

ANNEX I

SCHEDULE OF THE UNITED KINGDOM

INTRODUCTORY NOTES

1. **Description** provides a general non-binding description of the measure for which the entry is made.
2. **Obligations Concerned** specifies the obligations referred to in Article 9.12.1 (Non-Conforming Measures) and Article 10.7.1 (Non-Conforming Measures) that do not apply to the listed measures.
3. **ISIC Rev. 3.1**, where referenced, means the International Standard Industrial Classification of All Economic Activities (Statistical Papers Series M No. 4, ISIC Rev. 3.1, Statistical Office of the United Nations, New York, 2002).
4. In the interpretation of an entry, all elements of the entry shall be considered. An entry shall be interpreted in light of the relevant provisions of the Chapters against which the entry is taken. The **Measures** element shall prevail over all the other elements.

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: Performance Requirements (Article 9.10)

Level of Government: Central and Regional

Measures: *The City Code on Takeovers and Mergers*, Rule 19.5
Companies Act 2006, Section 46, Chapter 1 of Part 28, Schedule 1C
The Law of Property (Miscellaneous Provisions) Act 1989, Section 1, and the prerogative powers of the Crown, both as regards enforcement of Deeds of Undertaking and in relation to takeovers or mergers

Description: Investment

The United Kingdom may enforce a commitment or undertaking in relation to a takeover or merger if the commitment or undertaking is not imposed or required as a condition of approval of the takeover or merger and is:

- (a) given in accordance with the provisions governing post-offer undertakings in *The City Code on Takeovers and Mergers*; or
- (b) given to a Minister pursuant to Deeds of Undertaking and accepted or enforced by them under the prerogative powers of the Crown. These powers enable a Minister to accept such voluntary commitments or undertakings and enforce them by applying to the competent courts of the United Kingdom.

Sector:	Professional Services
Sub-Sector:	Legal Services
Industry Classification:	Part of CPC 861
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Market Access (Article 10.5) Local Presence (Article 10.6)
Level of Government:	Central and Regional
Measures:	For England and Wales, the <i>Solicitors Act 1974</i> , the <i>Administration of Justice Act 1985</i> and the <i>Legal Services Act 2007</i> For Scotland, the <i>Solicitors (Scotland) Act 1980</i> and the <i>Legal Services (Scotland) Act 2010</i> For Northern Ireland, the <i>Solicitors (Northern Ireland) Order 1976</i> For all jurisdictions, the <i>Immigration and Asylum Act 1999</i> In addition, the measures applicable in each jurisdiction include any requirements set by professional or regulatory bodies.
Description:	<u>Investment and Cross-Border Trade in Services</u> The relevant professional or regulatory body may require establishment for the provision of certain United Kingdom domestic legal services. Non-discriminatory legal form requirements may apply. The relevant professional or regulatory body may require residency for the provision of certain United Kingdom domestic legal services in relation to immigration.

Sector:	Professional Services
Sub-Sector:	Intellectual Property Agents
Industry Classification:	
Obligations Concerned:	Most-Favoured-Nation Treatment (Article 10.4) Local Presence (Article 10.6)
Level of Government:	Central
Measures:	<i>Copyright, Designs and Patents Act 1988, Part V and Schedule 5</i>
Description:	<u>Cross-Border Trade in Services</u> Local presence is required for the provision of intellectual property agency services.

Sector:	Professional Services
Sub-Sector:	Veterinary Services
Industry Classification:	CPC 932
Obligations Concerned:	Market Access (Article 10.5)
Level of Government:	Central
Measures:	<i>Veterinary Surgeons Act 1966</i> , Section 16, Section 19 and Section 20
Description:	<p><u>Cross-Border Trade in Services</u></p> <p>Only members of the Royal College of Veterinary Surgeons (RCVS) may provide veterinary services in the United Kingdom. RCVS guidelines may require physical presence for the provision of veterinary services.</p>

Sector:	Business Services
Sub-Sector:	Rental or Leasing Services without Operators
Industry Classification:	CPC 83104
Obligations Concerned:	National Treatment (Article 9.4) Most-Favoured-Nation Treatment (Article 9.5) Local Presence (Article 10.6)
Level of Government:	Central
Measures:	<i>Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation of air services in the Community (Recast), as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018 and as amended by the Operation of Air Services (Amendment etc.) (EU Exit) Regulations (S.I. 2018/1392), Article 4 and Article 13</i>
Description:	<u>Investment and Cross-Border Trade in Services</u> For rental or leasing of aircraft without crew (dry lease), aircraft used by an air carrier of the United Kingdom are subject to applicable aircraft registration requirements. A dry lease agreement to which a United Kingdom carrier is a party shall be subject to requirements in the national law on aviation safety, such as prior approval and other conditions applicable to the use of a Party or a non-Party's registered aircraft. To be registered, aircraft may be required to be owned either by natural persons meeting specific nationality criteria or by enterprises meeting specific criteria regarding ownership of capital and control.

