances like these, what is its policy in relation to giving support to the engagement of litigation funders? (as opposed to, say, Assetless Administrator Funds) 2. What % returns to the litigation funder are considered acceptable by the ATO? 3. What happened in the case described above? Did the ATO just give a proxy vote to the Liquidator? 4. Can you please provide the Committee any documentation or correspondence with the liquidator with respect to engagement of a litigation funder.	ATO	Written	SQ16-001115
265	2	Whish-Wilson	Franking credits on hybrid debt-equity securities	1. Can the ATO confirm that it has made a ruling allowing Australian banks to issue hybrid debt-equity securities through offshore branches without franking credits? If so, has this ruling been made public; and has this ruling been communicated to all authorised deposit-taking institutions? 2. Did the ATO consult with members of the Council of Financial Regulators regarding this ruling, particularly in relation to any financial stability implications? If so, what was the response from members of the Council of Financial Regulators?	ATO	Written	SQ16-001118

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266	5	Ketter	Freedom of Information	<p>1) The ATO recently decided to refuse freedom of information requests made via the Right to Know website. When was this decision made, and why?</p> <p>2) Who made the final decision to block requests from the Right to Know website?</p> <p>3) Did the ATO consult with other departments, public servants outside the ATO or Ministers about the decision?</p> <p>4) Who did the ATO consult about the decision?</p> <p>5) Who notified the operators of the Right to Know website of the ATO decision?</p>	ATO	Written	SQ16-001121
267	1	Ketter	Fuji Xerox contract	<p>Senator KETTER: Perhaps I could ask you to take some things on notice. What performance standards were set in the contract with Fuji Xerox? What was the budget for the project? What was the total cost of the project? As I understand it, ATO staff were required to come back to assist in remediating the problems that were experienced by using Fuji Xerox.</p>	ATO	Page 57 (19 October 2016)	SQ16-001125
268	1	Ketter	Impact of tightening thin capitalization rules	<p>1) What is the impact of tightening the thin capitalization rules (limiting it to three tests) since 2013?</p>	ATO	Written	SQ16-001128
269	7	Ketter	Mobile phones	<p>1) How many mobile phones are there in use by employees?</p> <p>2) With which telecommunications service provider are the mobile phones linked to?</p> <p>3) What is the cost of the plan for each mobile phone?</p> <p>4) How much data is included in this plan?</p> <p>5) What is the cost if data usage goes beyond its quota?</p> <p>6) Have there been instances where data usage for individual mobile phone users has exceeded its quota?</p> <p>a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.</p>	ATO	Written	SQ16-001132
270	6	Ketter	myGov	<p>1) How many complaints has the ATO received about MyGov?</p> <p>2) Can these complaints be broken down into categories (eg. Functionality, incorrect information etc)?</p> <p>3) How many cases involved incorrect or mismatching HECS/HELP debts?</p> <p>4) What processes are in place for the ATO to respond to, and correct, issues with MyGov?</p> <p>5) What review processes in the ATO are there for MyGov Tax Office related functions?</p> <p>6) What changes to ATO-related MyGov functions are being rolled out presently and over the next three years?</p>	ATO	Written	SQ16-001135
271	1	Whish-Wilson	Petroleum Resource Rent Tax	<p>Senator WHISH-WILSON: [...] I will ask some questions on the petroleum resource rent tax. In last estimates I asked for what the total carry-forward tax credits were, and your answer was: about \$187 billion. What is the current value of the PRRT credits, or what is your forecast over the forward estimates period?</p> <p>Mr Hirschhorn: I would have to take the specific number on notice. We do produce this number in the annual report—the number of losses carried forward. I do not have immediately to hand what the carry-forward balance of, effectively, carry-forward losses is at the moment.</p>	ATO	Page 61 (19 October 2016)	SQ16-001139

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272	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <p>1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15;</p> <p>2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding</p> <p>3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15;</p> <p>4) The total funding actually expended on each in 2015-16 and 2014-15;</p> <p>5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15;</p> <p>6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors.</p> <p>7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location;</p> <p>8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.</p>	ATO	Written	SQ16-001143
273	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <p>1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years;</p> <p>2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.</p>	ATO	Written	SQ16-001147
274	1	Leyonhjelm	Receipt of Panama Papers data	<p>Senator LEYONHJELM: What was the date when you first received it?</p> <p>Mr Hirschhorn: We would have to take that on notice.</p>	ATO	Page 64 (19 October 2016)	SQ16-001152
275	1	Ketter	Records of compliance action resulting from tip-offs	<p>Senator KETTER: Do you record what information is provided or the compliance action that results from the tip-off?</p> <p>Mr Olesen: For dob-ins that we get through the line that the commissioner was talking about, yes, we keep a record of the action that we take.</p> <p>Senator KETTER: You do have some information about the compliance action as well?</p> <p>Mr Olesen: I had better check, on notice, exactly the information that we record.</p> <p>Senator KETTER: The question would be, then, if you do, are you able to quantify the amount of tax collected as a result of tip-offs?</p> <p>Mr Olesen: I am pretty confident we can. I am pretty confident we keep records which allow us to understand what we did, whether we actioned them and what the outcome was from those cases. But I am happy to confirm that on notice for you.</p>	ATO	Pages 90-91 (19 October 2016)	SQ16-001154
276	1	Ketter	Register of Shell Company Ownership - ATO consultation	<p>Senator KETTER: Mr Jordan, in April this year Minister O'Dwyer committed the coalition to a register of ultimate beneficial ownership of shell companies. Was the ATO consulted about this announcement.</p> <p>Mr Jordan: I think we took a question earlier on this issue.</p> <p>Senator KETTER: Yes, but not the issue of consultation with the ATO.</p> <p>Mr Jordan: We took a question on this earlier and suggested that you might like to raise that with the Revenue Group, because—</p> <p>Senator KETTER: No, my question is: was the ATO consulted?</p> <p>Mr Jordan: I would have to take that on notice. I am sorry.</p> <p>Senator KETTER: If you are taking that on notice, can you provide the advice that was offered to Minister O'Dwyer.</p> <p>Mr Olesen: Yes.</p>	ATO	Page 90 (19 October 2016)	SQ16-001158

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277	5	Ketter	Register of Ultimate Beneficial Ownership	<p>1) In April 2016, Minister Kelly O'Dwyer committed the Coalition to a register of ultimate beneficial ownership of shell companies. Was the ATO consulted on this announcement? What advice did ATO offer Minister O'Dwyer?</p> <p>2) What is the current process for the ATO when receiving whistleblower 'tip-offs'?</p> <p>3) Does the ATO record what information is provided or the compliance action that results from tip-offs?</p> <p>4) Does this mean the ATO is unable to quantify the amount of tax collected as a result of tip-offs?</p> <p>5) Would the ATO require additional resources to assist it in any additional whistleblower protections? What would these resources be?</p>	ATO	Written	SQ16-001164
278	3	Rhiannon	Revenue from greyhound racing activities	<p>1. How many businesses or enterprises are identified as having greyhound racing activities as their core business</p> <p>2. How much tax do such enterprises pay in each paid in each state?</p> <p>3. Does the ATO have any information about the number of greyhound industry participants who do not pay tax from their greyhound activities or enterprises?</p>	ATO	Written	SQ16-001166
279	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <p>1) When these reviews commenced;</p> <p>2) When the reviews are expected to be completed;</p> <p>3) Expenditure on these reviews to date;</p> <p>4) Budgeted expenditure for these reviews;</p> <p>5) How many staff are involved in each review;</p> <p>6) How many external contractors and consultants are involved in each review;</p> <p>7) What is the cost of the external contractors and consultants for each review.</p>	ATO	Written	SQ16-001171
280	1	Williams	Serious Financial Crime Taskforce	<p>Senator WILLIAMS: Has anyone been charged with criminal offences?</p> <p>Mr Olesen: Sorry, I have to take that on notice. Certainly, we are working with the Federal Police because we think there is the potential for prosecution action to be taken.</p>	ATO	Pages 59-60 (19 October 2016)	SQ16-001175
281	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <p>a) Classification;</p> <p>b) State or territory;</p> <p>c) Ongoing staff; and</p> <p>d) Non-ongoing staff.</p>	ATO	Written	SQ16-001181
282	1	McAllister	Staffing - Separations	<p>How many separations occurred in the 2015-16 financial year, by:</p> <p>a) Classification;</p> <p>b) State or territory;</p> <p>c) Ongoing staff;</p> <p>d) Non-ongoing staff; and</p> <p>e) Reason for separation.</p>	ATO	Written	SQ16-001183
283	1	McAllister	Staffing levels	<p>Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories:</p> <p>a) Full time equivalent (FTE);</p> <p>b) Head count;</p> <p>c) Gender;</p> <p>d) Ongoing;</p> <p>e) non-ongoing; and</p> <p>f) classification level.</p>	ATO	Written	SQ16-001186
284	1	Ketter	Staffing numbers	<p>Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.</p>	ATO	Written	SQ16-001189
285	1	Ketter	Stationery	<p>Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.</p>	ATO	Written	SQ16-001191

