



## Senate Economics References Committee

### **Inquiry into ASIC investigation and enforcement – Information for submitters**

On 27 October 2022, the Senate referred an inquiry into the capacity and capability of the Australian Securities and Investments Commission to undertake proportionate investigation and enforcement action arising from reports of alleged misconduct to the Senate Economics References Committee (the Committee) for report by the last sitting day in June 2024.

**Terms of Reference** for the inquiry are available on the committee's website [https://www.aph.gov.au/Parliamentary\\_Business/Committees/Senate/Economics](https://www.aph.gov.au/Parliamentary_Business/Committees/Senate/Economics).

#### **Closing date for submissions: 3 February 2023**

The closing date gives the Committee time to consider submissions prior to hearings. **The Committee may not have time to consider late submissions.**

#### **How to prepare a *personal* submission**

- **Address the submission to the Committee** and cover some or all of the **terms of reference**.
- Be concise, submissions should generally be **no longer than five to six pages**.
- Outline the issues and how they can be addressed, **without naming people**.
- **Do not attach** personal or business documents or correspondence.
- The Committee **may not accept** submissions that fail to comply with the above criteria.
- Clearly identify in the header, filename and subject line whether you are requesting to make:
  - a **public submission** published **with your name on the internet**; or
  - a **name-withheld submission** published **without your name on the internet**; or
  - a **confidential submission**, kept confidential by the Committee. While committees are respectful of such requests, they do have the power to refuse to accept or to publish submissions following consultation with the submitter.

#### **The Committee's role**

- **The Committee is not able to investigate or resolve individual disputes.**
- The Committee's powers allow it to report to Parliament with recommendations for changes to legislation, regulation and government policy.
- The Committee welcomes individual stories that may identify issues and recommendations.

#### **Parliamentary privilege**

Submissions to the inquiry become committee documents upon receipt and are made public only after a decision by the Committee. You should not release your submission to anyone until the Committee advises you that it has been accepted and published. Submissions are protected by parliamentary privilege once they are accepted by the Committee, their unauthorised release before this is not.

For further information see

[https://www.aph.gov.au/Parliamentary\\_Business/Committees/Senate/How\\_to\\_make\\_a\\_submission](https://www.aph.gov.au/Parliamentary_Business/Committees/Senate/How_to_make_a_submission)

**Online submission:** [https://www.aph.gov.au/Parliamentary\\_Business/Committees/OnlineSubmission](https://www.aph.gov.au/Parliamentary_Business/Committees/OnlineSubmission).