

**Additional Estimates 2019-20 - Australian Strategic Policy Institute Ltd - Questions on Notice**

Portfolio Question No	Senator	BroadTopic	QuestionText	Written Hansard
1	Kim Carr	ASPI funding	What was the level of funding from each of the 39 'corporate sponsors' identified in ASPI's Annual Report 2018-19, which reports total sponsorship income of \$2,388,847?	Written
2	Kim Carr	ASPI funding	When considering a sponsorship proposal from a private sector company (privately owned or publically listed), does ASPI consider that company's relationships (including financial) with foreign governments and foreign government agencies and organisations, including State-Owned Enterprises?	Written
3	Kim Carr	ASPI funding	Has the ASPI recently rejected a sponsorship proposal from a private sector company because ASPI was concerned about the company's commercial relationships with a foreign government or agencies and organisations, including State- Owned Enterprises?	Written
4	Kim Carr	ASPI funding	In its Foreign Influence Transparency Scheme (FITS) entries ASPI nominates three relationships with foreign governments and one with North Atlantic Treaty Organization (NATO). What was the financial value of each of those relationships in 2018-19?	Written
5	Kim Carr	ASPI funding	ASPI's Annual Report 2018-19 ASPI identifies the Taipei Economic and Cultural Office (TECO) as a sponsor. Should ASPI have registered the TECO on Foreign Influence Transparency Scheme (FITS).	Written
6	Kim Carr	ASPI funding	The Foreign Influence Transparency Scheme (FITS) identifies the financial relationship between ASPI and the US State Department. i. When was this entry last updated in the FITS? ii. What was the financial value of this relationship. iii. When was the funding agreement signed. iv. When were the funds received by ASPI. v. What work did ASPI agree to produce for those funds. vi. If the funds began flowing before 30 June 2019, as implied in the relevant FITS entry, where is this funding identified in the Annual Report for 2018-19	Written
7	Kim Carr	ASPI funding	In late 2019 ASPI published Policy Brief Report No. 23/2019 "The China Defence Universities Tracker" which includes the following acknowledgement "ASPI is grateful to the US State Department for providing funding for this research project." When was this acknowledgement included in the web and PDF versions of the paper? ii. Was the acknowledgement in the 'about' section of the Tracker database initially considered adequate. iii. Which part of the US State Department provided the funding, and on what date. iv. What was the State Department's financial contribution to this project.	Written

			<p>v. Was a draft of the report shared with the US State Department Global Engagement Centre before it was published online; if so, when and what changes were made to the draft.</p> <p>vi. In developing the Tracker, which Australian Universities and experts in Research and Development (R&amp;D) did ASPI consult.</p> <p>vii. The ASPI FITS entry relating to the US State Department claims “this research is rigorously peer reviewed - internally and externally”. Who peer-reviewed the Tracker before publication.</p> <p>viii. What feedback and from whom, has ASPI received on the Tracker from Australian universities and research experts</p>	
8	Kim Carr	Photocopy paper	<p>From which country does the department and its agencies source A4 photocopy paper?</p> <p>a. If from multiple countries, please provide a breakdown of country of origin in percentage terms.</p>	Written
9	Kimberley Kitching	Executive management	<p>In relation to executive management for the Department and its agencies, can the following be provided for financial year 2019-20 to date:</p> <p>The total number of executive management positions</p>	Written
10	Kimberley Kitching	Executive management	The aggregate total remuneration payable for all executive management positions.	Written
11	Kimberley Kitching	Executive management	The change in the number of executive manager positions.	Written
12	Kimberley Kitching	Executive management	The change in aggregate total remuneration payable for all executive management positions.	Written
13	Kimberley Kitching	Ministerial Functions	<p>In relation to any functions or official receptions hosted by Ministers or Assistant Ministers in the portfolio, can the following information be provided from the date of the last answer for Supplementary Estimates 2019-20:</p> <p>a. List of functions.</p> <p>b. List of all attendees.</p> <p>c. Function venue.</p> <p>d. Itemised list of costs (GST inclusive).</p> <p>e. Details of any food served.</p> <p>f. Details of any wines or champagnes served including brand and vintage.</p> <p>g. Any available photographs of the function.</p> <p>h. Details of any entertainment provided.</p>	Written
14	Kimberley Kitching	Departmental Functions	In relation to expenditure on any functions or official receptions etc hosted by the Department or agencies within the portfolio, can the following information be provided from the date of the last answer for Supplementary Estimates 2019-20:	Written

