



ASIC
Australian Securities &
Investments Commission

Committee	Parliamentary Joint Committee on Corporations and Financial Services
Inquiry	Oversight of ASIC, the Takeovers Panel and the Corporations Legislation No.1 of the 46th Parliament
Question No.	025.2
Date	26 November 2021
Topic	Nuix
Reference	Spoken, 26 November 2021, Hansard page 53
Committee member	Senator O'Neill

Question

Senator O'NEILL: Perhaps on notice as well: what interactions has ASIC had with the auditors with regard to the matters around Nuix—the float and the clarity of information that was actually available to the market at the time? And are there any changes in procedures and policies that might need to be undertaken?

Answer

ASIC has issued notices to various entities and persons seeking the production of books and information relevant to the Nuix investigations. This includes PwC in their role as financial statement auditor and PwC Securities Ltd who were the investigative accountants for the purposes of the IPO Prospectus. Examinations have been conducted of employees of PwC Securities Ltd.

At this stage ASIC have not recommended any changes to procedures or policies.