Sector:	Business Services
Sub-Sector:	Other Business Services
Industry Classification:	
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4) Market Access (Article 10.5)
Level of Government:	Central
Measures:	<i>Regulation (EC) No 80/2009 of the European Parliament and of the Council of 14 January 2009 on a Code of Conduct for computerised reservation systems and repealing Council Regulation (EEC) No 2299/89, as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018 and as amended by The Computer Reservation Systems (Amendment) (EU Exit) Regulations 2018 (S.I. 2018/1080), Article 8</i>
Description:	<u>Investment and Cross-Border Trade in Services</u> With respect to Computer Reservation System (CRS) services, if the United Kingdom's air carriers are not accorded, by CRS services suppliers operating outside the United Kingdom, equivalent (meaning non-discriminatory) treatment to that accorded in the United Kingdom, or if the United Kingdom's CRS services suppliers are not accorded, by non-United Kingdom air carriers, equivalent treatment to that accorded in the United Kingdom, measures may be taken to accord equivalent discriminatory treatment, respectively, to the non-United Kingdom air carriers by the CRS services suppliers operating in the United Kingdom, or to the non-United Kingdom CRS services suppliers by the United Kingdom's air carriers.

Sector:	Communication Services
Sub-Sector:	Postal and Courier Services
Industry Classification:	Part of CPC 71235, part of CPC 73210 and part of CPC 751
Obligations Concerned:	Market Access (Article 10.5)
Level of Government:	Central
Measures:	<i>Postal Services Act 2011</i> , Part 3 <i>Postal Services Act 2000</i> , Parts V, VI and VII, Schedule 6 and Schedule 8
Description:	<u>Cross-Border Trade in Services</u>

The United Kingdom may restrict the organisation of the siting of letter boxes on the public highway, the issuing of postage stamps and the provision of the registered mail service used in the course of judicial or administrative procedures. For greater certainty, postal operators may be subject to particular universal service obligations or a financial contribution to a compensation fund.

Sector:	Transport Services
Sub-Sector:	Services Auxiliary to Air Transport
Industry Classification:	
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4) Market Access (Article 10.5)
Level of Government:	Central
Measures:	<i>Airports (Groundhandling) Regulations 1997 (S.I. 1997/2389), Regulation 10, Regulation 11, Regulation 14 and Regulation 19</i>
Description:	<p><u>Investment and Cross-Border Trade in Services</u></p> <p>The level of openness of ground handling services depends on the size of airport. The number of suppliers in each airport may be limited. For big airports, this limit shall not be less than two suppliers.</p> <p>If, with respect to access to the ground handling or self-handling market:</p> <ul style="list-style-type: none"> (a) a Party accords to ground handling services and self-handling airport users of the United Kingdom treatment less favourable than the treatment accorded by the United Kingdom to suppliers of ground handling services and self-handling airport users from that Party; or (b) a Party accords to ground handling services and self-handling airport users of the United Kingdom treatment less favourable than the treatment accorded to the ground handling services and self-handling airport users from that Party or from other Parties or non-Parties, <p>the United Kingdom may accord to ground handling services and self-handling airport users from that Party differential treatment</p>

compared to the treatment accorded to them under *The Airports (Groundhandling) Regulations 1997*.

Sector:	Transport Services
Sub-Sector:	Supporting Services for all Modes of Transport
Industry Classification:	
Obligations Concerned:	Local Presence (Article 10.6)
Level of Government:	Central
Measures:	<i>Taxation (Cross-Border Trade) Act 2018</i> , Part 1, Sections 45, 51, 52 and 56, Schedules 1, 2, 6 and 7 <i>Customs and Excise Management Act 1979</i> , Sections 25, 25A and 93, Part VIII B and Section 166B
Description:	<u>Cross-Border Trade in Services</u> Only persons established in the United Kingdom may provide customs services, including customs clearance services and services relating to use of temporary storage facilities or customs warehouses. For greater certainty, this includes residents in the United Kingdom and persons with a permanent place of business in the United Kingdom or a registered office in the United Kingdom.

Sector:	Transport Services
Sub-Sector:	Auxiliary Services to Water Transport
Industry Classification:	
Obligations Concerned:	Market Access (Article 10.5)
Level of Government:	Central and Regional
Measures:	<i>Regulation (EU) 2017/352 of 15 February 2017 establishing a framework for the provision of port services and common rules on the financial transparency of ports, as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018 and as amended by The Pilotage and Port Services (Amendment) (EU Exit) Regulations 2020 (S.I. 2020/671), Article 6 The Port Services Regulations 2019 (S.I. 2019/575)</i>
Description:	<u>Cross-Border Trade in Services</u> For port services, the managing body of a port, or the competent authority, may limit the number of providers of port services for a given port service.