			<p>a. List of functions.</p> <p>b. List of all attendees.</p> <p>c. Function venue.</p> <p>d. Itemised list of costs (GST inclusive).</p> <p>e. Details of any food served.</p> <p>f. Details of any wines or champagnes served including brand and vintage.</p> <p>g. Any available photographs of the function.</p> <p>h. Details of any entertainment provided.</p>	
15	Kimberley Kitching	Executive office upgrades	Have any furniture, fixtures or fittings of the Secretary's office, or the offices of any Deputy Secretaries been upgraded from the date of the last answer for Supplementary Estimates 2019-20. If so, can an itemised list of costs please be provided (GST inclusive).	Written
16	Kimberley Kitching	Facility upgrades	<p>1. Were there any upgrades to facility premises at any of the Departments or agencies subsequent to the date of the last answer for Supplementary Estimates 2019-20. This includes but is not limited to: staff room refurbishments, kitchen refurbishments, bathroom refurbishments, the purchase of any new fridges, coffee machines, or other kitchen equipment.</p> <p>2. If so, can a detailed description of the relevant facilities upgrades be provided together with an itemised list of costs (GST inclusive).</p> <p>3. If so, can any photographs of the upgraded facilities be provided.</p>	Written
17	Kimberley Kitching	Staff travel	What is the total cost of staff travel for departmental/agency employees for FY 2019-20 to date.	Written
18	Kimberley Kitching	Legal costs	What are the total legal costs for the Department/agency for FY 2019-20 to date.	Written
19	Kimberley Kitching	Secretarial travel	<p>Can an itemised list of the costs of all domestic and international travel undertaken by the Secretary of the Department be provided from the date of the last answer for Supplementary Estimates 2019-20, including:</p> <p>a. Flights for the Secretary as well as any accompanying departmental officials, and identify the airline and class of travel.</p> <p>b. Ground transport for the Secretary as well as any accompanying departmental officials.</p> <p>c. Accommodation for the Secretary as well as any accompanying departmental officials, and identify the hotels the party stayed at and the room category in which the party stayed.</p> <p>d. Meals and other incidentals for the Secretary as well as any accompanying departmental officials. Any available menus, receipts for meals at restaurants and the like should also be provided.</p> <p>e. Any available photographs documenting the Secretary's travel should also be provided.</p>	Written
20	Kimberley Kitching	FOI	Please list the number of Freedom of Information Act requests ('FOI requests') received by the Department for financial year 2019-20 to date	Written
21	Kimberley	FOI	For 2019-20 to date, please provide:	Written

	Kitching		<p>a. The number of FOI requests the Department granted in full;</p> <p>b. The number of FOI requests the Department granted in part;</p> <p>c. The number of FOI requests the Department refused in full; and</p> <p>d. The number of FOI requests the Department refused for practical reasons under the Freedom of Information Act.</p>	
22	Kimberley Kitching	FOI	<p>For 2019-20 to date, please provide:</p> <p>a. The number of times the Department failed to make any decision on a FOI request within the 30 day statutory period; and</p> <p>b. The number of times a request to the Department resulted in a practical refusal (i.e. no decision was made on the request).</p>	Written
23	Kimberley Kitching	FOI	<p>For 2019-20 to date, please provide:</p> <p>a. The number of times the Department's FOI decisions have been appealed to the OAIC; and</p> <p>b. The number of times has the OAIC overturned – in whole or in part – the Department's decision to refuse access to material.</p>	Written
24	Kimberley Kitching	FOI	Please provide the staffing (both ASL and headcount) of staff at the Department who work exclusively on FOI requests, broken down by APS level (e.g. three EL1s, four APS6s, one SES) for 2019-20 to date.	Written
25	Kimberley Kitching	FOI	For each of the years above, please also list the number of officers who are designated decision makers under the Freedom of Information Act 1982 within the Department.	Written
26	Kimberley Kitching	FOI	In the past 12 months, has the Department seconded additional resources to processing Freedom of Information requests? If so, please detail those resources by APS level.	Written
27	Kimberley Kitching	FOI	Please provide the number of officers who are currently designated decision makers under the Freedom of Information Act 1982 within the Minister's office.	Written
28	Kimberley Kitching	FOI	Please provide the number of FOI requests currently under consideration by the Department. Please also provide the number of these requests that are currently overdue in response.	Written
29	Kimberley Kitching	FOI	<p>Does the department consult or inform the Minister when it receives Freedom of Information requests? If so:</p> <p>a. How many times has this occurred in the past twelve months; and</p> <p>b. Please outline the process by which the Department consults the Minister.</p>	Written
30	Kimberley Kitching	FOI	Has the Department consulted or informed another Department or agency about any FOI request in the past twelve months. If so, please provide the legal basis on which that consultation occurred (e.g. third party consultation, transfer of request).	Written
31	Kimberley Kitching	Briefings	<p>Has the Department/agency or the Minister's office provided briefings to independents/minor parties in the Senate or House of Representatives since the date of the last answer for Supplementary Estimates 2019-20. If so, can the following be provided:</p> <p>a. The subject matter of the briefing.</p> <p>b. The location and date of the briefing.</p> <p>c. Who proposed the briefing.</p>	Written