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286	6	Ketter	Tax Avoidance Taskforce / Workforce	<p>1) What are the implications for the ATO of the Average Staffing Level staffing cap? Has ATO increased labour hire and other external labour usage as a result of this cap?</p> <p>2) How has the funding structure of the Tax Avoidance Taskforce affected its establishment (i.e. period of guaranteed funding, interaction with Average Staffing Level cap)? How has the taskforce been staffed?</p> <p>3) What does the ATO estimate their use of labour hire and contractor staff? Has this level of usage changed in the last 4 years? What is driving those changes/ decisions?</p> <p>4) In making decisions about tenders for contract and labour hire staff, does the ATO consider whether the prospective companies are 'good corporate citizens' in terms of their tax arrangements?</p> <p>5) Has the ATO undertaken any analysis of risks with using outsourcing and labour hire arrangement for ATO work?</p> <p>6) Has the ATO undertaken any analysis of costs implications of using outsourcing and labour hire arrangements for ATO work, as compared with permanent work?</p>	ATO	Written	SQ16-001193
287	1	Ketter	Tax Practitioners Board	1) How many staff have left the Tax Practitioners Board in the past three years?	ATO	Written	SQ16-001195
288	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15 ,2015-16 and to date in 2016-17.	ATO	Written	SQ16-001197
289	1	Xenophon	Total cost of building and construction liquidations	<p>In relation to building collapses in general:</p> <p>Can you please provide the total cost to the taxpayer in uncollected revenue of building and constriction liquidations over the past three financial years?</p>	ATO	Written	SQ16-001199
290	4	Cameron	Trade Support Loans - Debt	<p>1. How many people currently hold a debt under the program?</p> <p>2. What is the total amount of the debt that has been incurred by apprentices in the TSL scheme?</p> <p>3. What is the average debt balance held by participants in the scheme?</p> <p>4. How many people (and what proportion) have commenced repayment?</p>	ATO	Written (Transferred from Education)	SQ16-001201
291	4	Cameron	Trade Support Loans - Debt by demographics	<p>1. What is the total debt held by people under the age of 18?</p> <p>2. What is the total debt held by people between the ages of 18-24?</p> <p>3. What is the total debt held by people at the age of 25 and over?</p> <p>4. What is the total debt held by female participants?</p>	ATO	Written (Transferred from Education)	SQ16-001205
292	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	ATO	Written	SQ16-001208
293	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	ATO	Written	SQ16-001250
294	1	Watt	Western Australian legislation	<p>Senator WATT: When did you first discuss that Western Australian legislation with the Treasurer or the Assistant Treasurer—with whoever the relevant minister would have been?</p> <p>Mr Mills: I would have to take that on notice. I do not know that we ever discussed it with the Treasurer personally.</p>	ATO	Page 67 (19 October 2016)	SQ16-001253
295	1	Watt	Western Australian legislation - AGS advice	<p>Senator WATT: You mentioned that you felt it was your responsibility to obtain advice about the constitutionality of that legislation.</p> <p>Mr Mills: Yes.</p> <p>Senator WATT: Who did you obtain that advice from?</p> <p>Mr Mills: In that kind of situation the requirement is to go to the Australian Government Solicitor, and that is who we went to.</p> <p>Senator WATT: Did they brief counsel?</p> <p>Mr Mills: I cannot tell you, off the top of my head. I would have to go back over the records. If you would like me to take that on notice I am happy to do so.</p> <p>Senator WATT: Sure. It seems that that kind of thing, a constitutional challenge, would be the sort of thing where AGS would ordinarily seek an opinion from the Solicitor-General. Do you know whether an opinion was obtained?</p> <p>Mr Mills: I just do not recall at this stage. I would have to check the records.</p> <p>Senator WATT: Mr Jordan, do you recall?</p> <p>Mr Jordan: No.</p> <p>Mr Mills: It may well have been that, given the stage that things were at, the Australian Government Solicitor was prepared to undertake that work without necessarily getting an opinion. But I would have to check.</p>	ATO	Page 67 (19 October 2016)	SQ16-001260

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296	1	Watt	Western Australian legislation - Correspondence	<p>Senator WATT: Indeed. If you would not mind taking on notice whether there was correspondence, and I suppose I am interested in seeing any correspondence that was potentially sent from the lawyers for the Western Australian government to your lawyers in those early stages after you decided to challenge.</p> <p>Mr Jordan: There are probably all sorts of legal professional privilege. I do not know how it all fits in.</p> <p>Senator WATT: I do not expect you to reveal anything that is privileged. I suppose I am just thinking, though, that, if it is just simply lawyer to lawyer, it is not a matter of your lawyers providing you with advice, so there is no privilege from that perspective.</p>	ATO	Page 70 (19 October 2016)	SQ16-001262
297	1	Watt	Western Australian legislation - Ministerial enquiry	<p>Senator WATT: When you obtained that legal advice, whether it was from AGS or the Solicitor-General, do you remember whether any minister contacted you about that advice having been obtained or your thoughts about intervening in that litigation?</p> <p>Mr Mills: Yes, I do recall a conversation with a staff member of a minister inquiring in relation to it—I would not say 'intervening' but inquiring in relation to it.</p> <p>Senator WATT: Which minister was that?</p> <p>Mr Mills: I would have to double-check. It was either the then Assistant Treasurer or the Treasurer's office—one of the two was asking about it. So they had representations made to them by the Western Australian government, as I recall, and they made inquiries of us.</p> <p>[...]</p> <p>Senator WATT: Sure. You do not remember exactly what aspect you were contacted about. Do you remember roughly when that contact occurred, or do you need to look into that as well?</p> <p>Mr Mills: I would need to look at the dates.</p>	ATO	Pages 67-68 (19 October 2016)	SQ16-001263
298	8	Ketter	Wi-fi network	<p>1) Is there a wi-fi network on the department/agency premises?</p> <p>2) If yes:</p> <p>a. Who provides the wi-fi network?</p> <p>b. What is the cost of operating and maintaining the wi-fi network?</p> <p>c. What is the speed of the wi-fi network, for both uploads and downloads?</p> <p>d. What level of security is the wi-fi network set to?</p> <p>e. What access protocols are in place to access the wi-fi network?</p> <p>f. Who can access the wi-fi network?</p> <p>g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?</p>	ATO	Written	SQ16-001265
299	2	Ketter	Workforce	<p>1) We are currently into the third year of negotiations on ATO employees enterprise bargaining agreement. Has the delay in reaching an agreement led to outsourcing at the ATO?</p> <p>2) What percentage of staff at ATO staff are outsourced workers, i.e. employees of contracted firms outside of the ATO?</p>	ATO	Written	SQ16-001267
300	4	Ketter	Advisory or working groups	<p>Please provide details of advisory or working groups within the agency.</p> <p>1) Who is on each advisory or working group</p> <p>2) What support is being provided by the agency</p> <p>3) What is the expenditure to date of the advisory or working group</p> <p>4) What reporting mechanisms to the agency executive or the Minister exists</p>	CGC	Written	SQ16-001211
301	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	CGC	Written	SQ16-001257
302	4	Ketter	Consultancies	<p>Please provide all details of consultancies currently underway:</p> <p>1) Who has been contracted</p> <p>2) The length of the contract</p> <p>3) The cost of the contract</p> <p>4) What specific tasks each consultancy is responsible for</p>	CGC	Written	SQ16-001266
303	1	McAllister	Contractors and consultants - contract details	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The project or engagement;</p> <p>b) The value of the contract;</p> <p>c) The name of each firm or contractor engaged; and</p> <p>d) The purpose of the contract.</p>	CGC	Written	SQ16-001294
304	1	McAllister	Contractors and consultants - Labour hire arrangements	<p>For the 2015-16 financial year, please outline:</p> <p>a) How many staff were employed through labour hire arrangements;</p> <p>b) Total expenditure on labour hire staff;</p> <p>c) The contractors or labour hire firms engaged to supply these staff;</p> <p>d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and</p> <p>e) The nature of the work performed by labour hire staff.</p>	CGC	Written	SQ16-001297

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305	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	CGC	Written	SQ16-001311
306	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	CGC	Written	SQ16-001319
307	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	CGC	Written	SQ16-001323
308	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	CGC	Written	SQ16-001349
309	8	Ketter	Programs administered by the department and portfolio agencies	For every program administered by the department and all portfolio agencies, provide in an itemised table the following information: 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.	CGC	Written	SQ16-001350
310	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	For every program administered by the department and all portfolio agencies within it, provide: 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.	CGC	Written	SQ16-001351
311	7	Ketter	Reviews	Please provide details, for all reviews currently underway: 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review.	CGC	Written	SQ16-001353

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312	1	McAllister	Staffing - Engagements	How many engagements occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff.	CGC	Written	SQ16-001354
313	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	CGC	Written	SQ16-001357
314	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	CGC	Written	SQ16-001360
315	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	CGC	Written	SQ16-001361
316	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	CGC	Written	SQ16-001362
317	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	CGC	Written	SQ16-001364
318	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	CGC	Written	SQ16-001367
319	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	CGC	Written	SQ16-001370
320	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	CGC	Written	SQ16-001374
321	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	IGT	Written	SQ16-001377
322	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	IGT	Written	SQ16-001378
323	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	IGT	Written	SQ16-001448
324	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	IGT	Written	SQ16-001491

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325	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	IGT	Written	SQ16-001492
326	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	IGT	Written	SQ16-001493
327	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	IGT	Written	SQ16-001494
328	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	IGT	Written	SQ16-001495
329	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	IGT	Written	SQ16-001496
330	8	Ketter	Programs administered by the department and portfolio agencies	For every program administered by the department and all portfolio agencies, provide in an itemised table the following information: 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.	IGT	Written	SQ16-001497
331	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	For every program administered by the department and all portfolio agencies within it, provide: 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.	IGT	Written	SQ16-001498

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332	7	Ketter	Reviews	Please provide details, for all reviews currently underway: 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review.	IGT	Written	SQ16-001499
333	1	McAllister	Staffing - Engagements	How many engagements occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff.	IGT	Written	SQ16-001501
334	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	IGT	Written	SQ16-001504
335	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	IGT	Written	SQ16-001507
336	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	IGT	Written	SQ16-001510
337	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	IGT	Written	SQ16-001511
338	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	IGT	Written	SQ16-001512
339	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	IGT	Written	SQ16-001513
340	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	IGT	Written	SQ16-001514
341	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	IGT	Written	SQ16-001515
342	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	NCC	Written	SQ16-001352
343	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	NCC	Written	SQ16-001355
344	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	NCC	Written	SQ16-001356

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345	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	NCC	Written	SQ16-001358
346	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	NCC	Written	SQ16-001359
347	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	NCC	Written	SQ16-001363
348	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	NCC	Written	SQ16-001365
349	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	NCC	Written	SQ16-001366
350	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	NCC	Written	SQ16-001368
351	8	Ketter	Programs administered by the department and portfolio agencies	For every program administered by the department and all portfolio agencies, provide in an itemised table the following information: 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.	NCC	Written	SQ16-001369

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352	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	For every program administered by the department and all portfolio agencies within it, provide: 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.	NCC	Written	SQ16-001371
353	7	Ketter	Reviews	Please provide details, for all reviews currently underway: 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review.	NCC	Written	SQ16-001372
354	1	McAllister	Staffing - Engagements	How many engagements occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff.	NCC	Written	SQ16-001373
355	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	NCC	Written	SQ16-001375
356	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	NCC	Written	SQ16-001376
357	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	NCC	Written	SQ16-001379
358	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	NCC	Written	SQ16-001380
359	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	NCC	Written	SQ16-001381
360	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	NCC	Written	SQ16-001382
361	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	NCC	Written	SQ16-001383
362	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	NCC	Written	SQ16-001384