Sector: Energy Related Activities

Sub-Sector: Mining and Quarrying

Industry Classification: ISIC Rev 3.1 11

Obligations Concerned: Market Access (Article 10.5)

Level of Government: Central and Regional

Measures: *Petroleum Act 1998*, Sections 2, 3 and 4

Description: Cross-Border Trade in Services

1. A licence is necessary to undertake exploration and production activities, both onshore and offshore. Mining and quarrying services may be provided to that licence holder without restriction.

2. This entry shall apply to production licences issued with respect to both onshore and offshore activities. To be a licensee, a company must have a place of business within the United Kingdom. That means:

- (a) it has staff present in the United Kingdom;
- (b) registration of a United Kingdom company at Companies House; or
- (c) registration of a United Kingdom branch of a foreign company at Companies House.

3. To be a party to a licence that covers a producing field, a company must either:

- (a) be registered as a United Kingdom company at Companies House; or
- (b) carry on its business through a fixed place of business in the United Kingdom as defined in section 1141 of the *Corporation Tax Act 2010* (which normally requires presence of staff).

4. This entry does not cover the provision of mining and quarrying services to a licence holder. Such services may be provided without restriction, provided that the holder of the production licence meets the criteria above.

ANNEX II

SCHEDULE OF THE UNITED KINGDOM

INTRODUCTORY NOTES

1. In the interpretation of an entry, all elements of the entry shall be considered. The **Description** element shall prevail over all other elements.
2. **ISIC Rev. 3.1**, where referenced, means the International Standard Industrial Classification of All Economic Activities (Statistical Papers Series M No. 4, ISIC Rev. 3.1, Statistical Office of the United Nations, New York, 2002).
3. The **Existing Measures** element in this Schedule identifies, for transparency purposes, a non-exhaustive list of existing measures that apply to the sectors, subsectors or activities covered by each entry at the date of entry into force of this Agreement for the United Kingdom.

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: Market Access (Article 10.5)

Description: Cross-Border Trade in Services

1. Services considered as public utilities at a national or local level may be subject to public monopolies or to exclusive rights granted to private operators.

2. Public utilities exist in sectors such as related scientific and technical consulting services, R&D services on social sciences and humanities, technical testing and analysis services, environmental services, health services, transport services and services auxiliary to all modes of transport. Exclusive rights on such services are often granted to private operators, for instance operators with concessions from public authorities, subject to specific service obligations. Given that public utilities often also exist at the sub-central level, detailed and exhaustive sector-specific scheduling is not practical. This entry shall not apply to telecommunications and to computer and related services.

Existing Measures:

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4)

Description: Investment and Cross-Border Trade in Services

1. The United Kingdom reserves the right to adopt or maintain any measure that accords differential treatment to a Party or a non-Party under any international investment treaty or other trade agreement in force or signed prior to the date of entry into force of this Agreement for the United Kingdom.

2. The United Kingdom reserves the right to adopt or maintain any measure that accords differential treatment to a Party or a non-Party under any existing or future bilateral or multilateral agreement with international organisations with economic objectives comprised wholly of European countries, or with any European country, which:

- (a) creates an internal market in services and investment;
- (b) grants the right of establishment; or
- (c) requires the approximation of legislation in one or more economic sectors.

To the extent possible, the United Kingdom shall notify the other Parties prior to adopting a measure inconsistent with Article 9.5 (Most-Favoured-Nation Treatment) or Article 10.4 (Most-Favoured-Nation Treatment) with respect to such a bilateral or multilateral agreement. At the request of a Party, the Parties shall enter into negotiations to disapply this entry in respect of such measures.

An internal market in services and investment means an area without internal frontiers in which the free movement of services, capital and persons is ensured.

The **right of establishment** means an obligation to abolish in substance all barriers to establishment among the parties to the regional economic integration agreement by the entry into force of that agreement. The right of establishment shall include the right of nationals of the parties to the regional economic integration agreement to set up and operate enterprises under the same conditions provided for nationals under the law of the country where such establishment takes place.

The **approximation of legislation** means:

- (a) the alignment of the legislation of one or more of the parties to the regional economic integration agreement with the legislation of the other party or parties to that agreement; or
- (b) the incorporation of common legislation into the law of the parties to the regional economic integration agreement.

Such alignment or incorporation shall take place, and shall be deemed to have taken place, only at such time that it has been enacted in the law of the party or parties to the regional economic integration agreement.

