



**ASIC**  
Australian Securities &  
Investments Commission

<b>Committee</b>	Parliamentary Joint Committee on Corporations and Financial Services
<b>Inquiry</b>	Oversight of ASIC, the Takeovers Panel and the Corporations Legislation
<b>Question No.</b>	046
<b>Topic</b>	PwC – Richard Gregg
<b>Reference</b>	Spoken, 20 October 2023, Hansard page 55
<b>Committee member</b>	Senator Barbara Pocock

### Question

**Senator BARBARA POCKOCK:** Can I ask about one more person first? I am quoting once again from the documentation of the eight people who left PwC in the middle of the year, for similar reasons to Mr Konidaris and so on: 'Richard Gregg has been given notice of PwC Australia's findings against him, and a process has started under the Partnership Agreement to remove him from the partnership.' Based on this information and other information out there, have you done any analysis or investigation in relation to Mr Gregg?

**Ms Court:** I have the same answer as in relation to the other matters; I suspect the team has, but I don't have that information in front of me now.

**CHAIR:** Mr Savundra, what can you add?

**Mr Savundra:** We will take the question on notice, as you have suggested, and respond in writing.

### Answer

ASIC has jurisdiction to regulate the conduct of individuals who are registered by ASIC as Registered Company Auditors, SMSF Auditors, or Registered Liquidators; AFS licensees and their authorised representatives; or individuals who are directors or officers of companies.

*Richard Gregg*

Mr Richard Gregg was not a registered liquidator, a registered company auditor or an authorised representative of PricewaterhouseCoopers Securities Limited (**PwCS**).

ASIC's records indicate that Mr Richard Gregg is not a current or former director of a PwC incorporated entity.

In these circumstances, ASIC is limited in its ability to investigate Mr Gregg's involvement in the Disclosure.