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363	4	Ketter	2012 PC report into default super	<p>Noting the recommendations the Productivity Commission made in 2012 to establish an independent expert panel within Fair Work to evaluate default funds, and delays in implementation:</p> <p>1. Is the process, as reflected in the legislation, and improvement on prior practice?</p> <p>2. Would implementing the process improve the quality of default funds?</p> <p>3. Are you aware this process has not proceeded because the current government has not appointed members to the expert panel?</p> <p>4. Would allowing this process to proceed would improve the quality of default funds?</p>	PC	Written	SQ16-001431
364	4	Ketter	Advisory or working groups	<p>Please provide details of advisory or working groups within the agency.</p> <p>1) Who is on each advisory or working group</p> <p>2) What support is being provided by the agency</p> <p>3) What is the expenditure to date of the advisory or working group</p> <p>4) What reporting mechanisms to the agency executive or the Minister exists</p>	PC	Written	SQ16-001432
365	1	Carr	Book Industry - Inquiry into Australia's Intellectual Property Arrangements	<p>Regarding the Productivity Commission's inquiry into Australia's intellectual property arrangements:</p> <p>a. What inter-departmental consultation took place in the course of this inquiry? Please provide a detailed account of any input.</p> <p>b. What book industry input did the Commission seek regarding this inquiry? Please provide a detailed account of any input sought and provided.</p> <p>c. When will the final report be released?</p> <p>d. What consultation does the Government plan to undertake with relevant stakeholders?</p> <p>e. What further inter-departmental consultation will take place?</p> <p>f. When will the Government make its public response?</p>	PC	Written	SQ16-001433
366	1	Carr	Book Industry - Parallel imports	<p>Regarding the Government's response on 24 November 2015 to the Competition Policy Review (the Harper Review), that it would remove restrictions on the parallel importation of books:</p> <p>a. Did the Productivity Commission or the Treasury make any contribution to this response? Please provide a detailed account of any input.</p> <p>b. What modelling or consultation has the Government undertaken on the potential effects of this proposal on the book industry's viability and on our national cultural production?</p> <p>c. Has the Government conducted any analysis of the effect of this proposal on innovation and on investment attraction in the book industry?</p>	PC	Written	SQ16-001434
367	1	Carr	Book Industry - PC Input to Copyright and the Digital Economy report	<p>4. Regarding the Australian Law Reform Commission's report Copyright and Digital Economy:</p> <p>a. What contribution did the Productivity Commission or the Treasury make to this inquiry? Please provide a detailed account of any input.</p> <p>b. What contribution did the Productivity Commission or the Treasury make to the subsequent Ernst & Young cost benefit analysis? Please provide a detailed account of any input.</p>	PC	Written	SQ16-001435
368	1	Carr	Book Industry - Transformation of Australia's copyright framework	<p>Regarding the Productivity Commission's proposal for a significant transformation of Australia's copyright framework in its draft report, released on 29 April 2016:</p> <p>a. What modelling or consultation has the Government undertaken on the potential effects of this proposal on the book industry's viability and on our national cultural production?</p> <p>b. What specific measures did the Productivity Commission employ to take into account the value to the nation of intangible benefits such as national identity, local literary and artistic capacity, and cultural enrichment?</p>	PC	Written	SQ16-001436
369	1	Ketter	Company cars in salary packages	<p>How many staff have access to company cars within their salary packages?</p>	PC	Written	SQ16-001437
370	4	Ketter	Consultancies	<p>Please provide all details of consultancies currently underway:</p> <p>1) Who has been contracted</p> <p>2) The length of the contract</p> <p>3) The cost of the contract</p> <p>4) What specific tasks each consultancy is responsible for</p>	PC	Written	SQ16-001441
371	1	McAllister	Contractors and consultants - contract details	<p>For each contract or consultancy in the 2015-16 financial year, please outline:</p> <p>a) The project or engagement;</p> <p>b) The value of the contract;</p> <p>c) The name of each firm or contractor engaged; and</p> <p>d) The purpose of the contract.</p>	PC	Written	SQ16-001447

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372	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	PC	Written	SQ16-001449
373	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	PC	Written	SQ16-001450
374	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	PC	Written	SQ16-001451
375	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	PC	Written	SQ16-001453
376	12	Ketter	Financial System Inquiry and the superannuation system	1. Noting the FSI finding that product fees have not reduced as the scale of the super system has increased, which sectors are charging the highest fees? a. Retail or non-profit industry funds? b. Default or choice? 2. How do conflicts-of-interest (to shareholders vs members) contribute to inefficiency in the super system? 3. Are all segments of the superannuation industry are equally responsible for the view the FSI has presented that the super system is not as efficient as it could be? 4. Why is consumer competition weak across the super sector? 5. How have consumers performed when they choose their super products (instead of accessing a default product)? 6. How have consumers who are choosing their super products performed: above or below the system-wide average? 7. How have Self-Managed Super Funds, which presumably have the most engaged members, performed: above or below the system average? 8. Is there evidence to support the assertion that banks are cross-selling employers poorer performing (or higher fee) products? 9. Is there evidence to support the assertion that banks cross-selling employers poorer performing (or higher fee) products is a significant problem? 10. Is there evidence to support the assertion that there are industrial award default products that are performing poorly (or higher fee)? 11. Is there evidence to support the assertion that there is a significant problem of industrial award default products that are performing poorly (or higher fee)? 12. What impact will the transition of accrued default amounts (ADAs) to MySuper products have on competition and efficiency in the super sector?	PC	Written	SQ16-001455
377	11	Ketter	International systems	1. The inquiry into default allocation identifies the default allocation systems in Chile, Sweden and New Zealand as possible examples to be considered – why? 2. How do other countries assess the competitiveness and efficiency of their default allocation systems? 3. How does the competitiveness and efficiency of our system compare to other super systems? 4. How does the competitiveness and efficiency of our super system compare to the Chilean super system? 5. How does the competitiveness and efficiency of our super system compare to the Swedish super system? 6. How does the competitiveness and efficiency of our super system compare to the New Zealand super system? 7. Are the Chilean and New Zealand ‘pillar 2’ systems (where both employers and employees are contributing) generally regarded as the best systems in the World? 8. What are regarded as the best ‘pillar 2’ systems in the world, and why? 9. Which allocation systems provide the best investment outcomes for members? 10. Will the PC be looking at systems that are working well, but are not built on competitive choice of funds? 11. Which international super systems are being evaluated?	PC	Written	SQ16-001457

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378	7	Ketter	Mobile phones	<p>1) How many mobile phones are there in use by employees?</p> <p>2) With which telecommunications service provider are the mobile phones linked to?</p> <p>3) What is the cost of the plan for each mobile phone?</p> <p>4) How much data is included in this plan?</p> <p>5) What is the cost if data usage goes beyond its quota?</p> <p>6) Have there been instances where data usage for individual mobile phone users has exceeded its quota?</p> <p>a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.</p>	PC	Written	SQ16-001458
379	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <p>1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15;</p> <p>2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding</p> <p>3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15;</p> <p>4) The total funding actually expended on each in 2015-16 and 2014-15;</p> <p>5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15;</p> <p>6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors.</p> <p>7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location;</p> <p>8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location.</p>	PC	Written	SQ16-001459
380	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <p>1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years;</p> <p>2) Copies of any evaluation reports or program analysis prepared within the department in the last five years.</p>	PC	Written	SQ16-001460
381	7	Ketter	Report into Australia's Intellectual Property Arrangements	<p>1) I refer to this infographic that accompanied the release of the Productivity Commission's draft report on Intellectual Property Arrangements. Did the Productivity Commission engage consultants or external third parties to create this infographic?</p> <p>a. If so, how much did this cost?</p> <p>b. Has this infographic been reproduced in any other forms other than electronically? Were hard copy flyers produced, for example?</p> <p>c. Is it standard process to spend money on marketing materials for draft reports?</p> <p>d. Is it standard practice to present one side of the policy debate in marketing materials?*</p> <p>e. Was the Commission attempting to antagonise one part of the sector prior to public consultations on that draft report?</p> <p>f. Was the Commission presenting a hostile position from the Commission to Australian creators? If not, what position was the Commission presenting?</p>	PC	Written	SQ16-001461

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382	7	Ketter	Reviews	Please provide details, for all reviews currently underway: 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review.	PC	Written	SQ16-001462
383	1	Xenophon	Shipbuilding and the submarine assembly industry	Senator XENOPHON: [...] Would you reconsider the Productivity Commission's reviews on that given that expert shipbuilders and Defence have made other assertions in relation to efficiencies? And do you consider that, in the context of building locally, there are benefits in terms of tax receipts, employment, and spillover and multiplier effects?	PC	Pages 27-28 (20 October 2016)	SQ16-001463
384	1	McAllister	Staffing - Engagements	How many engagements occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff.	PC	Written	SQ16-001464
385	1	McAllister	Staffing - Separations	How many separations occurred in the 2015-16 financial year, by: a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation.	PC	Written	SQ16-001465
386	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	PC	Written	SQ16-001466
387	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	PC	Written	SQ16-001467
388	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	PC	Written	SQ16-001468
389	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	PC	Written	SQ16-001470
390	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	PC	Written	SQ16-001471
391	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	PC	Written	SQ16-001472
392	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	PC	Written	SQ16-001473
393	4	Ketter	Advisory or working groups	Please provide details of advisory or working groups within the agency. 1) Who is on each advisory or working group 2) What support is being provided by the agency 3) What is the expenditure to date of the advisory or working group 4) What reporting mechanisms to the agency executive or the Minister exists	RAM	Written	SQ16-001474
394	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	RAM	Written	SQ16-001475

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395	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	RAM	Written	SQ16-001476
396	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	RAM	Written	SQ16-001477
397	1	McAllister	Contractors and consultants - Labour hire arrangements	For the 2015-16 financial year, please outline: a) How many staff were employed through labour hire arrangements; b) Total expenditure on labour hire staff; c) The contractors or labour hire firms engaged to supply these staff; d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and e) The nature of the work performed by labour hire staff.	RAM	Written	SQ16-001479
398	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	RAM	Written	SQ16-001480
399	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	RAM	Written	SQ16-001481
400	3	Ketter	Credit Cards	How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?	RAM	Written	SQ16-001482
401	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	RAM	Written	SQ16-001483

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402	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <ol style="list-style-type: none"> 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location. 	RAM	Written	SQ16-001485
403	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <ol style="list-style-type: none"> 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years. 	RAM	Written	SQ16-001486
404	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <ol style="list-style-type: none"> 1) When these reviews commenced; 2) When the reviews are expected to be completed; 3) Expenditure on these reviews to date; 4) Budgeted expenditure for these reviews; 5) How many staff are involved in each review; 6) How many external contractors and consultants are involved in each review; 7) What is the cost of the external contractors and consultants for each review. 	RAM	Written	SQ16-001487
405	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; and d) Non-ongoing staff. 	RAM	Written	SQ16-001488
406	1	McAllister	Staffing - Separations	<p>How many separations occurred in the 2015-16 financial year, by:</p> <ol style="list-style-type: none"> a) Classification; b) State or territory; c) Ongoing staff; d) Non-ongoing staff; and e) Reason for separation. 	RAM	Written	SQ16-001489