3. The United Kingdom reserves the right to adopt or maintain any measure that accords differential treatment to a Party or a non-Party relating to the right of establishment for nationals or enterprises through existing or future bilateral agreements with any of the following countries or principalities: Andorra, Monaco, San Marino or the Vatican City State.

4. The United Kingdom reserves the right to adopt or maintain any measure that accords differential treatment to a Party or a non-Party under existing or future agreements relating to:

- (a) aviation;
- (b) maritime matters, including salvage; or
- (c) international road haulage (including combined transport – road or rail) and passenger transport (CPC 7111, 7112, 7121, 7122 and 7123).

Existing Measures:

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: Performance Requirements (Article 9.10)

Description: Investment

With regards to Article 9.10.1(f), Article 9.10.1(h) and Article 9.10.1(i) (Performance Requirements), the United Kingdom reserves the right to adopt or maintain any measure to prevent or remedy any situation, whether behavioural or structural, determined after judicial or administrative process to restrict or distort competition under the competition laws of the United Kingdom.

Existing Measures: *Competition Act 1998, Enterprise Act 2002*

Sector: Defence

Sub-Sector:

Industry Classification:

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to the production or distribution of, or trade in, arms, munitions and war material. War material is limited to any product which is solely intended and made for military use in connection with the conduct of war or defence activities.

Existing Measures:

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: Market Access (Article 10.5)

Description: Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of a service by the presence of natural persons, subject to Chapter 12 (Temporary Entry for Business Persons), that is not inconsistent with the United Kingdom's obligations under GATS.

Existing Measures:

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Performance Requirements (Article 9.10)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to taxation related to the sale, purchase or transfer of residential property (including interests that arise via leases, financing and profit-sharing arrangements, and acquisition of interests in enterprises that own residential property).

Existing Measures:

Sector: Social Services

Sub-Sector:

Industry Classification:

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure relating to the supply of law enforcement and correctional services, as well as, to the extent that they are social services established or maintained for a public purpose: income security or insurance, social security or insurance, social welfare, public training, or childcare.

Existing Measures:

Sector:	Professional Services
Sub-Sector:	Legal Services
Industry Classification:	Part of CPC 861 and part of CPC 87902
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Senior Management and Boards of Directors (Article 9.11) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<u>Investment and Cross-Border Trade in Services</u> The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of legal advisory and legal authorisation, documentation and certification services provided by legal professionals entrusted with public functions, such as notaries, and with respect to services provided by bailiffs.
Existing Measures:	

Sector:	Professional Services
Sub-Sector:	Auditing Services
Industry Classification:	CPC 86211 and 86212 other than accounting and bookkeeping services
Obligations Concerned:	National Treatment (Article 10.3) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<u>Cross-Border Trade in Services</u> The United Kingdom reserves the right to adopt or maintain any measure with respect to auditing services.
Existing Measures:	<i>Companies Act 2006</i>

Sector: Professional Services

Sub-Sector: Health-related Professional Services

Industry Classification: CPC 85201, 9312 and 93191

Obligations Concerned: National Treatment (Article 10.3)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Cross-Border Trade in Services – Market Access

Establishment for doctors under the National Health Service is subject to medical manpower planning (CPC 93121 and 93122).

Cross-Border Trade in Services – Market Access, National Treatment, Local Presence

The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of all health-related professional services, including the services provided by professionals such as medical doctors, dentists, midwives, nurses, physiotherapists, paramedical personnel and psychologists (part of CPC 85201, CPC 9312 and 93191).

Existing Measures:

Sector:	Professional Services
Sub-Sector:	Retail Sales of Pharmaceutical, Medical and Orthopaedic Goods, other Services provided by Pharmacists
Industry Classification:	CPC 63211
Obligations Concerned:	National Treatment (Article 10.3) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<u>Cross-Border Trade in Services</u> The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of cross-border retail sales of pharmaceuticals and of medical and orthopaedic goods, and other services provided by pharmacists. Establishment in the United Kingdom is required for the retail of pharmaceuticals and specific medical goods to the general public in the United Kingdom.
Existing Measures:	

Sector: Business Services

Sub-Sector: Collection Agency Services
Credit Reporting Services

Industry Classification: CPC 87901 and 87902

Obligations Concerned: National Treatment (Article 10.3)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of collection agency services and credit reporting services.

Existing Measures:

Sector: Business Services

Sub-Sector: Placement Services

Industry Classification: CPC 87202, 87204, 87205, 87206 and 87209

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure that is not inconsistent with the United Kingdom's obligations under GATS with respect to placement services of domestic help personnel, other commercial or industrial workers, nursing and other personnel.

Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure that is not inconsistent with the United Kingdom's obligations under GATS with respect to the cross-border supply of placement services of office support personnel and other workers.

Existing Measures:

Sector: Business Services

Sub-Sector: Investigation Services

Industry Classification: CPC 87301

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of investigation services.