			d. Attendees of the briefing by level/position	
32	Kimberley Kitching	Acting Minister arrangements	Can the Department provide all leave periods of the portfolio Minister since the date of the last answer for Supplementary Estimates 2019-20.	Written
33	Kimberley Kitching	Acting Minister arrangements	Can the Department further provide acting Minister arrangements for each leave period.	Written
34	Kimberley Kitching	Market research	Does the Department/agency undertake any polling or market research in relation to government policies or proposed policies.	Written
35	Kimberley Kitching	Market research	If so, can the Department provide an itemised list for financial year 2019-20 to date: a. Subject matter b. Company c. Costs d. Contract date period	Written
36	Kimberley Kitching	Market research	Can the Department/agency advise what, if any, research was shared with the Minister or their office and the date and format in which this occurred.	Written
37	Kimberley Kitching	What is the Department/agency's total expenditure on advertising and information campaigns for the financial year 2019-20 to date?	What advertising and information campaigns has the Department/agency run in this time. For each campaign, please provide: a. When approval was first sought. b. The date of approval, including whether the advertising went through the Independent Campaign Committee process. c. the timeline for each campaign, including any variation to the original proposed timeline.	Written
38	Kimberley Kitching	Advertising and information campaigns	Can an itemised list of all Austender Contract Notice numbers for all advertising and information campaign contracts in each period be provided?	Written
39	Kimberley Kitching	Advertising and information campaigns	What is the Department/agency's total expenditure on promotional merchandise for the financial year 2019-20 to date?	Written
40	Kimberley Kitching	Promotional merchandise	Can an itemised list of all Austender Contract Notice numbers for all promotional merchandise contracts in that period please be provided?	Written
41	Kimberley Kitching	Promotional merchandise	Can photographs or samples of relevant promotional merchandise please be provided.	Written
42	Kimberley Kitching	Promotional merchandise	Promotional merchandise	Written
43	Kimberley Kitching	Ministerial overseas travel	Can an itemised list of the costs met by the department or agency for all international travel undertaken by Ministers or Assistant Ministers in the portfolio please be provided from the of date the last answer for the Supplementary Estimates 2019-20, including: a. Flights for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any accompanying departmental officials, together with the airline and class of travel.	Written