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407	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	RAM	Written	SQ16-001490
408	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	RAM	Written	SQ16-001500
409	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	RAM	Written	SQ16-001502
410	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15, 2015-16 and to date in 2016-17.	RAM	Written	SQ16-001503
411	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	RAM	Written	SQ16-001505
412	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	RAM	Written	SQ16-001506
413	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	RAM	Written	SQ16-001508
414	1	Ketter	2016-17 Budget measures	List all 2016-17 Budget measures that will require legislation (other than the Appropriations Bills) to be implemented.	Treasury - Budget Policy Division	Written	SQ16-001438
415	1	Ryan	Asset Recycling Initiative funding	Senator Ryan: If I recall, having been here long enough, I think they are the leftovers of the funds that were set up in 2007—which were originally the Higher Education Endowment Fund and something else. Senator GALLAGHER: Yes, they were from unallocated funds, as I recall. The original intention was— Senator Ryan: I think they are a result of a the Howard-Costello surpluses. That is when they were created. They were rebadged and a lot of funds were utilised, as opposed to the original intention in 2007 of preservation of the capital. Senator KETTER: So that is where the substitute funding came from? Senator Ryan: I am just going back to the origin of those funds. Senator KETTER: Can it be taken on notice to check that? Mr Flavel: Sure.	Treasury - Budget Policy Division	Page 98 (19 October 2016)	SQ16-001440
416	1	Gallagher	Budget impact of the tax cuts	Senator GALLAGHER: But by the end of the medium term you would still have budget impact of the tax cuts, notwithstanding the other changes that might happen around various other taxes. Mr M Brennan: That is right. Senator GALLAGHER: Would that be able to be provided to us? Mr M Brennan: I would have to take that on notice. It is not a figure that I have ready to hand.	Treasury - Budget Policy Division	Page 94 (19 October 2016)	SQ16-001443
417	1	Ketter	Medium-term projection of the underlying cash balance	Senator KETTER: Going to the medium-term projections, can you provide the dollar figures for the medium-term projection of the underlying cash balance—so a dollar figure for the surplus? Mr M Brennan: In principle, yes. The budget documentation just has the chart and identifies what it is, as a percentage of GDP. But if I could take that on notice, there are obviously nominal figures for the underlying cash balance that underpinned that chart.	Treasury - Budget Policy Division	Page 94 (19 October 2016)	SQ16-001445

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418	7	Ketter	Non-tax receipts	<p>1) What was the reason for 2015-16 FBO figure for non-tax receipts being \$1.2 billion higher than estimated than at the May 2016 budget?</p> <p>2) What occurred in the less than two months to the end of June 2016 that caused this increase?</p> <p>3) How does the 2015-16 FBO result compare to the estimates in the 2012-13, 2013-14, 2014-15 and 2015-16 Budgets?</p> <p>4) Please provide an itemized table of all the movements in non-tax receipts that caused the net \$1.2 billion increase.</p> <p>5) What was the reason for the greater-than-expected recoveries from the States and Territories in relation to aged care?</p> <p>6) How much was the dividend from the RBA for 2015-16?</p> <p>7) What was the effect, if any, of the sale of spectrum on non-tax receipts?</p>	Treasury - Budget Policy Division	Written	SQ16-001452
419	1	Ketter	Omnibus bill - Decision to combine measures	<p>Senator KETTER: Are you able to advise us who made the decision to combine all of those measures into a single bill?</p> <p>Mr M Brennan: Government made that decision.</p> <p>Senator KETTER: When was that decision made?</p> <p>Mr M Brennan: Again, I would have to take the precise date on notice, but it was in the weeks following the return of the government.</p>	Treasury - Budget Policy Division	Page 96 (19 October 2016)	SQ16-001454
420	1	Ketter	Omnibus bill - Savings measures	<p>Senator KETTER: Can you confirm that all of the measures are entirely expenditure savings measures?</p> <p>Mr M Brennan: I might defer to Mr Flavel on that.</p> <p>Mr Flavel: I do not have the detail in front of me, but by my recollection there were two measures relating to revenue measures. One was in relation to the R&D tax offset, I think, and the other one might have been an FBT one, but, as I said, I do not have the detail, I will have to take that on notice.</p>	Treasury - Budget Policy Division	Page 96 (19 October 2016)	SQ16-001456
421	1	Ketter	Projections for earnings of the Future Fund	<p>Senator KETTER: Can you outline the projections for the earnings of the Future Fund that are included in the underlying cash balance from 2020-21, both in dollar terms and as a percentage of GDP?</p> <p>Mr M Brennan: I do not have either figure directly to hand, but I will try to hunt them down. I think we may well have those figures as a proportion of GDP. We have those figures for the Future Fund earnings over the forward estimates. Over the medium term, I think we were going to take that on notice.</p>	Treasury - Budget Policy Division	Page 94 (19 October 2016)	SQ16-001469
422	1	Ketter	Savings from omnibus bill and tobacco excise increase over medium term	<p>Senator KETTER: It was about whether the effect of the omnibus bill and the increase in the tobacco excise will reduce the debt by \$30 billion over the medium term. Is that figure correct?</p> <p>Mr M Brennan: I believe it is, but I may need to take that on notice. I think that is probably right, but I will just try to find the source, if that is all right.</p> <p>Senator KETTER: If you could check that for us, that would be good. Are you able to provide figures for the savings from the measures in the omnibus bill and the tobacco excise increase over the medium term to 2026-27?</p> <p>Mr M Brennan: I think the answer to that will be not as individual items. [...]</p> <p>Senator KETTER: I am a bit mystified by that because if we know what the total impact is I am not sure why it is that we cannot itemise that.</p> <p>Mr M Brennan: I will take it on notice and see what can be done.</p>	Treasury - Budget Policy Division	Page 96 (19 October 2016)	SQ16-001518
423	1	Ketter	Top-down estimate	<p>Senator KETTER: Can you explain to me what is involved in a top-down estimate. What is the process?</p> <p>Mr M Brennan: Sorry, it is just that an aggregate saving would have been calculated for the period over the forward estimates and then extrapolated. The aggregate would have been extrapolated over the medium term. But I will check that on notice.</p>	Treasury - Budget Policy Division	Page 96 (19 October 2016)	SQ16-001519
424	1	Ketter	Underlying Cash Balance – deficit	<p>Noting it does not reach this level during the current medium-term projections, is the budget projected to fall back into deficit, on current trends? If so, when?</p>	Treasury - Budget Policy Division	Written	SQ16-001520
425	1	Ketter	Underlying Cash Balance – surplus of 1 per cent of GDP	<p>Noting it does not reach this level during the current medium-term projections, when will the budget have a surplus of 1 per cent of GDP?</p>	Treasury - Budget Policy Division	Written	SQ16-001521
426	1	Ketter	Unimplemented measures	<p>Provide a list of all measures from the 2013-14 MYEFO, 2014-15 Budget, 2014-15 MYEFO, 2015-16 Budget, 2015-16 MYEFO, 2016-17 Budget and 2016 PEFO that are yet to be implemented.</p>	Treasury - Budget Policy Division	Written	SQ16-001522
427	3	Ketter	Credit Cards	<p>How many staff have departmental / agency credit cards? What are their classification levels? What rules exist for the issue and use of credit cards within the department / agency?</p>	Treasury - Business Services Division	Written	SQ16-001523
428	1	Bilyk	Executive office upgrades	<p>Have the furniture, fixtures or fittings of the Secretary's office, or the offices of any Deputy Secretaries, been upgraded since 1 January 2016? If so, can an itemised list of costs please be provided?</p>	Treasury - Business Services Division	Written	SQ16-001524

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429	1	Bilyk	Facilities upgrades	Have the facilities of any of the Department's premises been upgraded since 1 January 2016, for example, staff room refurbishments, kitchen refurbishments, bathroom refurbishments, the purchase of any new furniture, fridges, coffee machines, audio visual facilities or any other equipment including kitchen equipment and utensils? If so, can a detailed description of the relevant facilities upgrade please be provided together with an itemised list of costs? Can any photographs of the upgraded facilities please be provided?	Treasury - Business Services Division	Written	SQ16-001525
430	7	Ketter	Mobile phones	1) How many mobile phones are there in use by employees? 2) With which telecommunications service provider are the mobile phones linked to? 3) What is the cost of the plan for each mobile phone? 4) How much data is included in this plan? 5) What is the cost if data usage goes beyond its quota? 6) Have there been instances where data usage for individual mobile phone users has exceeded its quota? a. If so, can you detail the costs incurred as a result for the 2015-16 financial year, and the 2016-17 financial year to date.	Treasury - Business Services Division	Written	SQ16-001526
431	9	Ketter	Sydney and Melbourne offices	In relation to the Sydney and Melbourne offices: 1) What is the address? 2) Does Treasury own the premises of the office or is it leased? 3) If owned, how much was the purchase price? 4) If leased, what was the lease cost? What is the term of the lease? 5) What is the square meters amount of the premises? 6) How many staff members are there in total on the premises? 7) Which divisions of Treasury are represented in the premises? 8) What are the annual operating costs of the premises? Please break it down into itemised details including electricity, water and other utilities; telecommunication costs; IT costs; cleaning; catering; transport costs (including taxis, Treasury fleet cars, travel for staff (e.g. airfares)) 9) Do any of the Treasury portfolio ministers, or their staff, have offices in the Sydney or Melbourne offices?	Treasury - Business Services Division	Written	SQ16-001527
432	13	Gallacher	Use of credit and transaction cards	1. What types of credit and transaction cards (including Cabcharge Fastcard and eTickets) does your department issue? 2. What was the total expenditure for each type of card over the last 3 financial years? 3. Can you break down the expenditure into categories? 4. What is the highest and lowest credit limit for each type of card? 5. How many times in the last 5 years has the credit limit been reviewed? 6. What are Credit Cards used for? 7. What are the Governance/probity rules for employees to follow? 8. Are cash advances allowed? a) Can you list the total amount of cash advances from credit and other transaction cards over the last 3 years? b) Can you provide details on the 10 largest cash advances in your department and provide particulars such as how much was accessed? c) Who approves cash advances in your department in the event of paying suppliers 9. Who reviews transactions in regards to all cards? 10. Who provides assurance to the Minister in respect to probity governance and fraud control?	Treasury - Business Services Division	Written	SQ16-001528
433	3	Ketter	Vehicles	Please provide details of the number of Commonwealth vehicles that each agency is responsible for. Where are the vehicles located? Who has use of these vehicles?	Treasury - Business Services Division	Written	SQ16-001529
434	8	Ketter	Wi-fi network	1) Is there a wi-fi network on the department/agency premises? 2) If yes: a. Who provides the wi-fi network? b. What is the cost of operating and maintaining the wi-fi network? c. What is the speed of the wi-fi network, for both uploads and downloads? d. What level of security is the wi-fi network set to? e. What access protocols are in place to access the wi-fi network? f. Who can access the wi-fi network? g. With what electronic devices can the network be accessed? Can personal devices of staff access the network?	Treasury - Business Services Division	Written	SQ16-001530