Existing Measures:

Sector:	Business Services
Sub-Sector:	Other Business Services
Industry Classification:	CPC 86764, 86769 and 8868
Obligations Concerned:	National Treatment (Article 10.3) Most-Favoured-Nation Treatment (Article 10.4) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<p><u>Cross-Border Trade in Services</u></p> <p>1. The United Kingdom reserves the right to adopt or maintain any measure with respect to the cross-border supply of maintenance and repair services in relation to the following:</p> <ul style="list-style-type: none"> (a) rail transport equipment; (b) internal waterways transport vessels; (c) maritime vessels; (d) aircraft and parts thereof (part of CPC 86764, 86769 and 8868). <p>2. Only recognised organisations authorised by the United Kingdom may carry out statutory surveys and certification of ships on behalf of the United Kingdom. Establishment may be required.</p>
Existing Measures:	<i>Regulation (EC) No 391/2009 of the European Parliament and the Council of 23 April 2009 on common rules and standards for ship inspection and survey organisations as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018, and as amended by The Merchant Shipping (Recognised Organisations) (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/270)</i>

Sector: All

Sub-Sector:

Industry Classification:

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to audiovisual services, provided that any such measure is consistent with the United Kingdom's commitments in all committed sectors under Article XVI and Article XVII of GATS and obligations in all sectors under Article II of GATS.

Existing Measures:

Sector: Education Services

Sub-Sector:

Industry Classification: CPC 92

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to the following:

- (a) all educational services which receive public funding or State support in any form and are therefore not considered to be privately funded. Where the supply of privately funded education services by a foreign provider is permitted, participation of private operators in the education system may be subject to concession allocated on a non-discriminatory basis;
- (b) the supply of privately funded other education services, which means other than those classified as being primary, secondary, higher and adult education services (CPC 929).

Existing Measures:

Sector:	Health and Social Services
Sub-Sector:	
Industry Classification:	CPC 931 (other than CPC 9312 and part of CPC 93191) and CPC 933
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Performance Requirements (Article 9.10) Senior Management and Boards of Directors (Article 9.11) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<p>The United Kingdom reserves the right to adopt or maintain any measure with respect to the following:</p> <p>(a) Health services – including hospital, ambulance, residential health services (CPC 931 other than 9312 and part of 93191)</p> <p><u>Investment – National Treatment, Performance Requirements, Senior Management and Boards of Directors</u> <u>Cross-Border Trade in Services – Market Access</u></p> <p>(i) the supply of all health services which receive public funding or State support in any form, and are therefore not considered to be privately funded;</p> <p>(ii) all privately funded health services other than hospital services.</p> <p>The participation of private operators in the privately funded health network may be subject to concession on a non-discriminatory basis. An economic needs test may apply. Main criteria: number of and impact on existing establishments, transport infrastructure, population density, geographic spread and creation of new employment.</p>

- (b) Health and social services, including pension insurance (CPC 931 other than 9312 and part of 93191)

Cross-Border Trade in Services – National Treatment, Market Access, Local Presence

- (i) the cross-border supply of health services, the cross-border supply of social services, as well as activities or services forming part of a public retirement plan or statutory system of social security.

Sub-entries (a) and (b) do not relate to the supply of any health-related professional services, including the services provided by professionals such as medical doctors, dentists, midwives, nurses, physiotherapists, paramedics and psychologists, which are covered by other entries.

- (c) Social services, including pension insurance

Investment – National Treatment, Performance Requirements, Senior Management and Boards of Directors

Cross-Border Trade in Services – Market Access

- (i) the supply of all social services which receive public funding or State support in any form, and are therefore not considered to be privately funded, and activities or services forming part of a public retirement plan or statutory system of social security;
- (ii) the supply of privately funded social services other than services relating to convalescent and rest houses and old people's homes.

The participation of private operators in the privately funded social network may be subject to concession on a non-discriminatory basis. An economic needs test may apply. Main criteria: number of and impact on existing establishments, transport infrastructure, population density,

geographic spread and creation of new employment.

Existing Measures:

Sector: Health, Social and Education Services

Sub-Sector:

Industry Classification:

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)

Description: Investment and Cross-Border Trade in Services

1. The United Kingdom, when selling or disposing of its equity interests in, or the assets of, an existing state enterprise or an existing governmental entity providing health, social or education services, may prohibit or impose limitations on the ownership of such interests or assets, and on the ability of owners of such interests and assets to control any resulting enterprise, by investors of another Party or their investments. With respect to such a sale or other disposition, the United Kingdom may adopt or maintain any measure relating to the nationality of senior management or the nationality or residency of members of the boards of directors, as well as any measure limiting the number of suppliers.