			<p>b. Ground transport for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any accompanying departmental officials.</p> <p>c. Accommodation for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any accompanying departmental officials, and identify the hotels the party stayed at and the room category in which the party stayed.</p> <p>d. Meals and other incidentals for the Minister and any accompanying members of the Minister's personal staff or family members, as well as any accompanying departmental officials. Any available menus, receipts for meals at restaurants and the like should also be provided.</p> <p>e. Any available photographs documenting the Minister's travel should also be provided.</p>	
44	Kimberley Kitching	Social media influencers	What was the Department/agency's total expenditure on social media influencers for financial year 2019-20 to date.	Written
45	Kimberley Kitching	Social media influencers	What advertising or information campaigns did the Department/agency use social media influencers to promote.	Written
46	Kimberley Kitching	Social media influencers	Can a copy of all relevant social media influencer posts please be provided.	Written
47	Kimberley Kitching	Social media influencers	Can an itemised list of all Austender Contract Notice numbers for all relevant social media influencer contracts please be provided.	Written
48	Kimberley Kitching	Guidelines to official gifts received	Please provide an itemised account of all payments made to the Collector of Public Monies by Ministers pursuant to the Guidelines relating to Official Gifts Received in financial year 2019-20 to date.	Written
49	Kimberley Kitching	Commissioned reports and reviews	<p>Since the date of the last answer for Supplementary Estimates 2019-20, how many Reports or Reviews have been commissioned. Please provide details of each report including:</p> <p>a. Date commissioned.</p> <p>b. Date report handed to Government.</p> <p>c. Date of public release.</p> <p>d. Terms of Reference.</p> <p>e. Committee members and/or Reviewers.</p>	Written
50	Kimberley Kitching	Commissioned reports and reviews	How much did each report cost/or is estimated to cost.	Written
51	Kimberley Kitching	Commissioned reports and reviews	The background and credentials of the Review personnel.	Written
52	Kimberley Kitching	Commissioned reports and reviews	The remuneration arrangements applicable to the Review personnel, including fees, disbursements and travel	Written
53	Kimberley Kitching	Commissioned reports and reviews	The cost of any travel attached to the conduct of the Review.	Written
54	Kimberley Kitching	Commissioned reports and reviews	How many departmental staff were involved in each report and at what level.	Written

55	Kimberley Kitching	Commissioned reports and reviews	What is the current status of each report. When is the Government intending to respond to each report if it has not already done so.	Written
56	Kimberley Kitching	Board Appointments	Provide an update of portfolio boards, including board title, terms of appointment, tenure of appointment and members from the date of the last answer for Supplementary Estimates Round 2019-20.	Written
57	Kimberley Kitching	Board Appointments	What is the gender ratio on each board and across the portfolio	Written
58	Kimberley Kitching	Board Appointments	What has been the total value of all Board Director fees and disbursements paid.	Written
59	Kimberley Kitching	Board Appointments	What is the value of all domestic travel by Board Directors.	Written
60	Kimberley Kitching	Board Appointments	What is the value of all international travel by Board Directors.	Written
61	Kimberley Kitching	Appointments-briefs prepared	How many times has the Department prepared a brief for statutory authorities, executive agencies, advisory boards, government business enterprises or any other Commonwealth body which includes a reference to a former Liberal or National member of parliament at a state, territory or federal level, since the answer was provided for Supplementary Estimates 2019-20.	Written
62	Kimberley Kitching	Appointments-briefs prepared	For each brief prepared, can the Department advise: a. The former member. b. The board or entity. c. Whether the request originated from the Minister's office. d. Whether the appointment was made.	Written
63	Kimberley Kitching	Stationery	How much has been spent on ministerial stationery requirements in financial year 2019-20 to date.	Written
64	Kimberley Kitching	Media monitoring	What is the total cost of media monitoring services, including press clippings, electronic media transcripts etcetera, provided to the each Minister's office for financial year 2019-20 to date. a. Which agency or agencies provided these services. b. Can an itemised list of Austender Contract notice numbers for any media monitoring contracts in each period please be provided c. What is the estimated budget to provide these services for the year FY 2019-20.	Written
65	Kimberley Kitching	Media monitoring	What was the total cost of media monitoring services, including press clippings, electronic media transcripts etcetera, provided to the department/agency for financial year 2019-20 to date. a. Which agency or agencies provided these services. b. Can an itemised list of Austender Contract Notice numbers for any media monitoring contracts in each period please be provided c. What is the estimated budget to provide these services for the year FY 2019-20.	Written
66	Kimberley	Communications staff	For all departments and agencies, please provide – in relation to all public relations, communications and	Written

