



ASIC
Australian Securities &
Investments Commission

Committee	Parliamentary Joint Committee on Corporations and Financial Services
Inquiry	Oversight of ASIC, the Takeovers Panel and the Corporations Legislation No.1 of the 46th Parliament
Question No.	051-02
Date	9 February 2022
Topic	Nicholas Moore – FRAA – Macquarie – NUIX conflict?
Reference	Written, 9 February 2022
Committee member	Senator O'Neill

Question

a) Ex Macquarie CEO Nicholas Moore is the chairman of the Financial Regulator Assessment Authority. This authority is conducting a capability review into ASIC. Given Mr Moore was running Macquarie at the time Macquarie made its investment in Nuix, what steps has ASIC taken to ensure there is no conflict in this new body's assessment of ASIC and its role in Nuix.

Answer

Questions regarding the work of the Financial Regulator Assessment Authority and the management of its members' conflicts should be directed to Treasury.