2. For the purposes of this entry:

- (a) any measure adopted or maintained after the date of entry into force of this Agreement for the United Kingdom that, at the time of the sale or other disposition, prohibits or imposes limitations on the ownership of equity interests or assets or imposes nationality or residency requirements or imposes limitations on the numbers of suppliers as described in this entry shall be deemed to be an existing measure subject to Article 9.12.1 (Non-Conforming Measures) and Article 10.7.1 (Non-Conforming Measures); and
- (b) **state enterprise** means an enterprise owned or controlled through ownership interests by the

United Kingdom and includes an enterprise established after the date of entry into force of this Agreement for the United Kingdom solely for the purposes of selling or disposing of equity interests in, or the assets of, an existing state enterprise or governmental entity.

Existing Measures:

Sector: Recreational, Cultural and Sporting Services

Sub-Sector:

Industry Classification: CPC 963, 9619 and 96492

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure that is not inconsistent with the United Kingdom's obligations under GATS with respect to:

- (a) library, archive, museum and other cultural services (CPC 963); or
- (b) gambling activities, which involve wagering a stake with pecuniary value in games of chance, including in particular lotteries, scratch cards, gambling services offered in casinos, gambling arcades or licensed premises, betting services, bingo services and gambling services operated by and for the benefit of charities or non-profit-making organisations (CPC 96492).

Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure that is not inconsistent with the United Kingdom's obligations under GATS with respect to the cross-border supply of entertainment services, including theatre, live bands, circus and discotheque services (CPC 9619).

Existing Measures:

Sector:	Transport Services
Sub-Sector:	Water Transport Services and Auxiliary Services to Water Transport
Industry Classification:	CPC 72
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4) Performance Requirements (Article 9.10) Senior Management and Boards of Directors (Article 9.11) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<p>1. Maritime transport and any other commercial activity undertaken from a ship</p> <p><u>Investment – National Treatment, Performance Requirements, Senior Management and Boards of Directors</u> <u>Cross-Border Trade in Services – Market Access, Local Presence, National Treatment</u></p> <p>The United Kingdom reserves the right to adopt or maintain any measure with respect to the nationality of the crew on a seagoing or non-seagoing vessel.</p> <p><u>Investment – National Treatment, Most-Favoured-Nation Treatment, Senior Management and Boards of Directors</u> <u>Cross-Border Trade in Services – Market Access</u></p> <p>The United Kingdom reserves the right to adopt or maintain any measure with respect to registering a vessel or operating a fleet under the flag of the United Kingdom (all commercial marine activity undertaken from a seagoing ship, including fishing, aquaculture and services incidental to fishing; international passenger and freight transportation (CPC 721); and services auxiliary to maritime transport).</p> <p><u>Investment – National Treatment, Most-Favoured-Nation Treatment, Performance Requirements, Senior Management and Boards of Directors</u></p>

Cross-Border Trade in Services – National Treatment, Most-Favoured-Nation Treatment, Market Access, Local Presence

The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of maritime cabotage services.

Maritime cabotage services cover:

- (a) transportation of passengers or goods between a port or point located in the United Kingdom and another port or point located in the United Kingdom, including on its continental shelf as provided in the *United Nations Convention on the Law of the Sea*, done at Montego Bay, December 10, 1982; and
- (b) traffic originating and terminating in the same port or point located in the United Kingdom.

For greater certainty, this entry shall apply to related traffic in support of offshore activities.

2. Auxiliary services to maritime transport

Investment – National Treatment, Senior Management and Boards of Directors

Cross-Border Trade in Services – National Treatment, Market Access, Local Presence

The United Kingdom reserves the right to adopt or maintain any measure with respect to the supply of pilotage or berthing services.

Only vessels flying the flag of the United Kingdom may provide pushing or towing services (CPC 7214).

3. Inland waterways transport and auxiliary services to inland waterways transport

Investment – National Treatment, Most-Favoured-Nation Treatment, Performance Requirements, Senior Management and Boards of Directors

Cross-Border Trade in Services – National Treatment, Most-Favoured-Nation Treatment, Market Access, Local Presence

The United Kingdom reserves the right to adopt or maintain any measure with respect to inland waterways passenger and freight transportation (CPC 722), and services auxiliary to inland waterways transportation.

For greater certainty, this entry also covers the supply of cabotage transport on inland waterways (CPC 722).