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435	2	Ketter	Land Tax	<p>1) Has there been advice provided to the Treasurer, any of the Treasury portfolio Ministers, or the Government, in relation to land taxes?</p> <p>2) If so, when was that advice provided?</p>	Treasury - Commonwealth-State Relations Division	Written	SQ16-001531
436	1	Bilyk	Advertising and information campaigns	How much has the Department spent on advertising and information campaigns since 1 January 2016? Can a list of all Contract Notice IDs for the Austender website in relation to advertising and information campaign contracts please be provided?	Treasury - Communications Division	Written	SQ16-001532
437	1	Bilyk	Media monitoring	How much has the Department spent on media monitoring since 1 January 2016? Can a list of all Contract Notice IDs for the Austender website in relation to media monitoring contracts please be provided?	Treasury - Communications Division	Written	SQ16-001533
438	3	Ketter	2015-16 FBO – company tax receipts	<p>1) What was the reason for 2015-16 FBO figure for company tax receipts being \$1.8 billion lower than estimated than at the May 2016 budget?</p> <p>2) What occurred in the less than two months to the end of June 2016 that caused this decrease?</p> <p>3) How does the 2015-16 FBO result compare to the estimates in the 2012-13, 2013-14, 2014-15 and 2015-16 Budgets?</p>	Treasury - Corporate and International Tax Division	Written	SQ16-001534
439	10	Ketter	Multinational Tax / Thin Capitalisation	<p>1) In the week prior to the Budget, media outlets were reporting the Government was considering reducing the thin capitalisation 'safe harbour' threshold from 60% to 50%. Has Treasury modelled changes to the safe harbour threshold?</p> <p>2) Has Treasury modelled the impact of a reduction in the safe harbour threshold in this term of Government?</p> <p>3) What would be the revenue implications of a reduction in the safe harbour threshold from 60% to 50%?</p> <p>4) Setting aside the extra staff at the ATO to enforce existing multinational tax avoidance measures, would a reduction in the safe harbour threshold from 60% to 50% result in more revenue than the forecast revenue of the Diverted Profits Tax?</p> <p>5) Why was thin capitalisation defined in the Treasury's 2016-17 Budget glossary (online) despite not being mentioned elsewhere in the 2016-17 Budget? [Push this question/point until you get a satisfactory answer]</p> <p>6) This suggests thin capitalisation was in Budget until being cut out at the last minute, doesn't it?</p> <p>7) Why was thin capitalisation taken out of the 2016-17 Budget?</p> <p>8) The Government and/or stakeholders had clearly been backgrounding journalists on a change in the safe harbour threshold – why was this discussion occurring if the measure was not seriously being considered for the 2016-17 Budget.</p> <p>9) What stakeholders have expressed concerns about a change in the thin capitalisation threshold from 60% to 50%?</p> <p>10) Would changes to thin capitalisation – whether a change in safe harbour or shift to a worldwide gearing – yield more revenue than a Diverted profits Tax? Why?</p>	Treasury - Corporate and International Tax Division	Written	SQ16-001535
440	7	Ketter	Revenue commitments from compliance measures (ANAO audit)	<p>1) Is Treasury familiar with the Australian National Audit Office report Meeting Revenue Commitments from Compliance Measures, an audit of ATO practice, delivered in September?</p> <p>2) Does Treasury have concerns that the ATO has not agreed to all recommendations in the report, particularly Recommendation 1a that the ATO "documents in its funding proposals how the additional revenue from a measure will be determined and any pre-existing level of activity related to the compliance risks addressed by the measure"?</p> <p>3) Has Treasury been involved in briefings with Government ministers on the findings of the Audit Office report?</p> <p>4) Have Government Ministers sought feedback from Treasury on the Audit Office report?</p> <p>5) In the 2016-17 Budget, the Government committed to funding a Tax Avoidance Taskforce in the Australian Taxation Office. When formulating these estimates, does Treasury rely on the Australian Taxation Office for estimates of expenses and revenue from specific funding measures?</p> <p>6) Does the Audit Office report cast doubt on the Tax Avoidance Taskforce expense and revenue expectations?</p> <p>7) Is Treasury concerned that the Australian Taxation Office may use specific funding for ongoing business?</p>	Treasury - Corporate and International Tax Division	Written	SQ16-001536

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441	8	Ketter	Tax Integrity Package / Multinational Tax	<p>1) In April 2016, Minister Kelly O'Dwyer committed the Coalition to a register of ultimate beneficial ownership of shell companies. Was Treasury consulted on this announcement?</p> <p>2) What advice did Treasury offer Minister O'Dwyer?</p> <p>3) Since April, the Government has backtracked, and is only committed to 'exploring options'. Has Treasury started consultations on a beneficial ownership registry?</p> <p>4) When would Treasury expect to begin consultations? What will be in the terms of reference?</p> <p>5) What reasons are there for a delay in consultations, particularly given the Government committed to such a register?</p> <p>6) In the 2016-17 Budget, the Government announced better protection for whistleblowers – what consultation is Treasury doing?</p> <p>7) Has Treasury begun consultation / when? Which stakeholders? Will submissions be made public?</p> <p>8) The 2016-17 Budget announced a Diverted Profits Tax. When can Parliament expect to see legislation on this measure? Why is there a delay?</p>	Treasury - Corporate and International Tax Division	Written	SQ16-001537
442	1	Gallagher	Ten Year Enterprise Tax Plan - Cost of the unincorporated small business tax discount over 10 years	<p>Senator GALLAGHER: Just before we wrap up this session, Senator Ryan, in relation to budget estimates question 51—for the sake of completeness—</p> <p>Senator Ryan: This is back to the other one—yes. I do not have the bit of paper with my anymore, I am afraid.</p> <p>Senator GALLAGHER: I had a look at No. 51, and it did not cover the specific question I had. So perhaps I will just put it on the Hansard, so that people have it. It is: could we get the cost of the unincorporated small business tax discount over 10 years? Thank you.</p> <p>Senator Ryan: I will take that on notice.</p>	Treasury - Corporate and International Tax Division	Page 112 (19 October 2016)	SQ16-001538
443	1	Gallagher	Ten Year Enterprise Tax Plan - Forward estimates and medium term cost	<p>Senator GALLAGHER: Can you advise the committee of what the costs would be over the forward estimates and the medium term, if possible, of the Ten Year Enterprise Tax Plan reducing company tax rates to 25 per cent, if the tax rate were cut to 27.5 per cent for businesses earning up to \$10 million a year, only?</p> <p>Senator Cormann: We would have to take that on notice.</p>	Treasury - Corporate and International Tax Division	Page 78 (19 October 2016)	SQ16-001539
444	1	Gallagher	Ten Year Enterprise Tax Plan - Medium term increase to small business entity turnover threshold	<p>Senator GALLAGHER: Can you confirm the costs over the medium term of the Ten Year Enterprise Tax Plan increase to the small business entity turnover threshold?</p> <p>[...]</p> <p>Senator Cormann: I will take on notice to see whether we can assist—</p> <p>Senator GALLAGHER: I appreciate that. Was there a break-down to the \$48.2 billion or was it just a global figure?</p> <p>Mr Raether: That was a global figure that included those two measures—the increase in the small business entity turnover threshold and the company tax cuts—</p> <p>Senator GALLAGHER: Can they be broken down?</p> <p>Mr Raether: We would have to take that on notice as well.</p> <p>Senator GALLAGHER: So you can take it on notice for those three elements?</p> <p>Senator Cormann: Yes.</p>	Treasury - Corporate and International Tax Division	Page 77 (19 October 2016)	SQ16-001540
445	1	Ketter	Unincorporated Small Business Tax Discount – 8 per cent only	<p>What is the cost, over the forward estimates and over the medium-term cost (to 2026-27), of the 2016-17 Budget measure “Ten Year Enterprise Tax Plan – increase the unincorporated small business tax discount”, if the discount was increased to 8 per cent only?</p>	Treasury - Corporate and International Tax Division	Written	SQ16-001541
446	1	Ketter	Unincorporated Small Business Tax Discount – medium-term costs	<p>What is the medium-term cost, to 2026-27, of the 2016-17 Budget measure “Ten Year Enterprise Tax Plan – increase the unincorporated small business tax discount”?</p>	Treasury - Corporate and International Tax Division	Written	SQ16-001542
447	4	Ketter	Advisory or working groups	<p>Please provide details of advisory or working groups within the agency.</p> <p>1) Who is on each advisory or working group</p> <p>2) What support is being provided by the agency</p> <p>3) What is the expenditure to date of the advisory or working group</p> <p>4) What reporting mechanisms to the agency executive or the Minister exists</p>	Treasury - Corporate Group	Written	SQ16-001385
448	7	Ketter	Reviews	<p>Please provide details, for all reviews currently underway:</p> <p>1) When these reviews commenced;</p> <p>2) When the reviews are expected to be completed;</p> <p>3) Expenditure on these reviews to date;</p> <p>4) Budgeted expenditure for these reviews;</p> <p>5) How many staff are involved in each review;</p> <p>6) How many external contractors and consultants are involved in each review;</p> <p>7) What is the cost of the external contractors and consultants for each review.</p>	Treasury - Corporate Group	Written	SQ16-001386

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449	1	Ketter	2016-17 Portfolio Budget Statements – detailed information on expenses – Program 1.1	For the expenses in Program 1.1 Department of the Treasury as shown in the 2016-17 Portfolio Budget Statements, provide total expenses, over the budget and forward estimates years, for each sub-program (and any further disaggregated line item) that sits within Program 1.1.	Treasury - Financial and Procurement Division	Written	SQ16-001388
450	4	Ketter	Consultancies	Please provide all details of consultancies currently underway: 1) Who has been contracted 2) The length of the contract 3) The cost of the contract 4) What specific tasks each consultancy is responsible for	Treasury - Financial and Procurement Division	Written	SQ16-001389
451	7	Bilyk	Contract Notice ID CN3372210	With reference to Contract Notice ID CN3372210 published on the Austender website (“Banquet and catering services”): What goods or services were procured under this contract? Was any food or beverages procured under this contract? If so, please provide an itemised list of all food and beverages served together with an itemised list of costs. The brand and vintage of any wines or champagnes should be included in this itemised list. Was any floral or other room decorations procured under this contract? If so, please provide an itemised list of costs. Were any entertainment services procured under this contract? If so, what services and what was the cost? Can a guest list of the function or event to which this contract relates please be provided? If any Ministers attended, this guest list should include the names of any departmental staff or any personal staff or family members who also attended. Who approved this expenditure? Was the Minister’s office briefed on this function or event? If so, which Minister and on what date(s)?	Treasury - Marcoeconomic Conditions Division	Written	SQ16-001393
452	1	McAllister	Contractors and consultants - contract details	For each contract or consultancy in the 2015-16 financial year, please outline: a) The project or engagement; b) The value of the contract; c) The name of each firm or contractor engaged; and d) The purpose of the contract.	Treasury - Financial and Procurement Division	Written	SQ16-001395
453	1	McAllister	Contractors and consultants - Names of firms and payments made	For each contract or consultancy in the 2015-16 financial year, please outline: a) The names of each firm or contractor engaged; and b) Total payments made to each contractor or consultant.	Treasury - Financial and Procurement Division	Written	SQ16-001398
454	1	McAllister	Contractors and consultants - total expenditure	What was the total expenditure on contractors and consultants in the 2015-16 financial year.	Treasury - Financial and Procurement Division	Written	SQ16-001400
455	7	Bilyk	Ministerial functions	In relation to any functions or official receptions hosted by Ministers or Assistant Ministers in the portfolio since 1 January 2016, can the following please be provided: • List of functions; • List of attendees including departmental officials and members of the Minister’s family or personal staff; • Function venue; • Itemised list of costs; • Details of any food served; • Details of any wines or champagnes served including brand and vintage; • Details of any floral arrangements or other decorations; and • Details of any entertainment provided.	Treasury - Financial and Procurement Division	Written	SQ16-001403
456	3	Ketter	Overseas Posts / Overseas Operations	For each of the overseas posts and overseas operations listed on Treasury’s organizational structure as at 17 October 2016 as found on the Treasury website (http://treasury.gov.au/~media/Treasury/About%20Treasury/Our%20Department/Organisational%20structure/downloads/PDF/TOC_all_names_161019.ashx): 1) What is the annual cost of each post and operation? Please split this out into staffing and non-staffing costs. 2) How many staff are located at each post and operation? 3) What are the classification levels of each of the staff at each post and operation?	Treasury - International Policy and Engagement Division	Written	SQ16-001404

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457	8	Ketter	Programs administered by the department and portfolio agencies	<p>For every program administered by the department and all portfolio agencies, provide in an itemised table the following information:</p> <ol style="list-style-type: none"> 1) The total funding allocated for each in 2016-17, 2015-16 and 2014-15; 2) The number of organisations funded under the program in each in those years, the name of each organisation funded and the dollar value of that funding 3) The number of individuals projected to be serviced or services to be delivered through each in 2016-17, 2015-16 and 2014-15; 4) The total funding actually expended on each in 2015-16 and 2014-15; 5) The number of individuals actually serviced or services actually delivered through each in 2015-16 and 2014-15; 6) The aggregate staff budget for each in 2016-17, 2015-16 and 2014-15 broken down by i) permanent APS staff and ii) contractors. 7) The number of permanent APS staff responsible for delivering each in 2016-17; 2015-16 and 2014-15, the classification of these staff and their geographic location; 8) The dollar value of external advice contracted to support each in 2016-17, as well as the number of contractors engaged, the APS-equivalent classification these contractors were engaged at and their geographic location. 	Treasury - Financial and Procurement Division	Written	SQ16-001406
458	2	Ketter	Programs administered by the department and portfolio agencies – evaluation reports or program analysis	<p>For every program administered by the department and all portfolio agencies within it, provide:</p> <ol style="list-style-type: none"> 1) Copies of any evaluation reports or program analysis prepared by external advisers in the last five years; 2) Copies of any evaluation reports or program analysis prepared within the department in the last five years. 	Treasury - Financial and Procurement Division	Written	SQ16-001407
459	1	Ketter	Stationery	Please provide details of expenditure on stationery for 2014-15, 2015-16 and to date in 2016-17.	Treasury - Business Services Division	Written	SQ16-001409
460	1	Ketter	Taxis	Please provide details of expenditure on taxis used by staff for 2014-15 ,2015-16 and to date in 2016-17.	Treasury - Business Services Division	Written	SQ16-001411
461	1	Ketter	Travel	Please provide details of expenditure on all domestic and international travel by staff for 2014-15, 2015-16 and to date in 2016-17.	Treasury - Business Services Division	Written	SQ16-001412
462	6	Ketter	Credit Cards	<ol style="list-style-type: none"> 1) What action has Treasury taken in relation to the Credit Cards: Improving Consumer Outcomes and Enhancing Competition Paper since submissions closed on 17 June 2016? What stage is Treasury currently at with respect to his report? 2) Has any further consultation been undertaken? What has been the nature of this consultation, and with whom has it been undertaken? Which aspects of the Paper have been the focus of consultation? 3) The table on page 2 of the Credit Cards: Improving Consumer Outcomes and Enhancing Competition Paper outlines two phases of proposed action. Is Treasury still intending to pursue each of these proposed courses of action as set out and described in the table? Have there been any changes (including in response to stakeholder feedback)? 4) With respect to Phase 1, at what stage in the development of exposure draft legislation is Treasury at (e.g. have drafting instructions been finalised, has OPC commenced drafting)? Could you answer with respect to each of the 4 proposed actions. When does Treasury expect exposure draft legislation to be completed by the Office of Parliamentary Counsel? 5) With respect to phase 2, at what stage is the behavioural testing with consumers at? Has testing commenced? Has testing concluded? Could you answer with respect to each of the 5 proposed actions? Page 19 of the Report states that "Testing may involve the use of behavioural laboratory experiments as well as in-field experiments with participating credit card issuers". Can you provide a further description of what the testing involves 6) Which division(s) in Treasury has responsibility for progressing the proposed actions in this report? What other agencies are involved? Please provide a brief description of the involvement of each division and agency so far and the anticipated future involvement. 	Treasury - Financial System Division	Written	SQ16-001413

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463	1	Gallagher	Referral of the low-cost tribunal on banks	<p>Senator GALLAGHER: Was Treasury aware of the referral of the low-cost tribunal on banks announced by the Prime Minister I think in August and referred to the Ramsay review? Or when did you become aware of the referral of that element?</p> <p>Ms Quinn: I am happy to take the exact dates on notice.</p>	Treasury - Financial System Division	Page 44 (20 October 2016)	SQ16-001414
464	1	Gallagher	Regulation of debt management firms	<p>Senator GALLAGHER: [...] will debt management firms be part of that work? Or are you just saying that you are not looking at regulation of debt management firms at this point?</p> <p>Ms Quinn: We are currently not working on a measure related to debt management firms. But I am aware of the general issues that have been raised.</p> <p>Senator GALLAGHER: It is probably a question for government—the weight that they are attaching to those concerns. But the concerns have been raised by ASIC and by a number of consumer groups, including the Financial Ombudsman Service and the Credit and Investments Ombudsman. I guess I am trying to identify, with the various pieces of work underway, where this would fit or whether it sits outside of that work at this point in time—that is, it is not being done.</p> <p>Ms Quinn: There are quite a lot of different issues in relation to the different concerns. Some of them fit under other elements of the work program that they are looking at. I am happy to take that question on notice.</p>	Treasury - Financial System Division	Pages 44-45 (20 October 2016)	SQ16-001415
465	1	Ketter	Ausgrid notification	<p>Senator KETTER: We are referring to Ausgrid, which was rejected. When did the New South Wales government get official or unofficial notification about that?</p> <p>Mr Lonsdale: I would have to come back to you on the actual date, if you would like one, that the New South Wales government got official notification. [...]</p> <p>Senator KETTER: You are going to take it on notice for me to find out when any official or unofficial notification occurred prior to that?</p> <p>Mr Lonsdale: Absolutely.</p>	Treasury - Foreign Investment and Trade Policy Division	Page 38 (20 October 2016)	SQ16-001417
466	4	Ketter	Genesis Care	<p>1) As part of deliberations over the proposed acquisition of Genesis Care by China Resources, is input being sought from the Queensland Government regarding potential ramifications for Queensland Health?</p> <p>2) Do these deliberations include analysis of the commercial arrangement between Macquarie Bank and China Resources?</p> <p>3) More generally, do such deliberations where a foreign entity is jointly bidding for an Australian asset with an Australian entity look at the nature of the commercial arrangements between the two entities?</p> <p>4) How does FIRB / Treasury clarify the ultimate beneficial ownership structure and therefore control of an asset following any transaction?</p>	Treasury - Foreign Investment and Trade Policy Division	Written	SQ16-001419
467	1	Ketter	S. Kidman & Co Decision	<p>Senator KETTER: On what date did FIRB provide the Treasurer's office with the final decision-making brief that led to the decision announced by the Treasurer on S. Kidman & Co on 29 April?</p> <p>Mr Lonsdale: Having said I have those, I do not have that one. Can I talk to you about the broad time frames that I do have? There are two stages, as we think about it, on Kidman. The first related to the press release or the formal statement that the Treasurer released in November 2015. The sale of Kidman was first announced in April 2015. We received applications in September, and the Treasurer, as I said, made a final decision in November. There was then another stage to that in which applications were received in, I think, May this year. The Treasurer issued a statement on 29 April rejecting the consortium's bid on national security grounds.</p> <p>Senator KETTER: On what date did you provide the Treasurer's office with the brief that led to that final decision? Can you take that on notice?</p> <p>Mr Lonsdale: I am happy to take it on notice. What I can say on these big cases—and Kidman is one of them—is that there are a series of discussions and briefings that happened. But I am very happy to take that on notice.</p>	Treasury - Foreign Investment and Trade Policy Division	Page 37 (20 October 2016)	SQ16-001420
468	2	Xenophon	Sale of the NSW power grid	<p>Referring to the recent disallowance by the Treasurer in relation to the sale of the NSW power grid to foreign investors, including Cheung Kong Infrastructure (CKI):</p> <p>In forming its recommendation to the Treasurer:</p> <ol style="list-style-type: none"> Did FIRB consider the CKI management structure and the commerciality of its operations? Why did FIRB not separate its deliberations with respect to the Chinese State owned company and CKI and did it consider the reputational damage that not doing so may have caused? 	Treasury - Foreign Investment and Trade Policy Division	Written	SQ16-001421
469	1	Gallagher	Update on FSO response	<p>Senator GALLAGHER: A few of my questions have been answered, so I can cross them off. In relation to the FSO response—and I know Senator Bushby asked a number of questions around this—I am wondering if it is possible on notice to get an update on where you are tracking against the timetable the government outlined. Is that okay?</p> <p>Mr Lonsdale: Yes.</p>	Treasury - Financial System Division	Page 43 (20 October 2016)	SQ16-001422

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470	3	Ketter	Fringe Benefits Tax receipts	<p>1) What was the reason for 2015-16 FBO figure for receipts from fringe benefits tax being \$132 million lower than estimated than at the May 2016 budget?</p> <p>2) What occurred in the less than two months to the end of June 2016 that caused this decrease?</p> <p>3) How does the 2015-16 FBO result compare to the estimates in the 2012-13, 2013-14, 2014-15 and 2015-16 Budgets?</p>	Treasury - Individuals and Indirect Tax Division	Written	SQ16-001423
471	4	Ketter	GST on low value imported goods	<p>1) Is Treasury still working towards this measure being implemented for 1 July 2017?</p> <p>2) What consultation has Treasury undertaken?</p> <p>3) When will Treasury undertake public consultation?</p> <p>4) Has Treasury examined how the UK enforces a consumption tax on low value imports? What similarities are there for Australia</p>	Treasury - Individuals and Indirect Tax Division	Written	SQ16-001424
472	3	Ketter	Receipts from total individuals and other withholding taxes	<p>1) What was the reason for 2015-16 FBO figure for receipts from total individuals and other withholding taxes being \$1.3 billion lower than estimated than at the May 2016 budget?</p> <p>2) What occurred in the less than two months to the end of June 2016 that caused this decrease?</p> <p>3) How does the 2015-16 FBO result compare to the estimates in the 2012-13, 2013-14, 2014-15 and 2015-16 Budgets?</p>	Treasury - Individuals and Indirect Tax Division	Written	SQ16-001425
473	3	Ketter	Superannuation Fund Taxes	<p>1) What was the reason for 2015-16 FBO figure for receipts from superannuation fund taxes being \$204 million higher than estimated than at the May 2016 budget?</p> <p>2) What occurred in the less than two months to the end of June 2016 that caused this increase?</p> <p>3) How does the 2015-16 FBO result compare to the estimates in the 2012-13, 2013-14, 2014-15 and 2015-16 Budgets?</p>	Treasury - Individuals and Indirect Tax Division	Written	SQ16-001426
474	6	Ketter	Total excise and customs duty	<p>1) What was the reason for 2015-16 FBO figure for receipts from total excise and customs duty being \$634 million higher than estimated than at the May 2016 budget?</p> <p>2) What occurred in the less than two months to the end of June 2016 that caused this increase?</p> <p>3) How does the 2015-16 FBO result compare to the estimates in the 2012-13, 2013-14, 2014-15 and 2015-16 Budgets?</p> <p>4) In particular, tobacco excise and customs duty was \$406 million higher and diesel excise and customs duty was \$266 million higher.</p> <p>a. What were the drivers of these increases?</p> <p>b. Does the tobacco excise and customs duty increase flow through to the revenue estimate from the increase to the tobacco excise measure in the 2016-17 Budget?</p>	Treasury - Individuals and Indirect Tax Division	Written	SQ16-001427
475	3	Whish-Wilson	Credit ratings	<p>1. Has Treasury discussed with credit rating agencies their approach to the balance of government borrowing for recurrent purposes and government borrowing for infrastructure investment when making assessments?</p> <p>2. Has Treasury undertaken modelling on the impact of a downgrade in Australia's credit rating resulting from more borrowing for infrastructure investment?</p> <p>3. Has Treasury undertaken modelling on the impact of a downgrade in Australia's credit rating on demand for government bonds?</p>	Treasury - Macroeconomic Modelling and Policy Division	Written	SQ16-001428
476	2	Rhiannon	Introduction of the standard for free range eggs	<p>1. COAG has expressed a desire to have the Standard for free range eggs in place by March 2017.</p> <p>a. Is this still the aimed date of introduction?</p> <p>b. Exactly where is the progression of the information Standard up to please?</p> <p>2. The implementation of the standard and associated instruments is dependent on the relevant federal minister. Which Minister is now responsible for this?</p>	Treasury - Market and Competition Policy Division	Written	SQ16-001429

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477	6	Ketter	Sugar Industry (Real Choice in Marketing) Amendment Act 2015	<p>1) Has Treasury conducted any assessment, and participated in any discussions with officers of other Australian Government departments or agencies in relation to the Sugar Industry (Real Choice in Marketing) Amendment Act 2015?</p> <p>2) Have any other Government officers advised of their view of whether the legislation passes the public benefit test?</p> <p>3) The Productivity Commission draft report on Regulation in Australian Agriculture recommended the legislation be repealed because it did not satisfy the public benefit test.</p> <p>4) Is it Treasury's opinion that this legislation be referred to the National Competition Commission for advice on the authorisations and the anti-competitive provisions of the amendments passed by the Queensland Parliament?</p> <p>5) Has Treasury made any recommendations to the Treasurer on this issue?</p> <p>6) Do Treasury have any concerns about uniform national competition policy arising from this Queensland legislation?</p>	Treasury - Market and Competition Policy Division	Written	SQ16-001430
478	5	Ketter	Estimates briefing	<p>1) How many officers were responsible for preparing the department, agency, Minister or representing Minister's briefing pack for the purposes of senate estimates?</p> <p>2) How many officer hours were spent on preparing that information? Please break down the hours by officer APS classification.</p> <p>3) Were drafts shown to the Minister or their office before senate estimates?</p> <p>a. If so, when did this occur?</p> <p>b. How many versions of this information were shown to the minister or their office?</p> <p>4) Did the minister or their office make any contributions, edits or suggestions for departmental changes to this information?</p> <p>a. If so, when did this occur?</p> <p>b. What officer hours were spent on making these edits? Please break down the hours by officer APS classification.</p> <p>c. When were the changes made?</p> <p>5) Provide all the briefing documents, including the contents pages, in the Department/Minister/representing Minister's Senate Estimates folder prepared by the department for the Supplementary Budget Estimates hearings in October 2016.</p>	Treasury - Parliamentary and Legal Services Division	Written	SQ16-001387
479	1	Ketter	Company cars in salary packages	How many staff have access to company cars within their salary packages?	Treasury - People and Organisational Strategy Division	Written	SQ16-001390
480	1	McAllister	Contractors and consultants - Labour hire arrangements	<p>For the 2015-16 financial year, please outline:</p> <p>a) How many staff were employed through labour hire arrangements;</p> <p>b) Total expenditure on labour hire staff;</p> <p>c) The contractors or labour hire firms engaged to supply these staff;</p> <p>d) Total payments to each of the organisations that provided staff through either a labour hire arrangement or other contractual arrangement; and</p> <p>e) The nature of the work performed by labour hire staff.</p>	Treasury - People and Organisational Strategy Division	Written	SQ16-001391
481	1	McAllister	Staffing - Engagements	<p>How many engagements occurred in the 2015-16 financial year, by:</p> <p>a) Classification;</p> <p>b) State or territory;</p> <p>c) Ongoing staff; and</p> <p>d) Non-ongoing staff.</p>	Treasury - People and Organisational Strategy Division	Written	SQ16-001392
482	1	McAllister	Staffing - Separations	<p>How many separations occurred in the 2015-16 financial year, by:</p> <p>a) Classification;</p> <p>b) State or territory;</p> <p>c) Ongoing staff;</p> <p>d) Non-ongoing staff; and</p> <p>e) Reason for separation.</p>	Treasury - People and Organisational Strategy Division	Written	SQ16-001394

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483	1	McAllister	Staffing levels	Please provide a breakdown of staffing levels as at 30 June 2016, nationally and for each state and territory, by the following categories: a) Full time equivalent (FTE); b) Head count; c) Gender; d) Ongoing; e) non-ongoing; and f) classification level.	Treasury - People and Organisational Strategy Division	Written	SQ16-001396
484	1	Ketter	Staffing numbers	Please provide details of total staffing numbers, broken down into classification, for 2014-15, 2015-16 and to date in 2016-17.	Treasury - People and Organisational Strategy Division	Written	SQ16-001397
485	1	Bilyk	Vacancies	Please provide a list of all statutory, board and legislated office vacancies and other significant appointments vacancies within the portfolio, including length of time vacant and current acting arrangements.	Treasury - People and Organisational Strategy Division	Written	SQ16-001399
486	9	Ketter	Wellbeing Framework	1) When was the Wellbeing Framework established within Treasury? 2) Who was responsible for establishing it? 3) When did Mr John Fraser become appointed the Secretary of the Treasury? 4) Was Mr Fraser provided briefing or any other information on the Wellbeing Framework when he was appointed Secretary? 5) If yes to 4), when was this briefing or any other information provided? In what format? On how many occasions? Which area in Treasury was responsible for that briefing? 6) If no to 4), can you provide reasons as to why Mr Fraser was not briefed or provided information on the Wellbeing Framework? 7) Has Mr Fraser received any briefing or any other information on the Wellbeing Framework since his appointment? 8) If yes to 7), when was this briefing or any other information provided? In what format? On how many occasions? Which area in Treasury was responsible for that briefing? 9) If no to 7), can you provide reasons as to why Mr Fraser was not briefed or provided information on the Wellbeing Framework?	Treasury - People and Organisational Strategy Division	Written	SQ16-001401
487	1	Gallagher	Revisions to Superannuation Package - Cost of briefings	Senator GALLAGHER: It has been reported that the Treasurer held a series of briefings around the country, talking largely with his coalition colleagues, about the package following the election and the announcement of the revised package. Was Treasury involved in any of those briefings? Ms J Wilkinson: Yes. We supported the Treasurer in that process. Senator GALLAGHER: You attended those meetings around the country? Ms J Wilkinson: Yes. Senator GALLAGHER: Do you have a breakdown of the costs involved in that? You might take that on notice and come back to us. Ms J Wilkinson: I am happy to take that on notice. Senator GALLAGHER: Could you include which Treasury officials travelled with the Treasurer? I think the Assistant Treasurer was conducting consultations as well. [...] Ms J Wilkinson: We would only have the costs that pertain to the Treasury officials' travel. The ministers' travel is a matter for them. Senator GALLAGHER: But the Assistant Treasurer was also undertaking consultations. If Treasury officials supported her, could that information be provided as well? Ms J Wilkinson: Yes.	Treasury - Retirement Income Policy Division	Pages 104-105 (19 October 2016)	SQ16-001402
488	1	Gallagher	Superannuation package - 10-year costings	Senator GALLAGHER: Are you able to provide any of the 10-year costings for the different elements of the superannuation package? Ms J Wilkinson: Those figures have not been released by government. I would have to take that on notice.	Treasury - Retirement Income Policy Division	Page 105 (19 October 2016)	SQ16-001405
489	1	Gallagher	Superannuation package - Introduction of legislation	Senator GALLAGHER: Are you aware of when the final bill is to be introduced? Ms Wilkinson: That is a matter for government. Senator GALLAGHER: But we only have three sitting weeks left this year. Senator, can you elaborate on that? I am trying to work back from your budget date, which is 1 July. Senator Ryan: On the point of consultation, there were two rounds of submissions as part of the Financial System Inquiry, plus the consultation and plus the discussions around the budget. As to the timing of the legislation, you will appreciate that I am representing the minister here and so I will take that on notice.	Treasury - Retirement Income Policy Division	Pages 105-106 (19 October 2016)	SQ16-001408
490	1	Marshall	Superannuation in the sharing economy	Is the Department concerned workers in the sharing economy are not paid superannuation.	Treasury - Retirement Income Policy Division	Written (Transferred from Employment)	SQ16-001410