	Kitching		<p>media staff – the following:  By Department or agency:</p> <ol style="list-style-type: none"> <li>How many ongoing staff, the classification, the type of work they undertake and their location.</li> <li>How many non-ongoing staff, their classification, type of work they undertake and their location.</li> <li>How many contractors, their classification, type of work they undertake and their location.</li> <li>How many are graphic designers.</li> <li>How many are media managers.</li> <li>How many organise events.</li> </ol>	
67	Kimberley Kitching	Communications staff	<p>Do any departments/agencies have independent media studios.</p> <ol style="list-style-type: none"> <li>If yes, why.</li> <li>When was it established.</li> <li>What is the set up cost.</li> <li>What is the ongoing cost.</li> <li>How many staff work there and what are their classifications.</li> </ol>	Written
68	Kimberley Kitching	Departmental staff in Minister's office	<p>Can the Department provide an update on the total number of departmental staff seconded to ministerial offices, including:</p> <ol style="list-style-type: none"> <li>Duration of secondment.</li> <li>APS level.</li> </ol>	Written
69	Kimberley Kitching	Departmental staff in Minister's office	<p>Can the Department provide an update on the total number of DLOs/CLOs for ministerial offices including APS level.</p>	Written
70	Kimberley Kitching	CDDA Payments	<p>How many claims have been received under the Compensation for Detriment caused by Defective Administration scheme (CDDA) by the Department for financial year 2019-20 to date.</p>	Written
71	Kimberley Kitching	CDDA Payments	<p>How many claims were:</p> <ol style="list-style-type: none"> <li>Accepted.</li> <li>Rejected.</li> <li>Under consideration.</li> </ol>	Written
72	Kimberley Kitching	CDDA Payments	<p>Of the accepted claims, can the Department provide:</p> <ol style="list-style-type: none"> <li>Details of the claim, subject to relevant privacy considerations</li> <li>The date payment was made</li> <li>The decision maker.</li> </ol>	Written
73	Kimberley Kitching	Congestion busting	<p>Can the Department/agency advise how it is “congestion busting” in relation to bureaucratic bottlenecks and regulatory bottlenecks.</p>	Written
74	Kimberley Kitching	Congestion busting	<p>Have any additional resources been allocated within the Department to achieve “congestion busting” within the department since an answer was provided for the Supplementary Estimates round 2019-20.</p>	Written
75	Kimberley Kitching	Recruitment	<p>What amount has been expended by the department/agency on external recruitment or executive search services in financial year 2019-20 to date.</p>	Written



76	Kimberley Kitching	Recruitment	Which services were utilised. Can an itemised list be provided.	Written
77	Kimberley Kitching	Staffing	How many full-time equivalent staff are engaged at 1 March 2020. How does this differ from the figures presented in Budget Paper 4 in the 2019-20 Budget.	Written
78	Kimberley Kitching	Staffing	How many of these positions are (a) on-going and (b) non-ongoing.	Written
79	Kimberley Kitching	Staffing	How many redundancies have occurred in financial year 2019-20 to date. How many were: a. voluntary b. involuntary.	Written
80	Kimberley Kitching	Staffing	How many of those redundancies occurred as a result of departmental restructuring. What is the total cost of those redundancies.	Written
81	Kimberley Kitching	Staffing	What was the total value in dollar terms of all termination payments paid to exiting staff.	Written
82	Kimberley Kitching	Staffing	How much overtime or equivalent has been paid to staff in financial year 2019-20 to date.	Written
83	Kimberley Kitching	Staffing	How many section 37 notices under the Public Service Act 1999 have been offered in financial year 2019-20 to date.	Written
84	Kimberley Kitching	Comcare	For financial year 2019-20 to date, can the Department advise whether it has been the subject of any investigations involving Comcare. If yes, please provide details of the circumstances and the status.	Written
85	Kimberley Kitching	Comcare	Can the Department advise the number of sanctions it has received from Comcare in the FY2019-20 to date.	Written
86	Kimberley Kitching	Fair Work Commission	For financial year 2019-20 to date, how many references have been made to the Fair Work Commission within the Department or agency.	Written
87	Kimberley Kitching	Fair Work Ombudsman	For financial year 2019-20 to date, how many references have been made to the Fair Work Ombudsman within the Department or agency.	Written
88	Kimberley Kitching	Office of the Merit Protection Commissioner	For financial year 2019-20 to date, how many references have been made to the Office of the Merit Protection Commissioner within the Department or agency.	Written
89	Kimberley Kitching	Public interest disclosures	For financial year 2019-20 to date, how many public interest disclosures have been received.	Written