Existing Measures:

Sector:	Transport Services
Sub-Sector:	Rail Transport Services
Industry Classification:	CPC 7111 and 7112
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<u>Investment and Cross-Border Trade in Services</u> The United Kingdom reserves the right to adopt or maintain any measure with respect to railway passenger transportation (CPC 7111) or railway freight transportation (CPC 7112).
Existing Measures:	

Sector:	Transport Services
Sub-Sector:	Road Transport Services
Industry Classification:	CPC 712
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Senior Management and Boards of Directors (Article 9.11) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<u>Investment and Cross-Border Trade in Services</u> The United Kingdom reserves the right to adopt or maintain any measure to require establishment or to limit the cross-border supply of road transport services (CPC 712). An economic needs test may apply to taxi services in the United Kingdom setting a limit on the number of service suppliers. Main criterion: local demand as provided in applicable laws (CPC 71221).
Existing Measures:	<i>Regulation (EC) No 1071/2009 of the European Parliament and of the Council of 21 October 2009 establishing common rules concerning the conditions to be complied with to pursue the occupation of road transport operator and repealing Council Directive 96/26/EC as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018 and as amended by The Licensing of Operators and International Road Haulage (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/708)</i> <i>Regulation (EC) No 1072/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international road haulage market as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018 and as amended by The Licensing of Operators and International Road Haulage (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/708)</i> <i>Regulation (EC) No 1073/2009 of the European Parliament and of the Council of 21 October 2009 on common rules for access to the international market for coach and bus services, and</i>

amending Regulation (EC) No 561/2006 as retained in the law of the United Kingdom by the European Union (Withdrawal) Act 2018 and as amended by The Common Rules for Access to the International Market for Coach and Bus Services (Amendment etc.) (EU Exit) Regulations 2019 (S.I. 2019/741)

Sector:	Transport services
Sub-Sector:	Air Transport Services and Auxiliary Services to Air Transport
Industry Classification:	
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4) Performance Requirements (Article 9.10) Senior Management and Boards of Directors (Article 9.11) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<p>1. Space transport and rental of space craft</p> <p><u>Investment – National Treatment, Performance Requirements, Senior Management and Boards of Directors</u> <u>Cross-Border Trade in Services – National Treatment, Market Access, Local Presence</u></p> <p>The United Kingdom reserves the right to adopt or maintain any measure with respect to transportation services via space and the rental of space craft (CPC 733 and part of 734).</p> <p>2. Air traffic management and air traffic control</p> <p><u>Investment – National Treatment, Senior Management and Boards of Directors</u></p> <p>The United Kingdom reserves the right to adopt or maintain any measure with respect to:</p> <p>(a) NATS Holdings Ltd and its successors;</p> <p>(b) the exercise of statutory powers and the discharge of statutory functions and duties in relation to air traffic management and air traffic control.</p> <p>3. Air services</p> <p><u>Investment – National Treatment, Most-Favoured-Nation Treatment, Senior Management and Boards of Directors, Performance Requirements</u></p>

Cross-Border Trade in Services – Market Access

The United Kingdom reserves the right to adopt or maintain any measure with respect to air carriers and airports, with the exclusion of airport operation services. For greater certainty, airport operation services do not include the ownership of, or investment in, airports or airport lands, or any of the functions carried out by a board of directors.

Investment – National Treatment, Most-Favoured-Nation Treatment Performance Requirements, Senior Management and Boards of Directors

Cross-Border Trade in Services – National Treatment, Most-Favoured Nation Treatment, Market Access, Local Presence

The United Kingdom reserves the right to adopt or maintain any measure with respect to specialty air services for measures governing the admission of aircraft to, departure from or operation within the United Kingdom.

Existing Measures:

For air traffic management and air traffic control (paragraph 2)

Transport Act 2000

Sector: Fishing, Aquaculture, Services Incidental to Fishing

Sub-Sector:

Industry Classification: ISIC Rev. 3.1 0501, 0502 and CPC 882

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Most-Favoured-Nation Treatment (Article 9.5 and Article 10.4)
Performance Requirements (Article 9.10)
Senior Management and Boards of Directors (Article 9.11)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

1. The United Kingdom reserves the right to adopt or maintain any measure, in particular within the framework of the United Kingdom's fisheries policy and of fishing agreements with a Party or a non-Party, with respect to access to and use of the biological resources and fishing grounds situated in the maritime waters under the sovereignty or jurisdiction of the United Kingdom.

2. The United Kingdom reserves the right to adopt or maintain any measure:

- (a) to the effect that the fishing activity of fishing vessels flying its flag must have an economic link (to the extent and according to the terms specified in the measure) with the United Kingdom; and
- (b) relating to fishing vessels' eligibility to use United Kingdom fishing opportunities by reference to the nationality of the owner or owners of vessels or place of incorporation of a company.

3. The United Kingdom reserves the right to adopt or maintain any measure:

- (a) regulating the landing of catches performed in the quotas allocated to vessels of another Party or a

designated non-Party in ports of the United Kingdom;

- (b) determining a minimum size for a company in order to preserve both artisanal and coastal fishing vessels;
- (c) according differential treatment under any existing or future international agreements relating to fisheries;
- (d) with regard to the nationality of the crew of a fishing vessel flying the flag of the United Kingdom; or
- (e) with respect to the establishment of marine or inland aquaculture facilities.