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491	2	Ketter	Housing incentives	<p>1) Has there been advice provided to the Treasurer, any of the Treasury portfolio Ministers, or the Government, in relation to housing incentives?</p> <p>2) If so, when was that advice provided?</p>	Treasury - Social Policy Division	Written	SQ16-001416
492	1	Whish-Wilson	ANAO Report - Government Response	<p>Senator WHISH-WILSON: [...] The report on the ATO the Australian National Audit Office released in September made some fairly scathing comments about shortfalls in ATO revenue estimates and other estimates. It was critical of assumptions and methodologies outlined in things such as inaccurate attributions, and made some recommendations. Will you be providing a formal response to that report?</p> <p>Ms Mrakovcic: Do you mean Treasury?</p> <p>Senator WHISH-WILSON: Yes.</p> <p>Ms Mrakovcic: I do not believe Treasury will be responding to that report. I will take it on notice, but it might be a matter for government.</p> <p>[...]</p> <p>Senator WHISH-WILSON: It is a fairly complex report. Most people would not be able to read past the first few pages. I know the ATO has made public comments that they disagreed with some of the summaries of the report. I am interested to know whether there is a formal response coming out.</p> <p>Ms Mrakovcic: We certainly would talk to the ATO. Obviously there are, at various times, measures that are included in budgets that go to enhancing compliance activity. When we cost those we would be sitting down carefully with them and essentially discussing those measures. I also make note of the fact that when I was in the New South Wales Treasury there were a couple of times when there was additional money given to the ATO to enhance compliance around the GST. Certainly, my experience was that what was proposed to come through we did actually see. So, in some respects, I attest to the fact that generally there has actually been a pretty strong ability to meet those estimates. But I take note of what you have said about the report. I will take on notice what kind of response will be provided and by whom.</p> <p>Senator WHISH-WILSON: Thank you.</p>	Treasury - Tax Analysis Division	Pages 79-80 (19 October 2016)	SQ16-001439
493	1	Whish-Wilson	ANAO Report - Treasury oversight of ATO revenue	<p>Senator WHISH-WILSON: It is hard to decipher here—I understand it was critical of the ATO—but doesn't the revenue part of Treasury actually have oversight on the revenue that is raised by the ATO?</p> <p>Ms Mrakovcic: This might be a case of my ignorance. I have only been in this role for five months and I was at New South Wales Treasury before, though I had been with the Commonwealth Treasury earlier. I really will have to take that on notice.</p>	Treasury - Tax Analysis Division	Page 79 (19 October 2016)	SQ16-001442

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494	11	Rhiannon	Changes to negative gearing	<p>1. On 9 February this year The Australian reported that it was told the government was closely considering changes to negative gearing along with cuts to superannuation tax concessions, given the problems with expanding the GST. The plan was said to include setting caps of \$30,000 or \$50,000 on the amounts that workers can claim on their investment properties. The Prime Minister on ABC's Insiders recently replied that everything was on the table in response to a question asking if Negative Gearing was on the table.</p> <p>a. Has Treasury been asked to do modelling specifically on reforming negative gearing?</p> <p>b. If yes, does it include modelling in order to allow tax cuts, and to which income groups?</p> <p>2. It was reported early this year that Treasury made the rare admission that Australia's system of negative gearing is relatively generous compared to other developed nation. Please explain how this is so.</p> <p>3. Considering the CGT discount is Australia's 6th largest tax expenditure – and will cost us about \$6b this year – has Treasury been asked to provide modelling on any type of reform to Capital Gains Tax discounts?</p> <p>4. What is the current cost of the Capital Gains Tax discount and how much has it increased year on year for the past ten years?</p> <p>5. Considering 70% of the CGT benefit flows to the top 10% of income earners please explain the rationale for the CGT discount ?</p> <p>6. What proportion of Australia's working population enjoy capital gains tax discounts?</p> <p>7. The Australian Treasury's Tax White Paper discussion paper released last year noted the relationship between Negative gearing and CGT discount stating that: Negative gearing does not, in itself, cause a tax distortion, but it does allow more people to enter the market than those who might have had the equity alone to do so. Purchasers can make bigger investments in property by borrowing, in addition to using their own savings. This behaviour is encouraged by the CGT discount, as larger investments can result in greater capital gains and therefore benefit more from the CGT discount.</p> <p>a. Has the government modelled whether superannuation reforms on their own would actually increase the use of negatively geared properties? May I please have a copy of that modelling?</p> <p>8. If the government pursues reforms to tighten up the tax breaks for superannuation, is there a risk that the money that was previously going into tax-advantaged super will go to the other most obvious tax favourable destination: investment properties?</p> <p>9. What is the annual cost of the negative gearing and capital gains discount combined, and what is the annual amount the government spends on the entire housing and homelessness portfolio?</p>	Treasury - Tax Analysis Division	Written	SQ16-001444
495	1	Whish-Wilson	Taxation treatment of family trusts	<p>Senator WHISH-WILSON: [...] What is the loss to government revenue—or do you forecast—due to family trusts being tax-exempt, compared with if family trusts were taxed as companies? Can you address that question—or have you done any work on that?</p> <p>Mr Ewing: I am not sure off-hand. To the extent that we did do such work, it will be kept in our tax expenditure statement, which is the annual publication we put out that reviews all of the variations of tax law from the baseline tax treatment that we say is the benchmark. I would have to take on notice whether any particular elements within that tax expenditure statement go specifically to those categories that you mentioned.</p>	Treasury - Tax Analysis Division	Page 79 (19 October 2016)	SQ16-001446
496	1	Sterle	Murray Goulburn Report	When will the ACCC's report into the Murray Goulburn dairy crisis be released?	ACCC	Written (transferred from RRAT)	SQ16-001517
497	2	Abetz	Tax Evasion	<p>1. In how many cases has the Commissioner amended an assessment beyond the two-year limit imposed by Section 170 of the Tax Act, due to a belief that there has been tax evasion in relation to a husband and wife business partnership?</p> <p>2. To date what have been the outcomes of such amendments?</p>	ATO	Written	SQ16-001543
498	2	Abetz	GetUp Charity Status	<p>1. Has GetUp ever applied for charity status?</p> <p>1. At Estimates, officials provided evidence that advice could not be provided on applications to become a charity due to 'secrecy provisions'. Please provide detail on these provisions.</p>	ACNC	Written	SQ16-001544
499	1	Gallagher	Legacy Choice products data	<p>Senator GALLAGHER: Perhaps you can come back—I am aware of time—with any analysis of that data. In particular, does APRA hold data on the value of funds, the number of member accounts, the net returns and the fees in relation to those legacy choice products? This seems to be the issue, doesn't it? The longer people stay in these legacy products, the higher the costs they are incurring. Is APRA holding that data? If so, can it be provided to the committee?</p> <p>Mrs Rowell: We have some of that data. We certainly have balances, and I think we have the number of accounts. I am not sure that we would necessarily be able to break down returns and fees for those products, but I will confirm that.</p>	APRA	Page 9 (20 October 2016)	SQ16-001545

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500	1	Ketter	Difference in plans submitted by retail and industry funds	<p>Senator KETTER: Right. So we have now had over three years of that legislation being in place. You indicated that all of the funds were required to provide you with the plans that they had to transition to MySuper products. Can you tell us when those plans were provided to you?</p> <p>Mrs Rowell: It was over the period of late 2012 to the first half of 2013. Funds had to get authorised to be able to offer MySuper products from 1 July, and the authorisation process was undertaken over about a 12-month period. The plans were submitted as part of that authorisation process.</p> <p>Senator KETTER: At that stage did you notice any difference in the plans submitted by the retail funds as opposed to the plans submitted by the industry funds?</p> <p>Mrs Rowell: I cannot recall. I would need to take that on notice.</p>	APRA	Page 13 (20 October 2016)	SQ16-001546
501	1	Ketter	Percentage of default money in legacy funds	<p>Senator KETTER: You have identified terms of trust deeds as one of the excuses that has been given. Changes to trust deeds can be made. We have had three years here. At what point did you become aware that the retail funds were identifying this as an issue?</p> <p>Mrs Rowell: You are asking about specific questions and specific institutions that I do not have the details of with me to hand. I am happy to provide more information about the process and the barriers in response to Senator Gallagher's question.</p> <p>Senator KETTER: You have said that you were not aware of the figure of 43 per cent of default money still lying in legacy funds. What is your view? Is that high or low?</p> <p>Mrs Rowell: That sounds high to me, based on the most recent numbers that I am aware of. But I would need to confirm that. I do not know the basis of that particular number. I do not know the basis of that particular number. The article was in the paper this morning and I have been in Canberra.</p> <p>Senator GALLAGHER: The AFR is in Canberra too.</p> <p>Senator KETTER: You said the 'most recent numbers' that you are aware of. What numbers are you aware of?</p> <p>Mrs Rowell: I do not have them to hand, but my recollection is that progress of the accrued default numbers had been progressing quite well and that it was actually a relatively small balance that remained to be transferred.</p> <p>Senator KETTER: So you are surprised by that figure?</p> <p>Mrs Rowell: I am.</p> <p>Senator KETTER: You also mentioned that one of the problems was the fact that the retail funds had a range of default products which was complicating the transition. Is that correct?</p> <p>Mrs Rowell: That is correct.</p> <p>Senator KETTER: That seems to me to be a somewhat self-inflicted issue.</p> <p>Mrs Rowell: We would agree.</p>	APRA	Page 13 (20 October 2016)	SQ16-001547
502	1	Bushby	Independent directors - Comparison of industry funds and corporate funds	<p>Senator BUSHBY: Would you have any data available on the statistics about super funds moving to recruit a greater proportion of independent directors?</p> <p>Mrs Rowell: We would have statistics on independent directors and how that has shifted over time. I do not have them to hand.</p> <p>Senator BUSHBY: Is it correct that nearly all, if not all, retail funds now have a 50 per cent independent director component?</p> <p>Mrs Rowell: A very high proportion of retail superannuation entities have a majority of independent directors. The Financial Services Council code of conduct requires a majority independent directors. So any of the retail funds that are members of that body would meet that requirement. There are other funds that also meet that, but there are a handful of retail funds that do not.</p> <p>Senator BUSHBY: I would appreciate it if you could get the information on that and also how the other industry funds and corporate funds compare as well. Do you have the current figure for the industry funds?</p> <p>Mrs Rowell: I do not, off the top of my head. It is lower, but there are some. There has been a bit of movement in that direction, but it is a small proportion.</p> <p>Senator KETTER: I am aware that there are some that have voluntarily moved that way.</p>	APRA	Page 14 (20 October 2016)	SQ16-001548

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503	1	Gallagher	quarterly MySuper statistics	<p>I have just tried to have a look at the quarterly MySuper statistics. That is where you directed us for the data on the latest statistics that APRA had on the percentage which has not transferred from ADAs into MySuper products, but I cannot seem to find it in that report. There is a lot of data about the MySuper products, returns, fees and investment levels, but I cannot see any report as part of that around the transitions between ADA and MySuper. Is this the right report or is there another report?</p> <p>Mrs Rowell: There are two quarterly reports that are released. One is a PDF, which has some commentary and summary tables, and then there is the more detailed report that has a list of MySuper products with the individual details for each product.</p> <p>Senator GALLAGHER: It is in one of those.</p> <p>Mrs Rowell: Yes. I think if you look at—</p> <p>Mr Byres: We can send you the reference after this.</p>	APRA	Page 17 (20 October 2016)	SQ16-001549
Total							505