

Senate Economics Legislation Committee

ANSWERS TO QUESTIONS ON NOTICE

Treasury Portfolio

Supplementary Budget Estimates

2014 - 2015

Department/Agency: APRA

Question: SBT1399-1400

Topic: Departmental Staff Misconduct

Reference: written - 30 October 2014

Senator: Ludwig, Joe

Question:

Since Budget Estimates in June, 2014*:

1399. Please provide a copy of the departmental staff code of conduct.

1400. Have there been any identified breaches of this code of conduct by departmental staff?

- a) If yes, list the breaches identified, broken by staffing classification level.
- b) If yes, what remedy was put in place to manage the breach? If no remedy has been put in place, why not?
- c) If yes, when was the breach identified? By whom? When was the Minister made aware?
- d) If yes, were there any legal ramifications for the department or staff member? Please detail.

** period 2 June to 30 October 2014.*

Answer:

1399. The Australian Prudential Regulation Authority's (APRA's) Code of Conduct can be found on the APRA website:

<http://www.apra.gov.au/AboutAPRA/Pages/APRA-Code-Of-Conduct.aspx>

1400. There have been no breaches of the APRA Code of Conduct during the period 2 June to 30 October 2014.