Existing measures: *Fisheries Act 2020*

Sector: Collection, Purification and Distribution of Water

Sub-Sector:

Industry Classification: ISIC Rev. 3.1 41

Obligations Concerned: National Treatment (Article 9.4 and Article 10.3)
Market Access (Article 10.5)
Local Presence (Article 10.6)

Description: Investment and Cross-Border Trade in Services

The United Kingdom reserves the right to adopt or maintain any measure with respect to activities, including services relating to the collection, purification or distribution of water to household, industrial, commercial or other users, including the supply of drinking water and water management.

Existing Measures:

Sector:	Production of Energy and Related Services
Sub-Sector:	
Industry Classification:	ISIC Rev. 3.1 401, 402, CPC 7131 and 887 (other than advisory and consultancy services)
Obligations Concerned:	National Treatment (Article 9.4 and Article 10.3) Performance Requirements (Article 9.10) Senior Management and Boards of Directors (Article 9.11) Market Access (Article 10.5) Local Presence (Article 10.6)
Description:	<u>Investment and Cross-Border Trade in Services</u> The United Kingdom reserves the right to adopt or maintain any measure if the United Kingdom permits foreign ownership of a gas or electricity transmission system, or an oil and gas pipeline transport system, with respect to enterprises of another Party controlled by a natural person or enterprise of a non-Party which accounts for more than five per cent of the United Kingdom's oil, natural gas or electricity imports, in order to guarantee the security of the energy supply of the United Kingdom. This entry shall not apply to advisory and consultancy services provided as services incidental to energy distribution.
Existing Measures:	

ANNEX III

SCHEDULE OF THE UNITED KINGDOM

HEADNOTES

1. Commitments under Chapter 11 (Financial Services) are undertaken subject to the limitations and conditions set forth in these headnotes and the Schedule below.
2. To clarify the United Kingdom's commitment with respect to Article 11.5 (Market Access for Financial Institutions), enterprises supplying financial services are subject to non-discriminatory limitations on juridical form.
3. For greater certainty, the United Kingdom shall not be prevented from applying regulatory and supervisory measures for prudential reasons to branches established in the United Kingdom by enterprises incorporated in another Party pursuant to Article 11.11.1 (Exceptions), provided that such measures meet the requirements of that Article.
4. In the interpretation of an entry in Section A:
 - (a) **Description** provides a general non-binding description of the measure for which the entry is made;
 - (b) **Obligations Concerned** specifies the obligations referred to in paragraph 1(b) of the Explanatory Notes that do not apply to the listed measures; and
 - (c) all elements of the entry shall be considered. An entry shall be interpreted in light of the relevant provisions against which the entry is taken. The **Measures** element shall prevail over all other elements.
5. In the interpretation of a reservation in Section B, all elements of the reservation shall be considered. The **Description** element shall prevail over all other elements.

Section A

Sector:	Financial Services
Sub-Sector:	Banking and other financial services (excluding insurance)
Obligations Concerned:	Market Access for Financial Institutions (Article 11.5)
Level of Government:	Central
Measures:	<i>Financial Services and Markets Act 2000</i>
Description:	Only firms incorporated in the United Kingdom and having a place of business in the United Kingdom can act as depositories of the assets of investment funds. The establishment of a specialised management company, incorporated in the United Kingdom and having a place of business in the United Kingdom, is required to perform the activities of management of common funds, including unit trusts, and investment companies.

Section B

Sector: Financial Services

Obligations Concerned: Most-Favoured-Nation Treatment (Article 11.4)

Level of Government: Central

Description: The United Kingdom reserves the right to accord differential treatment to a cross-border financial service supplier of a Party or a non-Party supplying a financial service from the territory of that Party or non-Party into the territory of the United Kingdom pursuant to any bilateral or multilateral international investment treaty or other trade agreement signed or in force after the date of entry into force of this Agreement for the United Kingdom.

This reservation shall not apply in respect of:

- (a) treatment accorded by the United Kingdom to a cross-border financial service supplier of a Party, if that Party accords to a cross-border financial service supplier of the United Kingdom treatment no less favourable, in like circumstances, than the treatment accorded by the United Kingdom pursuant to any bilateral or multilateral international investment treaty or other trade agreement referred to in the previous paragraph; or
- (b) the financial services specified in Annex 11-A (Cross Border Trade) which are committed to by the United Kingdom under Article 11.6 (Cross-Border Trade), or the specific commitments made by the United Kingdom in Section A or Section D of Annex 11-B (Specific Commitments).

Existing Measures: